

FELLOWSHIPS

October 20, 1947

Dear Dr. Caldwell: Thank you for bringing us  
up to date on your recent  
doings. I remember very well our visits of some  
years ago. I hope we may continue to see each  
other.

Best wishes in all your  
work,

Very truly yours,

EDWIN R. EMBREE

ERE:NL

Dr. John T. Caldwell, President  
Alabama College  
Montevallo, Alabama

FISK  
UNIVERSITY

ALABAMA COLLEGE  
THE STATE COLLEGE FOR WOMEN  
MONTEVALLO, ALABAMA

October 15, 1947

FELLOWSHIPS

	ERE	10/20	efe	10/30

President Edwin R. Embree  
Julius Rosenwald Fund  
1601 Ellis Avenue  
Chicago, Illinois

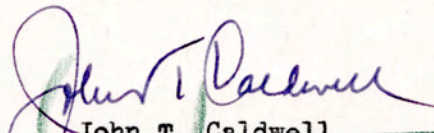
Dear Dr. Embree:

This is a brief report from one of your earlier fellow-ship holders, whom you may have lost track of. The at-tached personnel sheet more or less brings my life up-to-date and you may wish it for your files.

I still recall most pleasantly my visit to Chicago in one pre-war winter while you were exploring for an ex-ecutive secretary and subsequently our brief visit while I was stationed for seven or eight weeks at the Univer-sity of Chicago during the war.

What are the present plans of the Fund? Is there any way we can help spend some of that money? We have a great opportunity here and I will welcome any opportunity to talk about it.

Sincerely yours,

  
John T. Caldwell  
President

JTC/twn

FISK  
UNIVERSITY

BIOGRAPHICAL SKETCH

Of

D R. JOHN TYLER CALDWELL

1. AGE: 35
2. MARRIED: Catherine Zeek, daughter of Dr. C. F. Zeek, professor of foreign languages at Vanderbilt University.
3. BORN and reared in the Mississippi Delta area, Yazoo City, Mississippi.
4. Attended public schools in Mississippi and finished bachelor's degree at Mississippi State in 1932, where his abilities were recognized as a student leader.
5. Taught 4 years in Holmes Junior College, Goodman, Mississippi, with major responsibility for instruction in the social sciences, and served as band director and in the administration of the dormitory. Served as chairman of the social science division of the Mississippi Education Association.
6. Received master of arts degree at Duke University in 1935, with a major in Government and a minor in Economics. Wrote master's thesis on "OPERATIONS OF THE MISSISSIPPI STATE TAX COMMISSION."
7. Served from the spring of 1936 to August 1947 with the Resettlement Administration in the field of land problems arising from tax policies, and resigned as coordinator of LAND-USE PLANNING for Arkansas, Mississippi, and Louisiana to accept a fellowship to study public administration and taxation at Princeton University.
8. Awarded PhD degree by Princeton University in June 1939, studied administrative law and statistics at the University of Wisconsin during the summer of 1938. PhD dissertation subject was "ADMINISTRATIVE CONTROL OF STATE EXPENDITURES."
9. Employed by the U. S. Bureau of Agricultural Economics during the summer of 1939 to make a study of financial conditions of the drainage districts in Yazoo-Mississippi backwater area.
10. Instructor and assistant professor in political science at Vanderbilt University, 1939-1942.
11. Served, 1942-1946 in the Armed Forces of the United States. Reached the rank of Lt. Commander in the Naval Reserve and served with the 10th Army in the Pacific.
12. Awarded Bronze Star Medal for service in Okinawa, which concluded with responsibility for coordinating military government activities to promote self-government and rehabilitation of the natives in the Ryukyu Islands.
13. During the period of service in the armed forces a training course in military government was completed at Columbia University with a master of arts degree in International Administration.
14. Returned to Vanderbilt University, June 1946, as associate professor of political science, consisting of teaching senior graduate courses in public administration, local government and politics of the Far East, and introductory courses. Also served as member of an active executive committee of the Institute of Research and Training in Social Sciences; and directing internship in training program in public administration and business administration. Member of Chancellor's Committee for a Review of the Junior College Curriculum.
15. Became president of Alabama College, the state college for women, at Montevallo, Alabama, on September 1, 1947.

# FELLOWSHIPS

GMR	76	M/S	
Edwin R. Embree President			
Margaret S. Simon Secretary			
D.A. Elvidge Comptroller			

## Julius Rosenwald Fund

4901 Ellis Avenue  
CHICAGO

September 24, 1940

NEGRO HEALTH

Director  
M.O. Bousfield, M.D.

Consultants  
Franklin C. McLean, M.D.  
Clifford E. Waller, M.D., U.S.P.H.S.

Dear Mr. Caldwell: Our trustees have a continuing interest in the work and progress of those who have held Rosenwald Fund fellowships, and we are now in the process of preparing a report for the fall meeting. We will greatly appreciate your bringing us up to date on your career since you were awarded a fellowship, and to expedite your furnishing this information we have listed several questions at the bottom of the page. Will you please answer these and return this sheet to us at your earliest convenience?

If there has been no change in your status since you gave us information last fall, simply write "no change" at the bottom of the page and return it to us in the enclosed envelope.

Very truly yours,

Mr. John T. Caldwell  
Vanderbilt University  
Nashville, Tennessee

*Edwin R. Embree*  
Director for Fellowships

Present position:

Address:

Publications, if any:

Special honors or activities:

General remarks:

Have been appointed by the Chancellor of the University to the executive committee of the newly established Institute for Research and Training in the Social Sciences.

No other change.

Regards,

*John T. Caldwell*

FISK  
UNIVERSITY



# FELLOWSHIPS

Caldwell, John T

January 16, 1940

Dear Mr. Caldwell: Thank you for your good letter which I have delayed answering until the dust of the holiday festivities settled a little. It was a pleasure to have the days with you and to talk with you about your work and the plans and proposals of the Fund.

As to the post itself, we have been talking to a number of possible candidates. While we are a long way yet from an appointment, we have reached a general agreement that we shall probably want a somewhat older man. We need a good deal of maturity in the central office. And the several divisions, any one of which the junior executive officer may be asked to take over temporarily in the absence of the chief, call for abilities which usually come only with long experience. My natural inclinations are for youth and enthusiasm. But after studying this particular situation pretty carefully I am afraid I am going to have to side with the elders.

I am glad that this question gave us a chance to become better acquainted. We shall think of you as one who is pushing the causes in which we are interested, whether you are officially associated with us or not. And I hope our acquaintance and our contacts may increase and multiply as the years go on.

Very truly yours,

EDWIN R. ENGBERG

ERE:JW

Mr. John T. Caldwell  
Department of History and Political Science  
Vanderbilt University  
Nashville, Tennessee

FISK  
UNIVERSITY

# Julius Rosenwald Fund

4901 Ellis Avenue  
CHICAGO

FELLOWSHIPS

To Mr. John T. Caldwell

Vanderbilt University

Nashville, Tennessee

Payment Voucher No. 630

Date December 26, 1939

Reimbursement for travel expenses incurred during trip to Chicago - - \$36.50

(Trip made at the request of Mr. Embree for purpose of  
interviewing Mr. Caldwell.)

Ck.#22504

Accounts	Appropriation No.	Debit	Credit
Chicago Office Administration - Travel	39-13	\$36.50	

Prepared by

AM

Checked by

Posted by

Comptroller

FISK UNIVERSITY

VANDERBILT UNIVERSITY  
DEPARTMENT OF HISTORY AND POLITICAL SCIENCE  
NASHVILLE, TENNESSEE

December 21, 1939

	DE	12/26	DE	12/26/39

Miss Dorothy A. Elvidge  
Comptroller  
Julius Rosenwald Fund  
4901 Ellis Avenue  
Chicago, Illinois

Dear Miss Elvidge:

My expenditures on the visit with you in Chicago came to a total of \$36.50, of which \$26.80 was for train fare and pullman and the remainder consisting of four meals, several taxi fares, and tips.

I enjoyed very minute of the three days and thank you all for your hospitality.

With every good wish for you and the entire staff, I am

Very sincerely yours,

*John T. Caldwell*  
John T. Caldwell

DOROTHY A. ELVIDGE

36.50  
exp  
chgo-travel



630  
22304

FELLOWSHIPS

VANDERBILT UNIVERSITY  
DEPARTMENT OF HISTORY AND POLITICAL SCIENCE  
NASHVILLE, TENNESSEE

Caldwell, John T

December 21, 1939

Mr. Edwin R. Embree  
President  
Julius Rosenwald Fund  
4901 Ellis Avenue  
Chicago, Illinois

ERE	26	EDS	16
MS		all	
JES		HS	
JE		26	
<del>EDS</del>			

Dear Mr. Embree:

First let me thank you for your grand hospitality and the stimulating contacts which you afforded me during this week.

I should like to repeat to you in writing, and perhaps with more emphasis than I presented it to you in person, with respect to the slight "reservation" which entered into our talks, that I hold a very sympathetic attitude toward your entire program. I am convinced, therefore, that although my contribution in planning with respect to your Negro health program might be somewhat circumscribed by my lack of specific acquaintanceship with the subject and techniques, you may be sure that I could prosecute a particular move to lift the standard of health among the Negro race with enthusiasm and sincerity. In other words, I do not hold a reservation in my own mind with respect to any possible part I might perform in this aspect of the Fund's program.

With every good wish to the members of your staff whom I found so stimulating and pleasant and to your delightful family, I extend another "thank you" and the Season's greetings.

Sincerely yours,

*John T Caldwell*  
John T. Caldwell

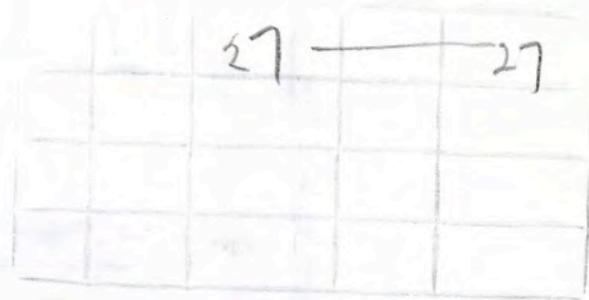


VANDERBILT UNIVERSITY  
DEPARTMENT OF HISTORY AND POLITICAL SCIENCE  
NASHVILLE, TENNESSEE

FELLOWSHIPS

November 25, 1939

President Edwin R. Embree  
Julius Rosenwald Fund  
4901 Ellis Avenue  
Chicago, Illinois



Dear Mr. Embree:

I have your letter of November 22 and have talked over the matter of my coming to Chicago with my department head. He and Dean Pomfret are willing that I should make arrangements at a time convenient to me and to you.

I find that it will be entirely convenient for me to be in Chicago on Monday, December 18. I can plan to be there through Wednesday, which will enable me to make some contacts with the public administration people when we have completed our discussion.

It is good to hear from you and I shall look forward with interest to seeing you, and meeting others of your staff.

Very sincerely yours,

  
John T. Caldwell

P.S. Please understand that if this date, suggested above, is not convenient for you, I can quite easily arrange to come the early part of another week before then. Due to the meeting of the American Political Science Association the latter part of the holidays, however, I could not come at that time. *ke*

FELLOWSHIPS

Caldwell, John Tyl

November 22, 1939

My dear Mr. Caldwell: I want to talk over with you some things which the Fund is doing and some connection which you might have with these activities. I wonder if it would be possible for you to come up to Chicago some time, possibly at the beginning or end of the Christmas holidays or at some other time that happens to be convenient. Week-ends are not good from our standpoint and I should like to know in advance when you could come so that I and others would be sure to be in the office.

I do not want to put you to too much trouble but it occurred to me that you might combine a visit to this office with some other errands in this region. We would, of course, pay your expenses in travel, etc.

My greetings and continued best wishes for your work.

Very truly yours,

ERE:JW

EDWIN R. EMBREE

Mr. John Tyler Caldwell  
Department of History and Political Science  
Vanderbilt University  
Nashville, Tennessee

FISK  
UNIVERSITY

FELLOWSHIPS

Caldwell, John Tyler

October 19, 1939

Dear Mr. Caldwell: I am delighted to know that you have accepted a post in the South. I heard rumors of offers coming to you from various parts of the country. While one has to respond to the opportunities wherever they seem greatest, it is a great satisfaction when in the case of any of our Fellows those opportunities turn out to be in the South.

As you probably know, one of the members of our fellowship committee is Prof. Charles S. Johnson of Fisk University. He is so closely in touch with many of the social problems of the South that I am sure you will want to make his acquaintance. I gather that there is a certain amount of visiting back and forth between Fisk and the white universities in Nashville. At any rate, I suggest that you arrange to call on him, both for the sake of exchanging ideas and experiences and in order to add him to your list of acquaintances.

Very truly yours,

ERE:JW

EDWIN R. EMBREE

Mr. John Tyler Caldwell  
Department of History and Political Science  
Vanderbilt University  
Nashville, Tennessee

FISK  
UNIVERSITY

# FELLOWSHIPS

	GMR	3	Quif	0

Graduate College  
Princeton, N. J.  
April 1, 1939

Dr. George M. Reynolds  
Director for Fellowships  
Julius Rosenwald Fund  
4901 Ellis Avenue

Dear Dr. Reynolds:

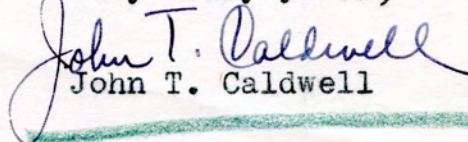
In accordance with your request by telegraph received yesterday, I am attaching a report of activities during the tenure of my two fellowship grants from the Julius Rosenwald Fund.

In your report to the Trustees, I hope you will say to them that I feel a deep and sincere debt of gratitude to the founder of these fellowships and to my selection as a recipient of two of them. I have enjoyed these two years immensely and hope that I have profited by them immensely.

If it is ever possible for me to perform a service, ever so small, for the furtherance of the Fund's work, I shall consider it a happy deed to perform.

With my sincerest regards, I am

Very truly yours,

  
John T. Caldwell

FISK  
UNIVERSITY

Activity Statement

Tenure of Julius Rosenwald

Fund Fellowships

1937-38, 1938-39

Activity during tenure of fellowship, to date.

1937-38.

1. Enrolled in course in the general field of political science, Department of Politics, Princeton University, that would best supplement my previous graduate study at Duke University. Placed emphasis during the year on public finance and public administration.

2. Passed language examinations in French and German satisfactorily.

3. Offered myself for the General Examination in May, 1938, and passed satisfactorily.

4. Set out my dissertation problem under the counsel of Dr. John F. Sly of the Princeton Local Government Survey. It was published in the American Political Science Review, July, 1938, as: Administrative Control of State Expenditures.

At the end of the first fellowship tenure, then, I had completed all the formal requirements for the degree of Doctor of Philosophy except for a dissertation and final oral.

5. In addition I attended the annual conferences of the American Political Science Association (Philadelphia) and the National Tax Association (Baltimore). I also served as a Chairman for the Princeton School of Public and International Affairs conference on farm tenancy.

Summer 1938.

1. Attended the University of Wisconsin summer session to study administrative law and statistical method.

2. Visited budget offices in Wisconsin, Arkansas, Mississippi, Alabama, Georgia, and Virginia.

3. Acquired basic materials for doctoral dissertation.

1938-39.

1. Work on dissertation under the general supervision of Dr. John F. Sly.

The thesis is concerned with a method for achieving, at the level of state government, a continuous balance during the fiscal year between expenditures and actual, realizable resources.

From 1930 to 1937 the funded debt of states increased considerably. Indebtedness for relief financing showed the greatest percentage of increase. Next largest was the funding of operating deficits, 183 per cent. The Southern states were the worst offenders, and they, notably, have the lowest indices of relation of over-all debt to debt-paying capacity. (See Edna Trull, of Dun and Bradstreet, Resources and Debts of the Forty-Eight States, New York, 1938.)

Part I of my dissertation presents the historical, theoretical, and legal considerations which determine the nature of administrative control of expenditures. Part II deals with the practical considerations, including an analysis of contemporary state practice, and finally an application of the principles to the expenditure process in Mississippi.

I have just completed a first draft of the thesis, which must be submitted April 30. During this month I will strengthen it where necessary and have it typed and bound. In May or June I will be given an oral examination. If the thesis is accepted and the examination passed successfully, I will receive a Ph.D. in June.

2. In addition to work on the thesis I have attended a weekly seminar and read in municipal government for a semester under Prof. Paul T. Stafford. During the second term I have been enrolled in an advanced seminar under Prof. Edward S. Corwin, which is a review of the classic thought in the fields of study "tangent" to political science.

3. I have served on the House Committee and Library Committee at the Graduate College, and, at the request of Prof. Carpenter, as chairman, more or less, of the Politics group.

(continued)



Plans for next year.

My plans for the coming year are uncertain.

There is a probable opening at Vanderbilt University for teaching and bureau work in local government and public administration. I have made application, which I feel is being favorably considered.

Also, there is a desirable opening in the Department of Political Science at the University of California (Los Angeles) for which I have made application.

President G. D. Humphrey of Mississippi State College has informed me of a possible position there which I might be offered should I find it desirable.

Not considering the question of rank or salary, I would definitely like the Vanderbilt position. The UCLA position has attractive features, but my desire to go South would give it a much lower rank. (I, of course, realize that it is not absolutely necessary for me to go directly South from here in order to end up there, but I would prefer to do so.) Although I appreciate being wanted back at Mississippi State College, in the light of my training I view a position which would combine running a placement bureau, supervising the student publications, and teaching government there, as sort of futile, though not impossible, of course.

I would like very much to be at Vanderbilt or another Southern institution of comparable where, if it is not part of the job, at least the time and opportunity is provided in which to study state and local government to a practical end. This is the principal standard which I hope will determine my plans for next year.

John T. Caldwell

John T. Caldwell  
Graduate College  
Princeton, N. J.  
April 1, 1939

FISK  
UNIVERSITY

CLASS OF SERVICE DESIRED	
DOMESTIC	CABLE
TELEGRAM	FULL RATE
DAY LETTER	DEFERRED
NIGHT MESSAGE	NIGHT LETTER
NIGHT LETTER	SHIP RADIOGRAM

Patrons should check class of service desired; otherwise message will be transmitted as a full-rate communication.

# WESTERN UNION

R. B. WHITE  
PRESIDENT

NEWCOMB CARLTON  
CHAIRMAN OF THE BOARD

J. C. WILLEVER  
FIRST VICE-PRESIDENT

CHECK
ACCT'G INFMN.
TIME FILED

Send the following message, subject to the terms on back hereof, which are hereby agreed to

FELLOWSHIPS

Mr. John Tyler Caldwell  
Graduate College  
Princeton, New Jersey

March 31, 1939 DAY LETTER

May we please have ~~short~~ statement of activity during fellowship and plans for next year to present to Board of Trustees by April 5?

George M. Reynolds, Rosenwald Fund

Rosenwald Fund  
4901 Ellis Drex 7100

FISK

THE QUICKEST, SUREST AND SAFEST WAY TO SEND MONEY IS BY TELEGRAPH OR CABLE

## ALL MESSAGES TAKEN BY THIS COMPANY ARE SUBJECT TO THE FOLLOWING TERMS:

To guard against mistakes or delays, the sender of a message should order it repeated, that is, telegraphed back to the originating office for comparison. For this, one-half the un-repeated message rate is charged in addition. Unless otherwise indicated on its face, this is an un-repeated message and paid for as such, in consideration whereof it is agreed between the sender of the message and this company as follows:

1. The company shall not be liable for mistakes or delays in the transmission or delivery, or for non-delivery, of any message received for transmission at the un-repeated-message rate beyond the sum of five hundred dollars; nor for mistakes or delays in the transmission or delivery, or for non-delivery, of any message received for transmission at the repeated-message rate beyond the sum of five thousand dollars, *unless specially valued*; nor in any case for delays arising from unavoidable interruption in the working of its lines; nor for errors in cipher or obscure messages.

2. In any event the company shall not be liable for damages for mistakes or delays in the transmission or delivery, or for the non-delivery, of any message, whether caused by the negligence of its servants or otherwise, beyond the sum of five thousand dollars, at which amount each message is deemed to be valued, unless a greater value is stated in writing by the sender thereof at the time the message is tendered for transmission, and unless the repeated-message rate is paid or agreed to be paid, and an additional charge equal to one-tenth of one percent of the amount by which such valuation shall exceed five thousand dollars.

3. The company is hereby made the agent of the sender, without liability, to forward this message over the lines of any other company when necessary to reach its destination.

4. Domestic messages and incoming cable messages will be delivered free within one-half mile of the company's office in towns of 5,000 population or less, and within one mile of such office in other cities or towns. Beyond these limits the company does not undertake to make delivery, but will, without liability, at the sender's request, as his agent and at his expense, endeavor to contract for him for such delivery at a reasonable price.

5. No responsibility attaches to this company concerning messages until the same are accepted at one of its transmitting offices; and if a message is sent to such office by one of the company's messengers, he acts for that purpose as the agent of the sender.

6. The company will not be liable for damages or statutory penalties in any case where the claim is not presented in writing within sixty days after the message is filed with the company for transmission.

7. It is agreed that in any action by the company to recover the tolls for any message or messages the prompt and correct transmission and delivery thereof shall be presumed, subject to rebuttal by competent evidence.

8. Special terms governing the transmission of messages according to their classes, as enumerated below, shall apply to messages in each of such respective classes in addition to all the foregoing terms.

9. No employee of the company is authorized to vary the foregoing.

### THE WESTERN UNION TELEGRAPH COMPANY

INCORPORATED  
R. B. WHITE, PRESIDENT

## CLASSES OF SERVICE

### TELEGRAMS

A full-rate expedited service.

### NIGHT MESSAGES

Accepted up to 2:00 A.M. at reduced rates to be sent during the night and delivered not earlier than the morning of the ensuing business day.

Night Messages may at the option of the Telegraph Company be mailed at destination to the addressees, and the Company shall be deemed to have discharged its obligation in such cases with respect to delivery by mailing such night messages at destination, postage prepaid.

### DAY LETTERS

A deferred day service at rates lower than the standard telegram rates as follows: One and one-half times the standard night letter rate for the transmission of 50 words or less and one-fifth of the initial rates for each additional 10 words or less.

#### SPECIAL TERMS APPLYING TO DAY LETTERS:

In further consideration of the reduced rate for this special Day Letter service, the following special terms in addition to those enumerated above are hereby agreed to:

A. Day Letters may be forwarded by the Telegraph Company as a deferred service and the transmission and delivery of such Day Letters is, in all respects, subordinate to the priority of transmission and delivery of regular telegrams.

B. This Day Letter is received subject to the express understanding and agreement that the Company does not undertake that a Day Letter shall be delivered on the day of its date absolutely, and at all events; but that the Company's obligation in this respect is subject to the condition that there shall remain sufficient time for the transmission and delivery of such Day Letter on the day of its date during regular office hours, subject to the priority of the transmission of regular telegrams under the conditions named above.

### NIGHT LETTERS

Accepted up to 2:00 A.M. for delivery on the morning of the ensuing business day, at rates still lower than standard night message rates, as follows: The standard telegram rate for 10 words shall be charged for the transmission of 50 words or less, and one-fifth of such standard telegram rate for 10 words shall be charged for each additional 10 words or less.

#### SPECIAL TERMS APPLYING TO NIGHT LETTERS:

In further consideration of the reduced rates for this special Night Letter service, the following special terms in addition to those enumerated above are hereby agreed to:

Night Letters may at the option of the Telegraph Company be mailed at destination to the addressees, and the Company shall be deemed to have discharged its obligation in such cases with respect to delivery by mailing such Night Letters at destination, postage prepaid.

### FULL RATE CABLES

An expedited service throughout. Code language permitted.

### DEFERRED HALF-RATE CABLES

Half-rate messages are subject to being deferred in favor of full rate messages for not exceeding 24 hours. Must be written in plain language.

### CABLE NIGHT LETTERS

An overnight service for plain language communications, at one-third the full rate, or less. Minimum of 25 words charged for. Subject to delivery at the convenience of the Company within 24 hours.

### SHIP RADIOGRAMS

A service to and from ships at sea, in all parts of the world. Plain language or code language may be used.

# Julius Rosenwald Fund

4901 Ellis Avenue  
CHICAGO

FELLOWSHIPS

To

Mr. John Tyler Caldwell

Graduate College

Princeton, New Jersey

Payment Voucher No. 7871

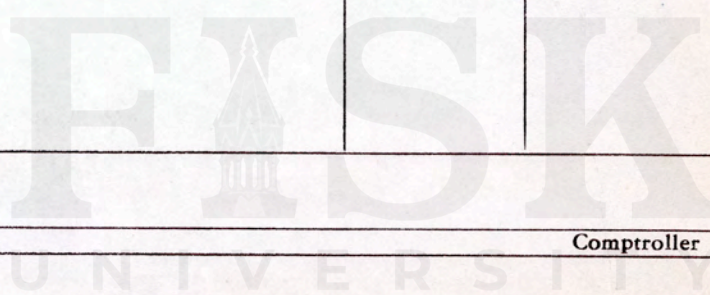
Date March 15, 1939

Fifth installment on fellowship granted 4/16/38 - - - - - \$200.00

Ck.#20958

Accounts	Appropriation No.	Debit	Credit
White Southern Fellowships	37-8A	\$200.00	

Prepared by AM	Checked by	Posted by	Comptroller
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# Julius Rosenwald Fund

4901 Ellis Avenue  
CHICAGO

FELLOWSHIPS

To

Princeton University  
Office of the Bursar  
Princeton, New Jersey

Payment Voucher No. 7877

Date March 7, 1939

Your statement dated 3/2/39 covering tuition, board and room for

Mr. John Tyler Caldwell ----- \$375.00

Ck. #20969

Accounts	Appropriation No.	Debit	Credit
White Southern Fellowships	37-8A	\$375.00	

Prepared by

DAE

Checked by

Posted by

Comptroller

ESK

UNIVERSITY



# FELLOWSHIPS

March 13, 1939

Dear Mr. Caldwell: On March 8 a check for \$375 was sent to Princeton to cover your fees for the current semester.

The enclosed check for \$200 represents the final payment on your fellowship grant. Payments have been made as follows:

6/15/38	- - - - -	\$100
9/15/38	- - - - -	250
11/1/38	- - - - -	375 (tuition)
12/14/38	- - - - -	200
3/7/39	- - - - -	375 (tuition)
3/13/39	- - - - -	<u>200</u> (check enclosed)
		<u>\$1,500</u>

We in this office hope that the present year will prove to be a most profitable one for you.

Very truly yours,

DOROTHY A. ELVIDGE

DE:AM

Mr. John T. Caldwell  
Graduate College  
Princeton, New Jersey



# FELLOWSHIPS

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	Mlu		Nuo	

Graduate College  
Princeton, N. J.  
March 6, 1939

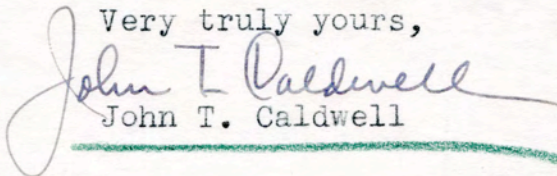
Miss D. A. Elvidge  
Comptroller  
Julius Rosenwald Fund  
4901 Ellis Avenue  
Chicago, Ill.

Dear Miss Elvidge:

I am enclosing a statement from the University  
for the semester's board, room and tuition. The amount  
is \$375.00.

Thank you.

Very truly yours,

  
John T. Caldwell

FISK  
UNIVERSITY

# Julius Rosenwald Fund

4901 Ellis Avenue  
CHICAGO

# FELLOWSHIPS

To Mr. John Tyler Caldwell  
Graduate College  
Princeton, New Jersey

Payment Voucher No. 7479

Date December 14, 1938

Fourth payment on fellowship granted 4/16/38 - - - - - \$200.00

Ck.#20514

Accounts	Appropriation No.	Debit	Credit
White Southern Fellowships	37-8A	\$200.00	

Prepared by	Checked by	Posted by	Comptroller
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AM





# Julius Rosenwald Fund

4901 Ellis Avenue  
CHICAGO

## FELLOWSHIPS

To  
Princeton University  
Office of the Bursar  
Princeton, New Jersey

Payment Voucher No. 7524

Date November 1, 1958

Your statement to Mr. John Tyler Caldwell for:

Graduate College Board and Room - - - - -	\$275.00
Graduate School Tuition - - - - -	<u>100.00</u>
	<u>\$375.00</u>

Ck.#20335

Accounts	Appropriation No.	Debit	Credit
White Southern Fellowships	37-8A	\$375.00	

Prepared by <b>AM</b>	Checked by	Posted by	Comptroller
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Princeton, N. J.,

OCT 27 1938

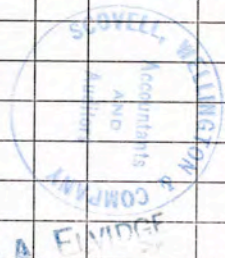
Mr. Caldwell, John Tyler

Class P.G.

To PRINCETON UNIVERSITY, Dr.

THIS SECTION NEED NOT BE RETURNED UNLESS A RECEIPTED BILL IS DESIRED

	CHARGES	CREDITS	BALANCE
Balance Due (Bill Previously Rendered)			
Advance Payment			
Board			
Room Rent			
Tuition Fee	<del>225 00</del>		
General Fee	<del>30 00</del>		
Library Fines			
Broken Glass			
Graduate College Board and Room	275 00		
Graduate School Tuition	100 00		
Matriculation Fee			
Whig-Clio			
Class Dues			
Fine (Delay in Reporting Electives)			
Graduation Fee			
Interest on Student Loans			
Student Aid			
Dining Hall Earnings			
	375 -		375 -



# FELLOWSHIPS

	SG	11/1	SG	11/2
	Mtu		Mtu	

Graduate College  
Princeton, N. J.  
October 29, 1938

D. A. Elvidge  
Comptroller  
Julius Rosenwald Fund  
4901 Ellis Avenue  
Chicago, Illinois

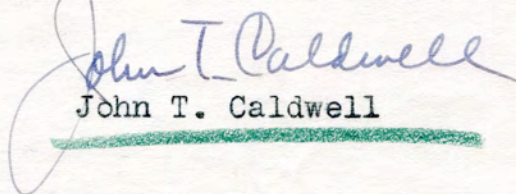
Dear Miss Elvidge:

I am enclosing a statement from the Bursar of Princeton University for a total amount of \$375.00, covering my board room and tuition for the first semester of the academic year.

With reference to your letter of September 7, I might say that I, too, was disappointed not to have met you and others of the Fund administration when I had lunch with Dr. Embree in August.

Thanking you for your attention to the above statement,  
I am

Very sincerely yours,

  
John T. Caldwell

# Julius Rosenwald Fund FELLOWSHIPS

4901 Ellis Avenue  
CHICAGO

To

Mr. John Tyler Caldwell  
Yazoo City, Mississippi

Payment Voucher No. 7102

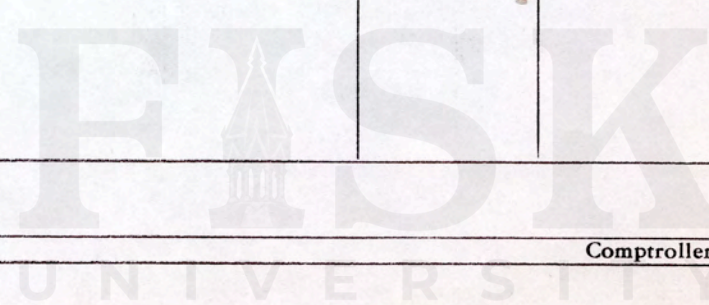
Date September 15, 1938

Second installment on fellowship granted 4/16/38 - - - - - \$250.00

Ck.#20081

Accounts	Appropriation No.	Debit	Credit
White Southern Fellowships	37-8A	\$250.00	

Prepared by AM	Checked by	Posted by	Comptroller
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# FELLOWSHIPS

ERE	6	500	0
RE		50	9/7
North Street			
Yazoo City, Miss.			
September 3, 1938			

Mr. Edwin R. Embree  
 President  
 Julius Rosenwald Fund  
 4901 Ellis Ave.  
 Chicago, Ill.

ERE	6		0

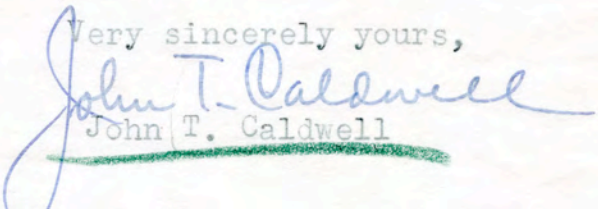
Dear Mr. Embree:

Thank you for the lunch, the pleasant company, and the nice visit with you on Friday a week ago. It was all too short.

I should like to have my payments made to me differently this year from last, for the reasons that it is more economical from the banking standpoint and more suitable to the type of work I will be obliged to do on my thesis. Instead of sending a monthly check, could you have the amount of \$650 sent to me as follows: \$250 on September 15, \$200 on December 15, and the final \$200 on March 15. (Tuition, room and board will total \$750 as last year, and I have already received one advance of \$100 to assist on the summer school just completed at Wisconsin. These amounts leave \$650 in the grant.) Thank you for referring this to Miss Elvidge.

*Σ - but for how all night with me RE*

With my very best regards, I am

Very sincerely yours,  
  
 John T. Caldwell

P.S. My address will be here in Yazoo City until September 20, after which I will be at the Graduate College, Princeton, N. J.



# FELLOWSHIPS

PRINCETON UNIVERSITY

mk

222 Lake Lawn Pl.

Madison, Wis.

Aug. 18, 1938

Dear Miss McKay,

Thank you for your letter of  
Aug. 16, You may certainly expect  
me for lunch at 12:30 on the  
26<sup>th</sup> at the Fund offices.

Very truly yours,

John T. Caldwell

Miss Mary E. McKay  
Julius Rosenwald Fund  
4901 Ellis Ave.  
Chicago, Ill.

FISK  
UNIVERSITY

# FELLOWSHIPS

August 16, 1938

Dear Mr. Caldwell: Your letter to Mrs. Simon has come after she has left the city on an extended field trip. She will be very sorry to miss seeing you when you come through Chicago again. Although most of the other officers will also be away, I hope you will come out to the Fund anyway. Mr. Embree will be here then, I think, and he and the rest of the staff would be delighted to have you have lunch with us. May we expect you at 12:30 on the 26th?

Very truly yours,

MARY ELIZABETH MCKAY  
Secretary to Mrs. Simon

Mr. John T. Caldwell  
~~Psi Upsilon House~~  
222 Lake Lawn Place  
Madison, Wisconsin

FISK  
UNIVERSITY

# FELLOWSHIPS

	MSS	16	Muk	16
	JCD		Rw	o
	JFS		Rw	o

Psi Upsilon House  
222 Lake Lawn Place  
Madison, Wis.  
August 14, 1938

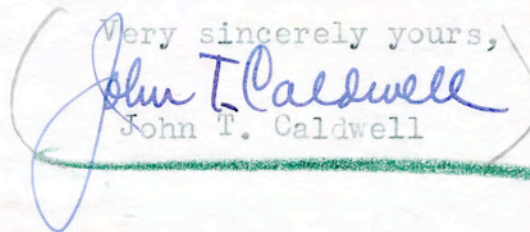
Miss Margaret S. Simon  
Secretary  
Julius Rosenwald Fund  
4901 Ellis Ave.  
Chicago, Ill.

Dear Miss Simon:

I hope that you and your hospitable housekeeper of "4901 Ellis Ave." have not forgotten my brief stop back in June, or more particularly the fact that I engaged to have lunch with you on my return through Chicago.

It appears now that I will have my last examination here on Thursday morning, August 25, which will permit me to be in Chicago easily by noon on Friday, the 26th. And at that time I can think of no disappointment greater than my not getting to meet some of the personnel of the offices of the Fund.

I shall await hearing from you. With kindest regards,  
I am

Very sincerely yours,  
  
John T. Caldwell

# Julius Rosenwald Fund

4901 Ellis Avenue  
CHICAGO

FELLOWSHIPS

To

Mr. John T. Caldwell  
Graduate College  
Princeton, New Jersey

Payment Voucher No. 6806

Date June 15, 1938

First payment on fellowship granted 4/16/38 - - - - - \$100.00

Ck.#19728

Accounts	Appropriation No.	Debit	Credit
White Southern Fellowships	37-8A	\$100.00	

Prepared by AM	Checked by	Posted by	Comptroller
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# FELLOWSHIPS

June 15, 1938

Dear Mr. Caldwell: I am enclosing our check  
for \$100 representing an  
advance on your grant of \$1,500 for the 1938-39  
school term. Kindly write me again in the fall  
outlining a plan of payment covering the balance  
due you.

Very truly yours,

DOROTHY A. ELYDGE

DE:AM

Mr. John T. Caldwell  
Graduate College  
Princeton, New Jersey

FISK  
UNIVERSITY

# FELLOWSHIPS

RRP	14	BP	0
SC		SC	15

Graduate College  
Princeton, N. J.  
June 13, 1938

Mr. Raymond Paty  
Director for Fellowships  
Julius Rosenwald Fund  
4901 Ellis Avenue  
Chicago, Illinois

Dear Mr. Paty:

Thank you for your sympathetic letter of June 10. I am prepared to take your suggestion with respect to calling upon you for an advance on my grant for summer use, which will very likely solve my immediate problem in a very satisfactory manner.

I should like, then, to ask for an advance of one hundred dollars from my 1938-39 grant. This will require supplementing slightly, but I can manage that all right without disturbing the grant more than to the extent of \$100.00.

I am happy to congratulate you on the honor and advanced responsibility bestowed upon you to the headship of Birmingham-Southern. At the same time I have the feeling that a friend of mine will not be with the Fund next year and that I shall miss him. My very best wishes to you, sir! I hope that we may meet in the South.

Thanking you for your assistance in the above matter, I am

Gratefully yours,  
*John T. Caldwell*  
John T. Caldwell

P. S. I would like to leave here June 22nd for Madison, Wis., and would like to have these arrangements completed this week if possible. Thank you.



FELLOWSHIPS

Caldwell - John J

June 10, 1938

Dear Mr. Caldwell: I have read your letter describing plans for the summer with great interest. I am sorry to tell you, however, that the Fund makes it a policy not to lend money, and I am rather doubtful that it will be possible to make an additional grant. Personally I am eager to see you carry out the proposed plan for the summer, and I have only one suggestion to make from our angle. If you feel it desirable to call on us for a part of your grant for summer use, and thus be able to defer borrowing money until toward the close of the tenure of your fellowship, such an arrangement could be made.

We are delighted to hear that you have successfully passed the doctoral preliminaries as well as the language requirements, and we shall continue to follow with the greatest interest the work you are doing.

I am leaving the Fund this month to assume the presidency of Birmingham-Southern College in Birmingham, Alabama, and I hope that I shall have the opportunity to see you when you return to the South.

Sincerely yours,

RAYMOND R. PATY

RP:MLU

Mr. John T. Caldwell  
Graduate College  
Princeton, New Jersey

FISK  
UNIVERSITY

# Julius Rosenwald Fund

4901 Ellis Avenue  
CHICAGO

# FELLOWSHIPS

To

Mr. John Tyler Caldwell

Graduate College

Princeton, New Jersey

Payment Voucher No. 9029

Date June 6, 1958

Final payment on fellowship granted 4/15/57 - - - - - \$70.00

Ch.#9029 W.F.

Accounts	Appropriation No.	Debit	Credit
White Southern Fellowships	36-31A	\$70.00	

Prepared by

Checked by

Posted by

AM

Comptroller



FELLOWSHIPS

*Caldwell, John J.*

RRF	6	AP	10
DE		SE	

Graduate College  
Princeton, N. J.  
June 4, 1938

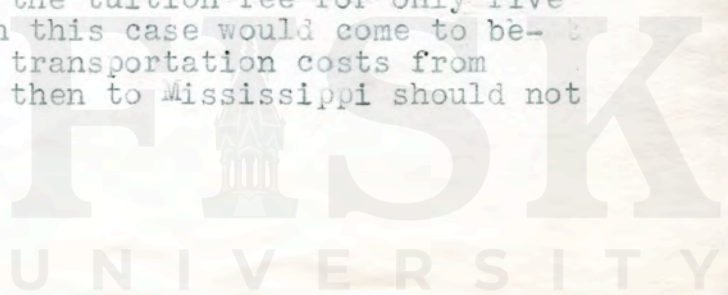
Mr. Raymond Paty  
Director  
Committee for Fellowships  
Julius Rosenwald Fund  
Chicago, Illinois

Dear Mr. Paty:

You will probably be interested to know that I succeeded in passing my language requirements in French and German and then my doctoral preliminaries, which leaves me free to work on my dissertation project during this next year. But that is not the purpose of this letter.

I feel that there are three subjects in which I should have some formal instruction and knowledge, partially for their value in the dissertation study and certainly for their ultimate value to me in whatever research or administrative position in public administration I might hold. These subjects are: principles of statistical analysis, principles of accounting, and administrative law. This is all with the advice of Dr. Sly, who will be my principal overseer next year.

Since examinations one week ago I have obtained bulletins from several summer schools which I knew would be reasonable and would not be so far out of my way to Mississippi to entail considerable transportation costs. Rapid-fire exchange of letters has revealed the following possible alternatives: I can obtain the statistics and administrative law at the U. of Wisconsin over a period of about nine weeks at an approximate cost of \$150.00 exclusive of transportation. I can obtain the statistics, accounting, and administrative law, all three, by going to the U. of Minnesota for six weeks for the first two at approximately \$75.00 and then going to Wisconsin for the course in administrative law (which begins three days after the first six weeks at Minn.) at an approximate cost of between \$85.00 and \$100.00, depending upon what adjustment they might make in the tuition fee for only five instead of ten weeks. The total in this case would come to be between \$160.00 and \$175.00. Total transportation costs from here to either of those places and then to Mississippi should not exceed \$40.00.



In order to carry through any one of these programs I will have to borrow some money. To take the statistics and administrative law (which are the most important of the three) both at Wisconsin would necessitate my borrowing not more than \$120.00. To take only the six weeks of statistics and accounting at Minnesota would necessitate my borrowing a maximum of \$50.00. To take the two courses at Minnesota and the administrative law at Wisconsin would require a maximum of \$140.00 borrowed. For practical purposes my preference assumes the order, unfortunately, of the most expensive alternative downward.

Now, inasmuch as I will have to borrow from some private bank in the fall to pay my proportionate share to the family, I would like to avoid that source for this purpose. Therefore, I have reluctantly persuaded myself to inquire of you whether or not there is any provision made in the Rosenwald Fund for making loans supplementary to the fellowship grants such as I hold. If there is, or you can suggest an alternative, I would greatly appreciate your advice on this whole matter and any practical assistance you can afford me.

The session at Minnesota begins on June 13 and the session at Wisconsin on June 27. I shall have to make my plans immediately if I go to Minnesota. If there is no loan to be had from the Rosenwald Fund, I shall negotiate a loan elsewhere for fifty dollars, attend the six weeks at Minnesota and forego the administrative law at Wisconsin, though I should regret doing so. The accounting is most easily omitted.

I am under no obligations to anyone to get these courses, or to get them at this point. However, I think their practical value to me is unquestionable, and I feel that I will get them now or not at all, and that they have an additional value now which they will not possess after the completion of the immediate project in tax administration in Mississippi.

May I hear from you soon? Thank you very much for this and for your past favors.

Very sincerely yours,

*John T. Caldwell*  
John T. Caldwell

P.S. Can you have the comptroller send the last check on the grant right away instead of delaying it until the 15th as originally requested? I would like to have it in the event I do go to Minnesota by the 13th. Thank you.

*check sent  
6/6 (\$70.00)  
final part  
last year  
grant*



# FELLOWSHIPS

	ERE	6	EDD	

Graduate College  
Princeton, N. J.  
June 3, 1938

Dr. Edwin R. Embree  
President  
Julius Rosenwald Fund  
4901 Ellis Ave.  
Chicago, Illinois

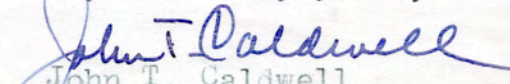
Dear Dr. Embree:

I want to give you these belated thanks for your kind letter of last month and for the good things that have come to me through the Rosenwald Fund.

For the past month I have been concentrating on passing the doctoral preliminaries which I took last week. Also, I had to pass an examination in German before taking them. All of which means that I let any and all correspondence go by the board until the examinations were done with. You will be interested to know that I "passed". This leaves me free to work on my dissertation. However, I am going to spend nine weeks this summer studying statistics and administrative law at the University of Wisconsin. I have been advised that this is essential and I myself recognize my need of such studies.

Thank you again for your personal note.

Very sincerely yours,

  
John T. Caldwell

# Julius Rosenwald Fund

4901 Ellis Avenue  
CHICAGO

# FELLOWSHIPS

To

Mr. John Tyler Caldwell

Graduate College

Princeton, New Jersey

Payment Voucher No. 6668

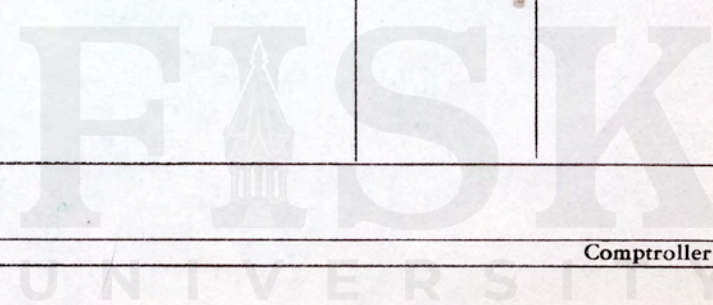
Date May 13, 1938

Eleventh payment on fellowship granted 4/18/37 - - - - - \$75.00

Ck. #19571

Accounts	Appropriation No.	Debit	Credit
White Southern Fellowships	36-31A	\$75.00	

Prepared by AM	Checked by	Posted by	Comptroller
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# FELLOWSHIPS

7

May 9, 1938

Dear Mr. Caldwell:      Having sat on the committee which considered your candidacy for a Rosenwald fellowship for each of the two past years, I want to send you this personal note to tell you how much interested the members of the committee are in the problem which you are attacking and in the brilliant work which, from all reports, you are doing. Taxation is certainly one of the significant problems of public affairs in the South. If you can make constructive contributions to this vexed area, you will be serving well your native land. I hope as the months go on I may have an opportunity of meeting you. Meanwhile, my congratulations and my best wishes.

Very truly yours,

EDWIN R. EMBREE

ERE:JW

Mr. John T. Caldwell  
Graduate College  
Princeton University  
Princeton, New Jersey

FISK  
UNIVERSITY

# FELLOWSHIPS

Caldwell, John T.

Graduate College  
Princeton, N. J.  
April 18, 1938

	RRP	20	RP	0

Mr. Raymond Paty  
Director for Fellowships  
Julius Rosenwald Fund  
4901 Ellis Avenue  
Chicago, Ill.

Dear Mr. Paty:

I regret that I am not able to thank you and each of the members of the Fellowship Committee personally for the renewal grant. I wired you immediately upon receipt of the notification this morning of my acceptance. This letter will serve to underscore the word "gratefully".

Incidentally, I thoroughly enjoyed a pleasant half-hour with Mr. Moe in New York last Thursday and am very glad that at last I have met at least one of my benefactors.

You might be interested to know that I had dinner with Mrs. Mabel Walker Willebrandt on her farm out from Gettysburg two Sundays past. Half of my short stay was taken up with her interesting recounting of the many conversations she had with Mr. Rosenwald regarding his plans for setting up a fellowship fund, prior to his passing but not so many years ago, of course. She said that in the interim between her last conversation with him on the matter and having lunch with me one day in Washington last September she had heard nothing of his decision, and that in meeting me with my Sister on that September day and hearing me tell about "how" I obtained a grant from the Fund, her former conversations came back quite in relief. I hardly need to add that Mrs. Willebrandt feels a reverence for his memory.

Depending upon the status of my General Examinations this summer, it is possible that I may borrow a little money to take a course in administrative law somewhere for not more than five or six weeks. I have been advised that it would be a good idea. In any event, I shall use the summer in thesis preparation.

With my kindest regards and with thanks to the members of the Committee, I am

Very sincerely yours,  
*John T. Caldwell*  
John T. Caldwell

*mlc*

## CLASS OF SERVICE

This is a full-rate Telegram or Cablegram unless its deferred character is indicated by a suitable symbol above or preceding the address.

# WESTERN UNION<sup>(57)</sup>

R. B. WHITE  
PRESIDENT

NEWCOMB CARLTON  
CHAIRMAN OF THE BOARD

J. C. WILLEVER  
FIRST VICE-PRESIDENT

## SYMBOLS

DL = Day Letter
NM = Night Message
NL = Night Letter
LC = Deferred Cable
NLT = Cable Night Letter
Ship Radiogram

The filing time shown in the date line on telegrams and day letters is STANDARD TIME at point of origin. Time of receipt is STANDARD TIME at point of destination.

Received at 5238 Blackstone Ave., Chicago, Ill. Midway 4321

CBL25 10=PRINCETON NJ 18 1022A

RAYMOND PATY=

4901 ELLIS AVE=

1938 APR 18 AM 9 59

FELLOWSHIPS

ACCEPT GRATEFULLY RENEWAL GRANT NOTE HOME ADDRESS YAZOO  
CITY MISSISSIPPI=

JOHN T CALDWELL.

RRP

18

8

FISK

# Julius Rosenwald Fund

4901 Ellis Avenue  
CHICAGO

FELLOWSHIPS

To

Mr. John Tyler Caldwell

Graduate College

Princeton, New Jersey

Payment Voucher No. 6525

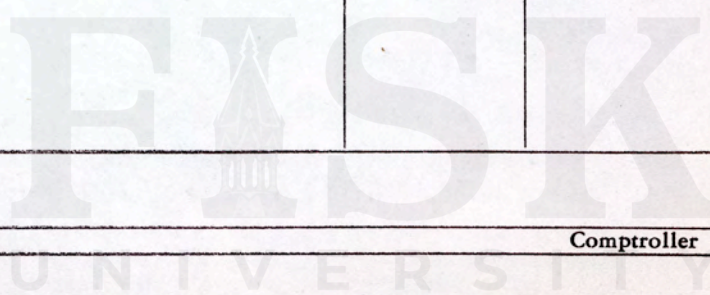
Date April 15, 1938

Tenth payment on fellowship granted 4/15/37 - - - - - \$75.00

Ck.#19400

Accounts	Appropriation No.	Debit	Credit
White Southern Fellowships	36-31A	\$75.00	

Prepared by	Checked by	Posted by	Comptroller
AM			



# Julius Rosenwald Fund

4901 Ellis Avenue  
CHICAGO

# FELLOWSHIPS

To

Mr. John Tyler Caldwell

Graduate College

Princeton, New Jersey

Payment Voucher No. 6410

Date March 15, 1938

Eighth payment on fellowship granted 4/15/37 - - - - - \$75.00

Ck.#19260

Accounts	Appropriation No.	Debit	Credit
White Southern Fellowships	36-31A	\$75.00	

Prepared by AM	Checked by	Posted by	Comptroller
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# Julius Rosenwald Fund

4901 Ellis Avenue  
CHICAGO

FELLOWSHIPS

To  
Princeton University  
Office of the Bursar  
Princeton, New Jersey

Payment Voucher No. 6416

Date March 7, 1938

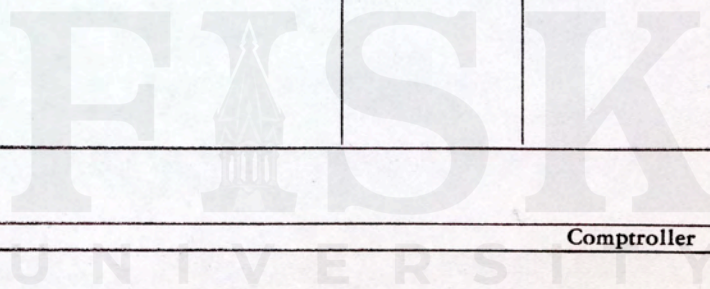
Your statement dated March 5, 1938 for fees for John T. Caldwell

Graduate College Board and Room - - - - -	\$275.00
Graduate School Tuition - - - - -	<u>100.00</u>
	<u>\$375.00</u>

Ck. #19271

Accounts	Appropriation No.	Debit	Credit
White Southern Fellowships	36-31A	\$375.00	

Prepared by AM	Checked by	Posted by	Comptroller
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Δε	2/24	Δε	o

FELLOWSHIPS

Graduate College  
Princeton, N. J.  
February 22, 1938

Miss Dorothy A. Elvidge  
Comptroller  
Julius Rosenwald Fund  
4901 Ellis Avenue  
Chicago, Ill.

Dear Miss Elvidge:

The bill for my second semester fees will likely be presented to me as was done before, in which case I shall forward it to you and you may make the payment to the Bursar directly then.

Thank you for your promptness in handling my grant.

Sincerely yours,

  
John T. Caldwell

FISK  
UNIVERSITY

# Julius Rosenwald Fund

4901 Ellis Avenue  
CHICAGO

## FELLOWSHIPS

To

Mr. John Tyler Caldwell

Graduate College,

Princeton, New Jersey

Payment Voucher No. 6292

Date February 15, 1938

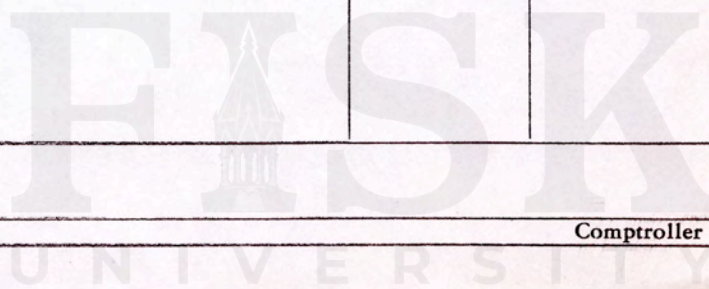
Seventh payment on fellowship granted 4/15/37 - - - - - \$75.00

Ok. #19125

Accounts	Appropriation No.	Debit	Credit
White Southern Fellowships	56-31A	\$75.00	

Prepared by	Checked by	Posted by	Comptroller
AM			





February 7, 1938

Dear Mr. Caldwell: I found your letter of February 1 with the accompanying report when I returned to the office this morning after a few days in Nashville.

Application for renewal of a fellowship is acted upon at the regular meeting of our Fellowship Committee, and final decision will be made near the first of April. I regret that it will be impossible for us before that date to give you any definite information about the action of the Committee, but I can assure you that you will be given very careful consideration.

Very truly yours,

RP:MLU

Mr. John Tyler Caldwell  
Graduate College  
Princeton University  
Princeton, New Jersey

FISK  
UNIVERSITY

file.

RRP	4	RP	7

Graduate College  
Princeton University  
Princeton N. J.  
February 1, 1938

Mr. Raymond Paty  
Director for Fellowships  
Julius Rosenwald Fund  
4901 Ellis Avenue  
Chicago, Illinois

Dear Mr. Paty:

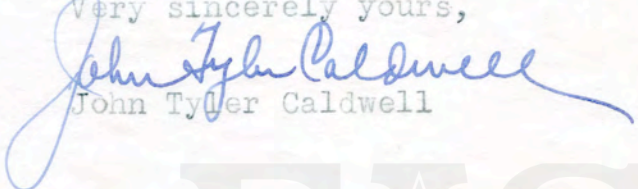
I am attaching the information which you have stated was necessary in applying for a renewal of my fellowship. I would have submitted it sooner but for the fact that I wished to arrive at some conclusions about the status of my work toward a Ph. D., arrange my courses for the second term, and discuss with Prof. Lutz my dissertation plans some more. Also, I have just taken my examination in Public Finance.

When someone has been very generous it can easily appear that a request for "more" is a mark of incomplete gratitude. May I assure the Committee that I am daily grateful for the privilege of my first grant and that my request for a second is in the spirit of humility. My year has been intensely worth while thus far and will end that way in June. Since, however, its full utility will depend somewhat upon the completion of the Degree, requiring one more year of study and research, which I am in no position to undertake in the absence of subsidization, I am asking you to assist me again.

Inasmuch as I have at present no alternative plans for 1938-39, it would be a great convenience for me to know when I might expect a verdict on this application.

Thanking you, I am

Very sincerely yours,

  
John Tyler Caldwell



# Julius Rosenwald Fund

4901 Ellis Avenue  
CHICAGO

FELLOWSHIPS

To John Tyler Caldwell  
Graduate College  
Princeton, New Jersey

Payment Voucher No. 6158

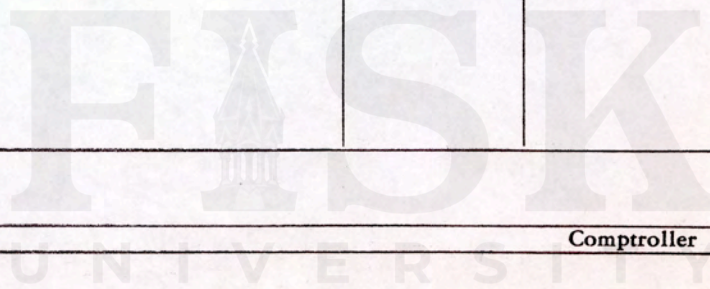
Date January 14, 1938

Sixth payment on fellowship granted 4/15/37 - - - - - \$75.00

Ck.#18963

Accounts	Appropriation No.	Debit	Credit
White Southern Fellowships	36-31A	\$75.00	

Prepared by AM	Checked by	Posted by	Comptroller
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# CROSS REFERENCE RECORD

**FIRM NAME OR SUBJECT** FELLOWSHIPS CALDWELL JOHN T **FILE NO.** \_\_\_\_\_

**DATE** 1-10-38 **REMARKS** Dean Eisenhart of Princeton University  
comments on work of Mr. Caldwell.

**SEE** FELLOWSHIPS (GENERAL) **FILE NO.** \_\_\_\_\_

**DATE** \_\_\_\_\_ **SIGNED** \_\_\_\_\_

FILE CROSS REFERENCE RECORD UNDER NAME OR SUBJECT LISTED AT TOP OF THIS SHEET, AND IN PROPER DATE ORDER.  
THE PAPERS REFERRED TO SHOULD BE FILED UNDER NAME OR SUBJECT LISTED UNDER "SEE"

**YAWMAN AND ERBE MFG. CO.**  
ROCHESTER, N. Y.



# Julius Rosenwald Fund

4901 Ellis Avenue  
CHICAGO

## FELLOWSHIPS

To

Mr. John Tyler Goldwell

Graduate College

Princeton, New Jersey

Payment Voucher No. 5983

Date December 13, 1937

Fifth instalment on fellowship granted 4/15/37 - - - - - \$75.00

Ck.#18766

Accounts	Appropriation No.	Debit	Credit
White Southern Fellowships	36-31A	\$75.00	

Prepared by

AM

Checked by

Posted by

Comptroller



# Julius Rosenwald Fund

4901 Ellis Avenue  
CHICAGO

## FELLOWSHIPS

To  
Mr. John Tyler Caldwell  
Graduate College  
Princeton, New Jersey

Payment Voucher No. 5797

Date November 12, 1937

Fourth payment on fellowship granted 4/15/37 - - - - - \$75.00

Ch.#18551

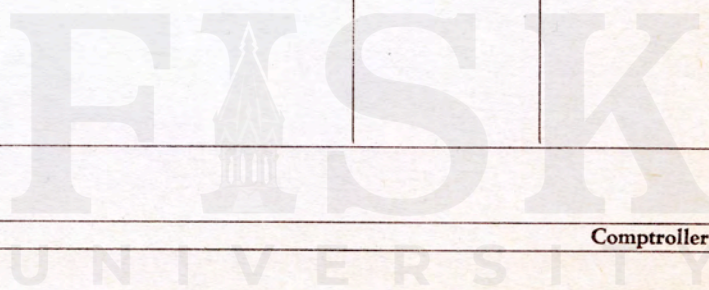
Accounts	Appropriation No.	Debit	Credit
White Southern Fellowships	36-31A	\$75.00	

Prepared by  
**AM**

Checked by

Posted by

Comptroller



# Julius Rosenwald Fund

4901 Ellis Avenue

CHICAGO

# FELLOWSHIPS

To  
Princeton University  
Princeton, New Jersey

Payment Voucher No. 5750

Date November 1, 1937

Fees for John T. Caldwell:

Graduate College Board and Room - - - - -	\$275.00
Graduate School Tuition - - - - -	100.00
Matriculation Fee - - - - -	<u>5.00</u>
	<u>\$380.00</u>

Ck.#18504

Accounts	Appropriation No.	Debit	Credit
White Southern Fellowships	36-31A	\$380.00	

Prepared by

Checked by

Posted by

AM

Comptroller





# FELLOWSHIPS

Caldwell, John J

October 26, 1937

Dear Mr. Caldwell: We are delighted to hear of the happy arrangements that you have made at Princeton. Your estimate of the opportunities coincides perfectly with reports that have come from various friends of mine who have taken graduate work there.

You ask about making application for a continuation of your grant for 1938-39. As I think you know, our fellowships are normally granted for only one year. Renewals are considered in exceptional cases, however, and if you wish to apply please submit the following information by February 15, 1938:

1. A preliminary report of the work that has been accomplished during your tenure of fellowship.
2. A plan of work for the ensuing year. (5 copies)
3. The names of two or three people under whom you have worked during your Fund fellowship.
4. The amount requested from the Fund.

I shall be glad to hear of your progress during the year. With all good wishes for your continued success, I am

Very truly yours,

RAYMOND R. PATY

RP:MLJ

Mr. John Tyler Caldwell  
Graduate College  
Princeton, New Jersey

FISK  
UNIVERSITY

✓  
Jan 1  
62 0111111111

FELLOWSHIPS  
Caldwell - Johnson

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Graduate College  
Princeton, N. J.  
October 21, 1937

Mr. Raymond Paty  
Director for Fellowships  
Julius Rosenwald Fund  
4901 Ellis Avenue  
Chicago, Illinois

Dear Mr. Paty:

I feel settled enough here at Princeton Graduate College now to be justified in writing you an assessment of the situation.

I truly believe that there could be no place planned more perfectly for graduate study than the Princeton Graduate College. The residential plant is charming and convenient as a unit, and removed sufficiently from the undergraduate campus to avoid its distractions and perhaps less serious atmosphere. Library facilities are complete and generously governed. The Public Administration Collection is a most complete aggregation of books, bulletins, and state and local government reports on contemporary governmental problems. The work of the Princeton Local Government Survey seems to be quite constructive, and it is very much of a going concern. Professor Carpenter, Chairman of the Department of Politics, stated that he was going to "educate Caldwell through the Survey and Lutz"; nothing would please me more. Prof. Lutz is a hard worker and a sound thinker, which partially accounts for the fact that he exacts the same of his students.

Dr. Alexander was unable to give me an appointment on my way up due to his leaving the day after I arrived in Washington. I did see Dr. Mercer Evans, who was interested in my plans, enough so that he arranged for me to talk with Dr. Bryant, tax advisor connected with the Federal Reserve Board. This interview was very worthwhile, Dr. Bryant having taught at the U. of Georgia and collaborated with Prof. Lutz of Princeton on his "Georgia System of Revenue"

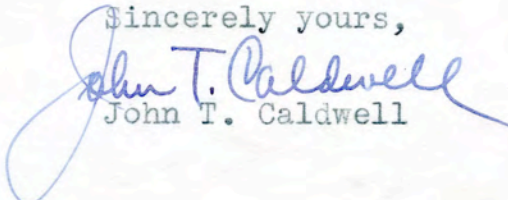
in addition to having been the author of some monographs on the property tax in Georgia. I also talked with three other men of the Resettlement Administration, one of whom was a former acquaintance, all of whom appeared to be interested in my fellowship and my aims following completion of work here.

Dean Eisenhart today asked me to feel out the Southern students here in the Graduate College to let them know about the Rosenwald Fellowships for 1938-39 in case any should wish to apply. I think I understand your objectives and general requirements and I assume the task cheerfully. You will be interested to know that there are some thirty graduate students who are registered as being from one of the Southern States. Their fields of study are scattered.

In connection with this, I should like your advice with respect to my applying for a grant to complete my work here for 1938-39. There is no hurry, of course.

My second check arrived today. Thank you. With my kindest regards, I am

Sincerely yours,

  
John T. Caldwell

FISK  
UNIVERSITY

# Julius Rosenwald Fund

4901 Ellis Avenue  
CHICAGO

## FELLOWSHIPS

To

Mr. John Tyler Caldwell

Graduate College

Princeton, New Jersey

Payment Voucher No. 5645

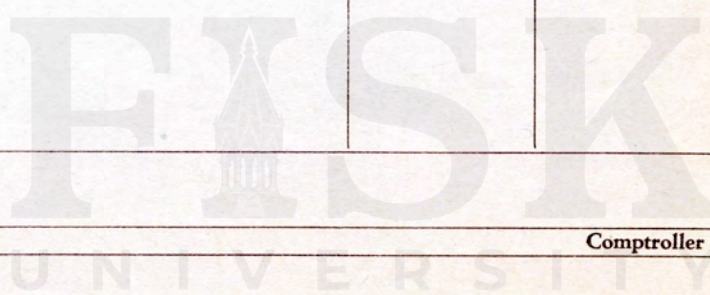
Date October 14, 1937

Second payment on fellowship granted 4/15/37 - - - - - \$75.00

Ck.#18377

Accounts	Appropriation No.	Debit	Credit
White Southern Fellowships	36-31A	\$75.00	

Prepared by AM	Checked by	Posted by	Comptroller
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# Julius Rosenwald Fund

4901 Ellis Avenue

CHICAGO

# FELLOWSHIPS

To

Mr. John Tyler Caldwell

North Street,

Yazoo City, Mississippi

Payment Voucher No. 5488

Date September 14, 1937

First payment on fellowship granted 4/15/37 - - - - - \$75.00

Ck.#13199

Accounts	Appropriation No.	Debit	Credit
White Southern Fellowships	36-31A	\$75.00	

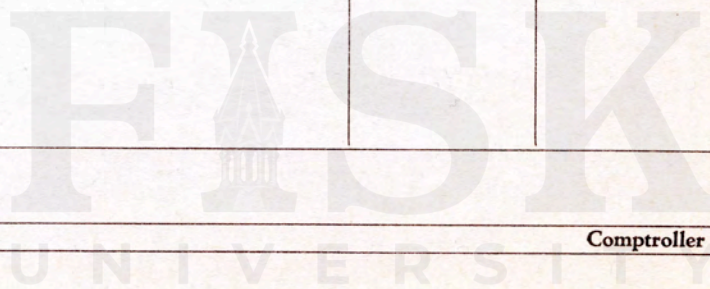
Prepared by

Checked by

Posted by

AM

Comptroller



# FELLOWSHIPS

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North Street  
Yazoo City, Miss.  
August 21, 1937

Julius Rosenwald Fund  
4901 Ellis Avenue  
Chicago, Illinois

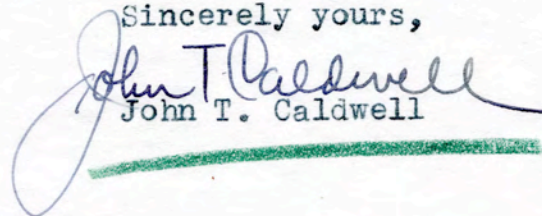
Attention: D. A. Elvidge

Gentlemen:

Thank you for your letter of August 19. I have received exact information regarding fees, room, board, and tuition which will be required by Princeton University and am enclosing herewith a copy of their letter, written by the Bursar of the Institution.

Thanking you, I am

Sincerely yours,

  
John T. Caldwell

COPY

PRINCETON UNIVERSITY  
Princeton, N. J.

G. A. Mills, Bursar

August 18, 1937.

Mr. John T. Caldwell,  
North Street,  
Yazoo City, Miss.

Dear Mr. Caldwell:

We wish to acknowledge receipt of your letter of August 14. We have been informed by the Office of the Graduate College that your expenses for the academic year 1937-38 will be as listed in your letter.

You will be billed for these charges for the first term about the latter part of October and for the second term about the latter part of February. The charges broken down by terms are as follows:

First Term		
Tuition	<del>2100.00</del> \$100.00	
Room and Board	275.00	
Matriculation Fee	<u>5.00</u>	
		\$380.00
Second Term		
Tuition	\$100.00	
Room and Board	<u>275.00</u>	
		<u>\$375.00</u>
Total		<u>\$755.00</u>

It is not likely that there will be any charges than the above but a few miscellaneous items which may arise during the year.

We are enclosing a copy of this letter as requested.

Sincerely yours,

*John F. Hutton*  
John F. Hutton,  
Assistant to the Bursar.

# FELLOWSHIPS

August 19, 1937

Dear Mr. Caldwell:        Your letter to Mr. Paty  
dated August 14 has been  
referred to me. The payment plan which you have  
outlined is entirely satisfactory to us. We shall  
expect to hear from you again as to the exact amount  
to be paid to the University for fees, room, and  
board.

Very truly yours,

DOROTHY A. ELVIDGE

DE:AM

Mr. John T. Caldwell  
North Street  
Yazoo City, Mississippi

FISK  
UNIVERSITY

FELLOWSHIPS  
DE Caldwell - [unclear]  
9/10/37

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North Street  
Yazoo City, Miss.  
August 14, 1937

Mr. Raymond Paty  
Director for Fellowships  
Julius Rosenwald Fund  
4901 Ellis Avenue  
Chicago, Illinois

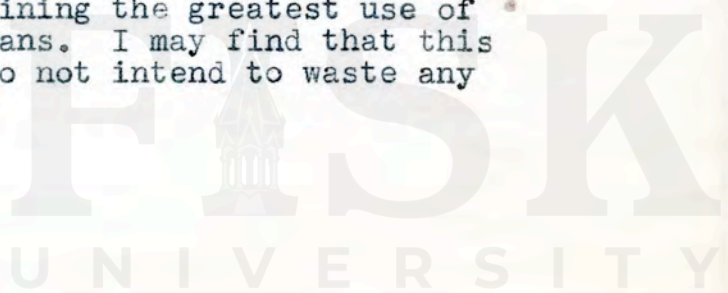
Dear Mr. Paty:

Thank you for your letter of July 30.

I had planned a brief stop-over in Washington on my way to Princeton, and through the Chief of the Division of the Resettlement Administration in which I worked, whom I know personally, I am sure it will be comparatively easy for me to arrange to confer with Dr. Alexander and Dr. Evans. I feel sure that they will have some valuable ideas and, incidentally, had planned to talk with some members of the public finance sub-unit in the land use planning section of the Resettlement Administration when I passed through.

From the Register of the Graduate School I have been unable to determine to the dollar the amount of fees, etc., I will be required to pay in addition to room and board and tuition, which will total \$750.00. The fees appear to be small, but I have written the Bursar of the University to supply either or both of us with an exact statement of the fixed payments which will have to be made to the University together with the dates on which they fall due. When that total is known, I think it would be well to have the remainder of the grant disbursed to me as follows: seventy-five dollars on the 15th of each month beginning September 15 and continuing through May 15 (nine months) and whatever balance remains to be sent on June 15. From a number of stand-points, this appears to be a satisfactory plan.

I intend to be conservative in my use of these funds and if possible to extend them past the date of ending the regular academic year thereby obtaining the greatest use of them toward the execution of my plans. I may find that this is not possible; nevertheless, I do not intend to waste any of the fellowship money.



I am continually grateful for the opportunity  
you have given me and for your interest.

Very sincerely yours,

*John T. Caldwell*  
John T. Caldwell

# SCHOLARSHIP

July 30, 1937

Dear Mr. Caldwell: Your letter of July 20 has reached me after some delay occasioned by my absence from the office. Although the Committee has no specific suggestions regarding your plans, I think that it would be well for you to arrange a conference in Washington with Dr. W. W. Alexander of the Resettlement Administration on your way to Princeton. Let me suggest also that you consult with Dr. Mercer Evans of Dr. Alexander's staff, who is very much interested in the problem which you propose to study at Princeton. Perhaps both Dr. Alexander and Dr. Evans will be able to offer you some valuable suggestions along the line of your study.

In the meantime, you might let us know how you wish the payments on your fellowship grant to be made. It is our policy to pay tuition and fees direct to the bursar of the institution and arrange the balance in convenient monthly payments.

Assuring you of our continued interest in your plans, I am

Sincerely yours,

RAYMOND R. PATY

RP:McK

Mr. John T. Caldwell  
North Street  
Yazoo City, Mississippi

FISK  
UNIVERSITY

SCHOLARSHIP

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303 E. 15th St.  
Little Rock, Ark.  
July 20, 1937

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Mr. Raymond Paty  
Director for Fellowships  
Julius Rosenwald Fund  
4901 Ellis Avenue  
Chicago, Illinois

Dear Mr. Paty:

Due to a drastic cut in the operating budget for the land use planning work of the Resettlement Administration in which I have been employed, I am having to change my plans with respect to staying here until September 1 or September 15 and have been requested to begin taking my accrued annual leave on the first day of August. Consequently, my address after August 1 will be Yazoo City, Mississippi (North Street), which is my home. I will be there until time to leave for Princeton University, which will be not later than September 25.

I shall await with pleasure any communications or a visit from you; I recall from your first letter informing me of my good fortune that your "Committee has some suggestions regarding your plans", which I shall be happy to receive. If it should not happen to be convenient for you to see me in Yazoo City, I would be very happy to meet you in Jackson, Mississippi, which is about the nearest central point to Yazoo City; this, of course, in the event you consider a conference desirable.

I think you will be interested to know that my accumulated annual leave will carry me on the payroll of the Resettlement Administration until September 10, which will enable me to carry out my financial plans as anticipated.

With every good wish, I am

Sincerely yours,

*John T. Caldwell*  
John T. Caldwell



SCHOLARSHIP

	RRP	23	PP	Send Transcripts

*need*

2323 Battery  
Little Rock, Ark.  
April 22, 1937

Mr. Raymond Paty  
Director For Fellowships  
Julius Rosenwald Fund  
4901 Ellis Ave.  
Chicago, Ill.

Dear Mr. Paty:

Your letter was on my table when I returned from a trip into Mississippi and home. I rejoice that the Committee saw fit to invest so generous a sum in my ambition, and I feel that I have an additional rung in the ladder of progress ahead of me. Please express my gratitude to the members of the Committee.

As soon as possible I discussed the matter with my present employer. Following that I wired to you my acceptance.

Your suggestion that the Committee will have some things to discuss with me meets with my hearty approval, and I feel sure that their advice will be very worth while. I look forward to meeting you personally and hope that it can be arranged.

I have already written to Princeton University for advice regarding the necessary steps to insure my admission this fall. Their previous assurance was preliminary. Now, of course, I shall have to submit all the usual certifications and information. It might be that you have already inquired into the matter further or that you have forwarded to them some of the materials which I filed with the Committee. If you have, it will probably save me some money and time. If not, I am sure that I can complete the arrangements shortly.

Assuring you again of my deep appreciation, I humbly remain

Yours very sincerely,

*John P. Caldwell*  
John P. Caldwell

*done  
send  
transcripts  
?*

PRINCETON UNIVERSITY

2323 Battery  
Little Rock, Ark.  
March 17, 1937

Mr. Raymond Paty  
Director for Fellowships  
Julius Rosenwald Fund  
4901 Ellis Avenue  
Chicago, Ill.

Dear Sir:

I am attaching herewith an estimated budget for a full regular session at Princeton University.

Definite items including board, room, fees, tuition, etc., were taken from the latest academic catalogue of the University. Other expenses have been estimated after some thought and after conferring with two former graduate students of Princeton.

The item of travel while at the University might seem to be placed a bit high. May I say in explanation that I felt that the value of a year of study at Princeton, especially in the field I have chosen, that of government, might be greatly enhanced by access to the facilities of Philadelphia, New York, Baltimore, or Washington, as well as by local investigations. If this does not appear to be practicable, or should the item seem to be set at too high a figure, please consider that I concur in your decision. However, I would naturally appreciate the allowance of the item, and only the portion which could be used advantageously in the interest of the Fellowship would be employed. (I am not computing elsewhere for the Christmas holiday season during which time I might get in some profitable travel in lieu of coming home.)

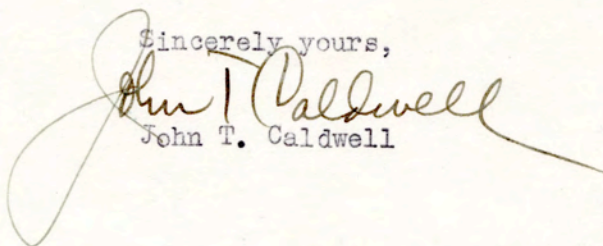
May I mention, too, that from the standpoint of my family obligations (my Mother and Father) in order for me to accept any Fellowship I would have to devote practically all my savings until then to continuing my monthly contributions home while I would be away studying, and also to the continuation of two savings plans which I have instituted. For this reason, I can sincerely and honestly state that I would hardly be able to contribute more than \$100.00 cash to my expenses. This necessitates, too, that I must let my automobile go.

FISK  
UNIVERSITY

Neither have I approached, at this stage of the application, the proper authorities of the Resettlement Administration relative to my getting a leave of absence for the period of my residential study, the answer to which might greatly condition my ability to contribute to my expenses.

The mere possibility of my getting such a fellowship grant as this stimulates my ambition to justify the consideration.

Sincerely yours,

A handwritten signature in cursive script that reads "John T. Caldwell". The signature is written in dark ink and is positioned above the typed name.

John T. Caldwell

P. S. Please note that my address has been recently changed to the following:

John T. Caldwell  
2323 Battery  
Little Rock, Ark.

I am now in the position of Assistant Economist, acting as coordinator between the Regional Office and the Land Planning Specialists of Mississippi, Arkansas, and Louisiana.

# Julius Rosenwald Fund

Edwin R. Embree  
President  
Margaret S. Simon  
Secretary  
D.A. Elvidge  
Comptroller

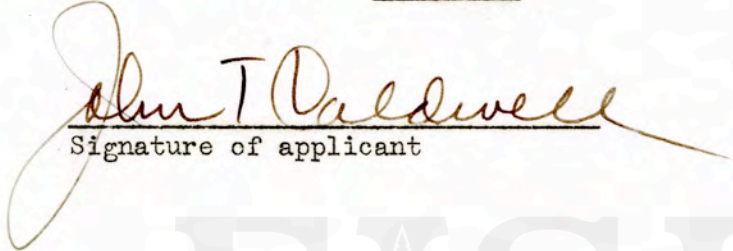
4901 Ellis Avenue  
CHICAGO  
February 24, 1937

J.C. Dixon  
Director for Rural Education  
M. O. Bousfield, M.D.  
Director for Negro Health  
Raymond Paty  
Director for Fellowships

The Fellowship Committee would like to have from each candidate a suggested budget for the proposed period of study. Please fill in the following form (or alter it to fit your needs) and return this sheet to Raymond Paty, Director for Fellowships, at your earliest convenience.

Room and board .....	\$ 528.00
	75.00
Clothing .....	
Laundry, Cleaning, Etc. ....	90.00
Insurance .....	50.00
Tuition .....	200.00
Books.....	75.00
Transportation .....	100.00
General fee .....	60.00
Miscellaneous .....	200.00
Travel.....	
Other.....	200.00
	<hr/>
Total amount needed	\$ 1578.00
Amount applicant can provide ....	<u>100.00</u>
Amount requested from Fund .....	<u>\$ 1478.00</u>

John Tyler Caldwell  
Box 8, State College  
Mississippi

  
Signature of applicant

FISK  
UNIVERSITY

# SCHOLARSHIP

2323 Battery  
Little Rock, Ark.  
February 17, 1937

Mr. Raymond Paty  
Director for Fellowships  
Julius Rosenwald Fund  
4901 Ellis Avenue  
Chicago, Illinois

Dear Sir:

As requested in your letter of February 8, which has been forwarded to me here, I am enclosing two kodak pictures of myself made this past Sunday. You may use either or both of them, as you wish.

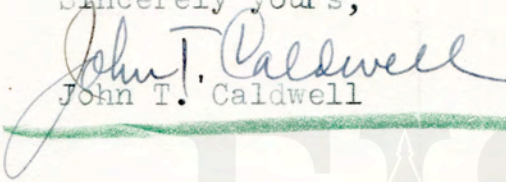
I was transferred to the Regional Office of the Resettlement Administration on February 8. Therefore, my application, which was submitted prior to that date, does not show my present address, given above.

I am in the same type of work which I engaged in at State College, Mississippi, but at a slightly higher salary. (I have found already that the salary increase will little more than compensate me for the change in cost of living here and there.)

Also, pursuant to your request, I am having Dr. R. S. Rankin of Duke University forward to you a copy of my Master's thesis which was retained for such purposes.

Thanking you again for the opportunity of making this application, I remain

Sincerely yours,

  
John T. Caldwell

  
FISK  
UNIVERSITY

Caldwell, John T

Box 8  
State College, Miss.  
February 4, 1937

Mr. Raymond Paty  
Director for Fellowships  
Julius Rosenwald Fund  
4901 Ellis Avenue  
Chicago, Illinois

Dear Sir:

I am attaching this letter to my application for a Rosenwald Fund Fellowship in further explanation of certain items included therein.

1. Under the statement of "Personal History" I have indicated the extent to which my Mother and Father are dependent upon me for support. This is a definite obligation which I must maintain in spite of any further study which I might undertake. Therefore, I am dependent upon outside help to an extent exceeding my own personal expenses.

2. Under the statement of "Education", sub-item number 1, I have listed the American Extension University School of Law. I should explain that since subscribing and paying for this course, I have been able to complete only one major division of the study. I intend to continue to do as much as I can find time for as long as the School will permit me to continue, even though I may never actually finish the course. My initial purpose was to acquire some legal knowledge to supplement my advanced study in Government.

3. Under "Statement of Plan of Work", I have been as definite as it seemed possible for me to be honestly. I say this because I feel that the Fellowship Committee desires to have applicants be as specific as possible. My advanced study, as indicated, will be aimed at a Ph. D. degree. Normally, one is not permitted to begin their dissertation at least until after they have completed a year after their Masters degree. This means that I would hardly be permitted to prepare a dissertation until after a year more of graduate work. Accordingly, I have set forth my specific interest as being local public finance and have attempted to state a problem which might or might not materialize as a dissertation. At the moment, I hope it will.

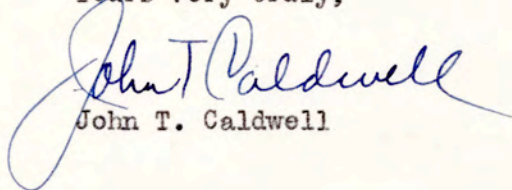
FISK  
UNIVERSITY

4. Under "Accomplishments", sub-item number 8, the question is asked: "What is your estimate of its (the study) probable duration?" I have given two years, which I consider to be about the average time required for completion of the Ph. D. degree after one year of graduate work. I have further inserted that "I do not plan for the Rosenwald Fund to take care of the second year". This latter statement was made in recognition of the fact that these Fellowships will normally terminate at the end of one year, "and renewals will be considered only in exceptional cases". Naturally, I would be highly pleased to make of myself an "exceptional case", but that is in the realm of possibility rather than present existence, and I wished for the Committee to understand that I myself was not laboring under any misunderstanding as to the term of the Fellowships.

5. Attention is called to the fact that I have given seven references and have attached eight copies of the "Statement of Plan of Work" accordingly.

I sincerely appreciate the opportunity of being considered an applicant for one of these Fellowships. If I should be fortunate enough to have one granted to me, I feel that my gratitude could be expressed most convincingly in the type of work that I might do at Princeton and the sincerity of my efforts in contributing to the South's progress.

Yours very truly,

  
John T. Caldwell

SCHOLARSHIP

Mississippi State College

G. D. HUMPHREY, PRESIDENT

State College, Miss.

February 13, 1937

W.P.O.

Mr. Raymond Paty  
4901 Ellis Avenue  
Chicago, Illinois

My dear Mr. Paty:

Your inquiry about John Tyler Caldwell, a candidate for a fellowship, has come in President Humphrey's absence from the college. Since Mr. Caldwell has a splendid record here, I am certain that Mr. Humphrey will be glad to make a favorable statement as to his abilities and personal characteristics. It will be perhaps a week or more before Mr. Humphrey will return, and your request will receive his prompt attention.

Very truly yours,

*Martha Strahan*

Martha Strahan  
Secretary to the President

FEB 19 1937

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PRINCETON UNIVERSITY  
Princeton, New Jersey

January 14, 1937

Mr. John T. Caldwell  
Resettlement Administration  
Box 8  
State College, Mississippi

My dear Mr. Caldwell:

Professor Carpenter who is ill with the influenza has asked me to reply to your letter of January 11th. I showed your letter to Professor Luther P. Eisenhart who is Dean of the Graduate School. He authorizes me to say that, on the basis of the facts which you have presented, you could be admitted to this Graduate School. You would be expected, of course, to submit a formal transcript of your record to confirm the representations made in your letter. I think this assurance is sufficient for your immediate purpose.

Faithfully yours,

(Signed) HAROLD H. SPROUT

Harold H. Sprout

HHS:EVD

FISK  
UNIVERSITY

**Duke University Summer School**

DURHAM, NORTH CAROLINA

January 13, 1937.

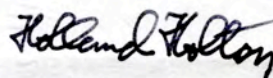
To Whom It May Concern:

This is to certify that Mr. John Tyler Caldwell, Yazoo City, Mississippi, attended Duke University Summer School in 1933, 1934, and 1935, and satisfactorily completed the following graduate credit courses:

Economics S207, American Constitutional Law and Theory, three semester-hours, grade G;  
Economics S217, Economic Stabilization, three semester-hours, grade G;  
Economics S294, Municipal Government and Administration, three semester-hours, grade G;  
Economics S394, Seminar in Municipal Government and Administration, three semester-hours, grade G;  
Economics S222, International Organization and Administration, three semester-hours, grade G;  
Economics S264, Railroad Regulation in the United States, three semester-hours, grade G;  
Economics S209, State and Local Government in the United States, three semester-hours, grade G;  
Economics S219, Economics of Recovery, three semester-hours, grade G;  
Thesis in Political Science, six semester-hours.

Mr. Caldwell was awarded the Master of Arts degree at Duke University, in June, 1936.

Very sincerely yours,



Director of Summer School.

EXPLANATION OF GRADES: C, average undergraduate grade; B, above average; D, below average, but clearly passing; A, excellent; F, Failure.  
GRADUATE GRADES: S, satisfactory; G, good, an honor grade; E, exceptional.

**FIISK**  
UNIVERSITY

✓ P  
JULIUS ROSENWALD FUND

4901 ELLIS AVENUE

CHICAGO

Confidential Report on Candidate for Fellowship

Name of Candidate John Tyler Caldwell  
Report Requested of Mr. P. H. Eason  
State Department of Education  
Jackson, Mississippi

The above named candidate has applied to this Fund for a fellowship and has given your name as a reference. A statement of the candidate's plan of work is attached. Please return it with your statement.

We shall appreciate a frank statement of your opinion of this applicant's abilities and personal characteristics, and an appraisal of his plan of work. An early reply to this inquiry will be of great assistance in allowing the Fellowship Committee sufficient time for an adequate review of the large number of candidates who apply for grants.

Your reply will be held in strictest confidence.

*Raymond Pate*  
Director for Fellowships

February 12, 1937

REPORT Mr. John Tyler Caldwell possesses outstanding ability. His personal characteristics are quite impressive.

I am very favorably inclined toward his plan of work. We need more men in the South with his attitude, personality, and with special training along the line of his interests.

I think an investment in this young man would be wisely made.

OVER

JULIUS ROSENWALD FUND

4901 ELLIS AVENUE

CHICAGO

Confidential Report on Candidate for Fellowship

Name of Candidate

John Tyler Caldwell

Report Requested of

Mr. P. H. Eason

State Department of Education

Jackson, Mississippi

The above named candidate has applied to this Fund for a fellowship and has given your name as a reference. A statement of the candidate's plan of work is attached. Please return it with your statement.

We shall appreciate a frank statement of your opinion of the applicant's abilities and personal characteristics, and an appraisal of his plan of work. An early reply to this inquiry will be of great assistance in allowing the Fellowship Committee sufficient time for an adequate review of the large number of candidates who apply for grants.

Your reply will be held in strictest confidence.

Director for Fellowships

REPORT Mr. John Tyler Caldwell possesses outstanding ability. His

Is the candidate free from personality handicaps which would make it difficult to obtain and hold a position giving him opportunity to utilize his abilities? **None**

Signed

*P. H. Eason*

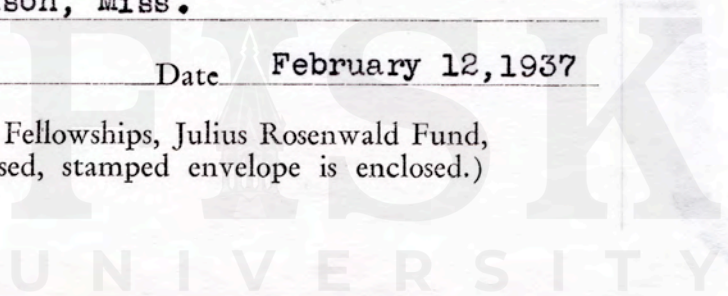
Position or Title **State Agent, Negro Education**

Address **State Dept. of Education, Jackson, Miss.**

Date **February 12, 1937**

(Please return to Raymond Paty, Director for Fellowships, Julius Rosenwald Fund, 4901 Ellis Avenue, Chicago, Illinois. Addressed, stamped envelope is enclosed.)

OVER



JULIUS ROSENWALD FUND

4901 ELLIS AVENUE

CHICAGO

Confidential Report on Candidate for Fellowship

Name of Candidate John Tyler Caldwell  
Report Requested of Dr. A. B. Butts  
University of Mississippi, University

The above named candidate has applied to this Fund for a fellowship and has given your name as a reference. A statement of the candidate's plan of work is attached. Please return it with your statement.

We shall appreciate a frank statement of your opinion of this applicant's abilities and personal characteristics, and an appraisal of his plan of work. An early reply to this inquiry will be of great assistance in allowing the Fellowship Committee sufficient time for an adequate review of the large number of candidates who apply for grants.

Your reply will be held in strictest confidence.

*Raymond Paty*  
Director for Fellowships

REPORT

Mr. John T. Caldwell is a young man of exceptional ability. He graduated from Mississippi State College at a time when I was Professor of Government and Vice President of that institution. In his senior year he was my student assistant. He graded all quiz papers in my elementary courses in government, including courses in State and Local Government. He did this work in a very excellent manner. He received appointment to a fellowship at Duke University and did his Master's degree work there in the field of Government. In the last year or two Mr. Caldwell has had practical experience in the field of public administration. I know of no young man who in my judgment can better qualify for the type of fellowship for which he is making application than Mr. Caldwell.

In answer to the usual questions about applicants for graduate fellowships and scholarships, I would give Mr. Caldwell a rating of excellent to very superior in answer to such questions.

OVER

JULIUS ROSENWALD FUND

4901 ELLIS AVENUE

CHICAGO

Confidential Report on Candidate for Fellowship

Name of Candidate

John Tyler Caldwell

Report Requested of

Dr. A. B. Bates

University of Mississippi, University

The above named candidate has applied to this Fund for a fellowship and has given your name as a reference. A statement of the candidate's plan of work is attached. Please return it with your statement.

We shall appreciate a frank statement of your opinion of this applicant's abilities and personal characteristics, and an appraisal of his plan of work. An early reply to this inquiry will be of great assistance in allowing the Fellowship Committee sufficient time for an adequate review of the large number of candidates who apply for grants.

Your reply will be held in strictest confidence.

*Raymond Paty*  
Director for Fellowships

REPORT

Is the candidate free from personality handicaps which would make it difficult to obtain and hold a position giving him opportunity to utilize his abilities?

Yes.

Signed

*AB Bates*

Position or Title

Chancellor

Address

University of Mississippi

University, Mississippi

Date February 12, 1937

(Please return to Raymond Paty, Director for Fellowships, Julius Rosenwald Fund, 4901 Ellis Avenue, Chicago, Illinois. Addressed, stamped envelope is enclosed.)

OVER

UNIVERSITY

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9  
JULIUS ROSENWALD FUND

4901 ELLIS AVENUE

CHICAGO

Confidential Report on Candidate for Fellowship

Name of Candidate John Tyler Caldwell

Report Requested of Dr. R. R. Wilson

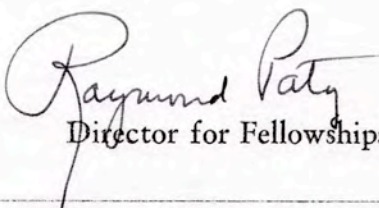
Duke University

Durham, North Carolina

The above named candidate has applied to this Fund for a fellowship and has given your name as a reference. A statement of the candidate's plan of work is attached. Please return it with your statement.

We shall appreciate a frank statement of your opinion of this applicant's abilities and personal characteristics, and an appraisal of his plan of work. An early reply to this inquiry will be of great assistance in allowing the Fellowship Committee sufficient time for an adequate review of the large number of candidates who apply for grants.

Your reply will be held in strictest confidence.

  
Director for Fellowships

REPORT

While I have only had Mr. J. T. Caldwell <sup>as a graduate student</sup> during two summer sessions, I have followed his career with interest and have a most favorable impression of him by reason of his ability, energy, and extraordinarily pleasant personality. As to his project, I am not sufficiently acquainted with conditions in Mississippi to be very well qualified to pass upon the applicant's plan. In general, my feeling is that Mr. Caldwell has the capacity to make a contribution toward improvement of the mechanics and methods of state and local government in his native state, and I therefore strongly recommend him for the grant which he is now seeking.

OVER

JULIUS ROSENWALD FUND

4901 ELLIS AVENUE

CHICAGO

Confidential Report on Candidate for Fellowship

Name of Candidate John Tyler Caldwell

Report Requested of Dr. R. R. Wilson

Duke University

The above named candidate has applied to this Fund for a fellowship and has given your name as a reference. A statement of the candidate's plan of work is attached. Please return it with your statement.

We shall appreciate a frank statement of your opinion of the applicant's abilities and personal characteristics, and an appraisal of his plan of work. An early reply to this inquiry will be of great assistance in allowing the Fellowship Committee sufficient time for an adequate review of the large number of candidates who apply for grants.

Your reply will be held in strictest confidence.

*Raymond Paty*  
Director for Fellowships

REPORT

Is the candidate free from personality handicaps which would make it difficult to obtain and hold a position giving him opportunity to utilize his abilities?

*Yes, so far as I know.*

Signed

*R. R. Wilson*

Position or Title

*Chairman, Department of Political Science, Duke University*

Address

*Durham, N. C.*

Date *2/11/37*

(Please return to Raymond Paty, Director for Fellowships, Julius Rosenwald Fund, 4901 Ellis Avenue, Chicago, Illinois. Addressed, stamped envelope is enclosed.)

OVER

DURHAM UNIVERSITY

JULIUS ROSENWALD FUND

4901 ELLIS AVENUE

CHICAGO

Confidential Report on Candidate for Fellowship

Name of Candidate John Tyler Caldwell

Report Requested of Dr. R. S. Rankin

Duke University

Durham, North Carolina

The above named candidate has applied to this Fund for a fellowship and has given your name as a reference. A statement of the candidate's plan of work is attached. Please return it with your statement.

We shall appreciate a frank statement of your opinion of this applicant's abilities and personal characteristics, and an appraisal of his plan of work. An early reply to this inquiry will be of great assistance in allowing the Fellowship Committee sufficient time for an adequate review of the large number of candidates who apply for grants.

Your reply will be held in strictest confidence.

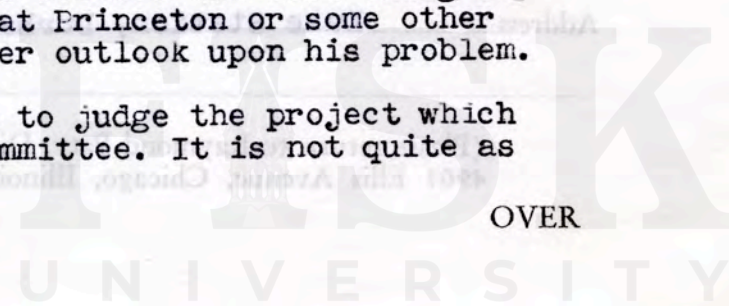
*Raymond Paty*  
Director for Fellowships

REPORT

Mr. John T. Caldwell was a graduate student here at Duke University for several summers. He did his work in the Departments of Political Science and Economics and received his Master of Arts degree with a major in Local Government. His thesis concerned the administration of the sales tax in Mississippi. The examining committee thought that he had done a very good job as a Master's candidate. Mr. Caldwell has considerable ability as a student. He came to us somewhat handicapped by his previous training in Mississippi but was able to overcome this handicap and soon ranked as one of the best students in our department. He is vitally interested in the subject of taxation and the work that he has done in our graduate school and since receiving his degree has been in the field of taxation. At present Mr. Caldwell needs to transfer his training to other sections of the country and I believe the best thing for him is to register as he has planned at Princeton or some other institution where he will get a broader outlook upon his problem.

It is very difficult for me to judge the project which Mr. Caldwell has outlined for your committee. It is not quite as

OVER



JULIUS ROSENWALD FUND

specific as I should prefer. However, there is no doubt about there being an opportunity to do work upon the subject that he has chosen and I feel sure that with his training and with the contacts that he has in Mississippi he should be able to secure all necessary information and arrange it in such form as to make a contribution.

Mr. Caldwell has a charming personality. I would say that there would be absolutely no difficulty in his obtaining and holding a position once given an opportunity. I would rate his personality and general character as A+ I would hesitate to give this same rating to more than three students that we have had during the last ten years.

The above named candidate has applied to this Fund for a fellowship and has given your name as a reference. A statement of the candidate's plan of work is attached. Please return it with your statement.

We shall appreciate a frank statement of your opinion of the applicant's abilities and personal character, and an appraisal of his plan of work. An early reply to this inquiry will be of great assistance in allowing the Fellowship Committee sufficient time for an adequate review of the large number of candidates who apply for grants.

Your reply will be held in strictest confidence.

*Raymond Paty*  
Director for Fellowships

REPORT

Is the candidate free from personality handicaps which would make it difficult to obtain and hold a position giving him opportunity to utilize his abilities? *yes*

Signed *R. S. Rankin*

Position or Title Professor of Political Science

Address Duke Station, Durham, N. C.

Date February 16, 1937

(Please return to Raymond Paty, Director for Fellowships, Julius Rosenwald Fund, 4901 Ellis Avenue, Chicago, Illinois. Addressed, stamped envelope is enclosed.)

OVER

DUKE UNIVERSITY

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# JULIUS ROSENWALD FUND

4901 ELLIS AVENUE

CHICAGO

## Confidential Report on Candidate for Fellowship

Name of Candidate John Tyler Caldwell

Report Requested of Mr. A. H. Stone

Mississippi State Tax Commission, Jackson

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The above named candidate has applied to this Fund for a fellowship and has given your name as a reference. A statement of the candidate's plan of work is attached. Please return it with your statement.

We shall appreciate a frank statement of your opinion of this applicant's abilities and personal characteristics, and an appraisal of his plan of work. An early reply to this inquiry will be of great assistance in allowing the Fellowship Committee sufficient time for an adequate review of the large number of candidates who apply for grants.

Your reply will be held in strictest confidence.

*Raymond Paty*  
Director for Fellowships

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### REPORT

Mr. Raymond Paty,  
Director for Fellowships,  
Julius Rosenwald Fund,  
4901 Ellis Avenue,  
Chicago, Illinois.

Dear Mr. Paty:

I have known Mr. John Tyler Caldwell intimately for several years. I have formed a very high opinion of his ability and character. He is in every way worthy of consideration and support. I think his plan of work is entirely sound.

I know that his life objective is a career of public service,

OVER

UNIVERSITY

JULIUS ROSENWALD FUND

4901 ELLIS AVENUE

CHICAGO

Confidential Report on Candidate for Fellowship

John Tyler Caldwell

Name of Candidate

Mr. A. H. Stone

Report Requested of

Mississippi State Tax Commission, Jackson

in the best sense of that term.

The above named candidate has applied to this fund for a fellowship and has given your name as a reference. A statement of your opinion of the applicant's abilities and personal characteristics, and an appraisal of his plan of work. An early reply will be a valuable asset in his work. I shall follow his future with the deepest interest.

We shall appreciate a frank statement of your opinion of the applicant's abilities and personal characteristics, and an appraisal of his plan of work. An early reply will be a valuable asset in his work. I shall follow his future with the deepest interest.

Your reply will be held in strictest confidence.

*Raymond Paty*  
Director for Fellowships

REPORT

Is the candidate free from personality handicaps which would make it difficult to obtain and hold a position giving him opportunity to utilize his abilities?

Signed

*A. H. Stone*

Position or Title Chairman - Mississippi State Tax Commission

Address Jackson, Mississippi

Date February 16, 1937.

(Please return to Raymond Paty, Director for Fellowships, Julius Rosenwald Fund, 4901 Ellis Avenue, Chicago, Illinois. Addressed, stamped envelope is enclosed.)

OVER

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UNIVERSITY

III. People under whom I have worked during 1937-38 thus far include:

Prof. W. S. Carpenter, Political Theory (Chairman, Dept. of Politics)

Prof. Harley L. Lutz, Public Finance

Prof. Wm. Starr Myers, Comparative Government

Dr. John F. Sly, Director, Princeton Local Government Survey

IV. Amount requested from the Fund for 1938-39:

Transportation between Mississippi and Princeton, 4 ways @ \$50.00	\$ 200.00
Room and board	550.00
Tuition	200.00
Fees:	
Dissertation	\$ 50.00
Graduation	10.00
Graduate residence	5.00
Matriculation	5.00
	<hr/>
	70.00
Typing, editing, etc., dissertation	75.00
Expenses collecting dissertation materials	100.00
Insurance	75.00
Clothing	100.00
Laundry, cleaning and pressing	65.00
Miscellaneous	75.00
	<hr/>
TOTAL	\$ 1510.00
Amount requested	1500.00

Of course, next year I will have to meet my home obligation again. This time, however, due to the fact that I will not have been earning any extra money, I will have to borrow for that purpose, as I will any extra expenses of my own. Therefore, I should be very grateful to receive the same grant which was made to me for 1937-38.

To: the Committee for Fellowships of the Julius Rosenwald Fund  
From: John Tyler Caldwell  
Subject: Application for renewal of Fellowship for 1938-39.

I. Preliminary report of the work that has been accomplished during tenure of fellowship 1937-38

In my application submitted to the Committee for Fellowships in January 1937, I proposed in general three objectives which I hoped to accomplish:

- (1) "My desire is to be acquainted with the best knowledge and experience that I can afford or be afforded on the subject of public administration in State and local government."
- (2) To work on the specific problem of tax administration in State and local government in the Southern States and particularly Mississippi.
- (3) To complete the requirements for a degree of Doctor of Philosophy.

I selected Princeton University for stated reasons, which are proving to have been good ones, and estimated that at least two years would be required for the accomplishment of the objectives.

The first of the above objectives is being sought this year in two formal courses, Public Finance under Prof. Harley L Lutz and Public Administration under Prof. George A. Graham, and in informal association with the Local Government Survey directed by Dr. John F. Sly.

The attainment of my second objective, which is that of writing a dissertation on a specific governmental problem, will properly begin in October. Although I have no definite plans at present, I intend to devote the coming summer vacation to preparatory work on the dissertation and to general reviewing and additional preparation for the General Examination in October (if I do not take them in May of this year). These plans will depend upon financial arrangements as well as academic expediency.

As to the third objective, this academic year at Princeton will have established my residence requirements for the degree of Doctor of Philosophy and will complete the formal course work which, together with my previous work at Duke University, will enable me to offer myself for the General Examination this May or October, as I elect. I have qualified in one of the foreign languages required of me, and with some concentrated review and reading in German, I can qualify in it. Then I shall be eligible for the General Examination. If I take the examination successfully, the dissertation will remain to be done.

In addition to academic work I have attended two national professional conferences: that of the National Tax Association in Baltimore, and that of the American Political Science Association which met in Philadelphia.

Candidate John Tyler Caldwell

Special Field

Graduate College  
Princeton, New Jersey

Public Administration

Plan of Work

RENEWAL

Requests fellowship for 1938-39, work to be done at Princeton University

Digest of Application

1937 Grant \$1500

## References

W. S. Carpenter, Chairman Dept. Politics, Princeton

Harley L. Lutz, Prof. Public Finance, Princeton

Wm. Starr Myers, Prof. Comparative Government, "

Dr. John F. Sly, Director, Princeton Local Government  
Committee Notes Survey

## Budget Summary

Total amount needed

From applicant

From Fund \$1500

Granted

## II. A plan of work for 1938-39

Assuming that I will successfully pass my general examination in May or October of this year, I propose to devote 1938-39 to the production of a dissertation. Present plans for the dissertation include an analysis and treatment of the following problems in Mississippi's fiscal administrative organization: (1) state and local government relationships, including assessments under the general property tax, auditing of accounts, state supervision of local borrowing, and administration of tax delinquent lands; and (2) centralization of State fiscal administration, including the budgeting process and revenue-collecting agencies. My thesis will be primarily a justification for closer coordination of functions in the State fiscal machinery as one essential to fixing responsibility for efficient administration. It may develop that I cannot include all of the above subjects in my investigation and do an adequate job of any of them, but the adjustments in that respect will be made as the work proceeds.

During all the stages of developing the project, I shall have the advice of Dr. Lutz and Dr. Sly, with whom I have already discussed the project. My first step will be to devote as much time as seems necessary to outlining the subject and determining upon the required information and best probable sources of it. Information which is not available otherwise I shall come to Mississippi to obtain. By January I should be back at Princeton to begin writing. Within a reasonable radius from Princeton, and en route between Princeton and Mississippi, I propose to visit State offices wherever I have previously determined that the particular State has something definite to contribute, positively or negatively, from its experience with various control devices and administrative set-ups. My personal acquaintance with Hon. A. H. Stone of the Mississippi State Tax Commission, this year Vice-President of the National Tax Association and last year President of the American Association of State Tax Administrators, should be of inestimable value in arranging contacts and securing information during the entire process.

Finally, it is my hope that the dissertation or portions of it will be found worthy of publication for distribution to at least the "key" citizens in all parts of Mississippi.

LETTERS OF REFERENCE

John T. Caldwell

Mr. W. S. Carpenter, Chairman, Department of Politics, Princeton University

I think Mr. Caldwell has a great deal of ability. He possesses in an unusual degree an interest in both the theoretical and practical aspects of political science. Since the great problem of the student of political science is to translate theory into practice, there is a pressing need for men with the outlook and the intellectual interests of Mr. Caldwell. I believe every member of the Department of Politics at Princeton has a high opinion of Mr. Caldwell.

I hope it will be possible for Mr. Caldwell to continue at Princeton through another academic year. We are at present reaching the final stage of the work of the Princeton local Government Survey and are converting this organization into a permanent Bureau of Government Research. It is our belief that we have in this organization the best type of laboratory for the training of graduate students in political science. Mr. Caldwell has been in close association with Professor Harley L. Lutz and Dr. John F. Sly and the work of the Princeton Local Government Survey. He is now in a position to carry out an independent study in which the guidance of these scholars and the facilities of our new bureau will be of tremendous assistance. I believe that the case of Mr. Caldwell is just the kind in which a renewal of the grant will bring about the best aims of the Rosenwald Fund.

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Mr. Harley L. Lutz, Professor Public Finance, Princeton University

I regard Mr. Caldwell as an exceptionally able student. He has matured well, and the evidence of his work that I have seen indicates

a capacity for both analysis and synthesis. He can break a problem down into its essential elements, and then see it as a whole in dealing with it.

His personality is pleasing and agreeable. He has poise and self-assurance, and should impress those with whom he comes in contact, whether as a research investigator or as a teacher.

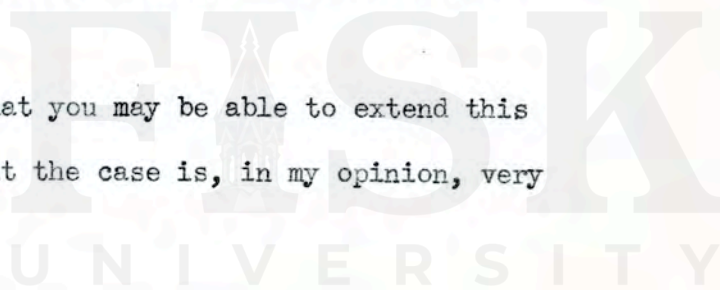
I have examined the considerable manuscript which Mr. Caldwell has already done on certain phases of taxation and financial administration in Mississippi, and it was on my advice that he decided to undertake the broadening and completion of this study as a doctoral subject. This earlier work is of excellent quality, and I have based my judgment of his capacity in part upon it.

The completion of this study will involve more field work in Mississippi. Its earlier plan had omitted some important aspects of the state system, and the whole now requires to be brought up to date, and rewritten to fit into the larger framework of the present design.

Mr. Caldwell is especially well fitted to undertake such a study, as he is well known in Mississippi, and has already made his contacts with the tax commission and with other authorities.

The type of study proposed is of great value. The literature of public finance is singularly deficient in authoritative material relative to the whole group of the southern states, except for a few brief monographs written a generation ago. The successful completion, and, it is to be hoped, the publication, of such a study as Mr. Caldwell proposes, covering the developments and the present conditions in one of the more progressive of the southern state, will be of the greatest interest and value to all students of public finance.

I hope, therefore, that you may be able to extend this grant into a second year. Everything about the case is, in my opinion, very promising.



Mr. Wm. Starr Myers, Professor Comparative Government, Princeton University

Mr. Caldwell is an exceptionally able and efficient student. His work with me has been fine, and he has both excellent scholarship and good balance of judgment. His personality is most pleasing and I consider him to be a man with an unusually good prospect of production in scholarship. His project for work should be of special value not only to the State of Mississippi, but also for the study and reorganization of state and local administration elsewhere. I give it my hearty approval, since he is working in a field of special importance today.

Mr. Caldwell is most satisfactory in every way, and is energetic and industrious.

Letters of Reference

JOHN T. CALDWELL

Dr. John F. Sly, Director, Princeton Local Government Survey,  
Princeton University

I have come in close contact with Mr. Caldwell during the past year and commend him as a student of ability and promise. His work at Princeton has been excellent. He stands high in the respect of his fellow students. He has the background and viewpoint that offer him unusual equipment for an effective life in public affairs.

His interests are in a field of timely and significant problems in local government, and while he is thinking in terms of a study in the financial administration of the state of Mississippi, I would suggest that the emphasis be broadened somewhat to include the analysis of a selected process with emphasis on local conditions rather than a too exclusive study of a single state.

I feel every assurance that Mr. Caldwell merits a renewal of the grant and has every promise of proving worthy of such special consideration.

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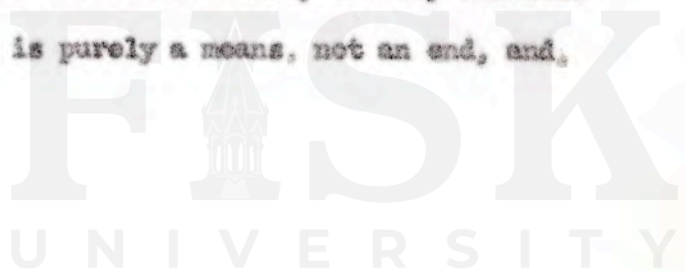
Caldwell

STATEMENT OF PLAN OF WORK

1. A description of the project, including its character and scope, and the significance of its presumed contribution.

Dramatically, perhaps, the South will be the setting of the next great advances in the true American idea of civilization. The scope of this progression is not limited to one field, as of industry. A relatively static condition and past attitude of the South on social, economic, and political advancement affords for the present and overcomng generations the stupendous challenge of "doing something" in all of them. Some of the new economic stimulus comes from the North and East as capital seeks new fields for investment and industry seeks additional markets. Mississippi has some resources which have not been touched, chiefly because there were other and more economical sources. The South is seeking new industries, and it is being realized that an industrial demand for labor in the South will go far toward raising the wage-price of the mass of under-paid, under-fed, and under-educated population living in the Southern States today. Such economic developments are always accompanied by and necessitate social readjustments. The two are concomitant.

Assuming, then, that the general idea expressed above is basically true, there is a third factor which will lead itself with great advantage to a program of economic and social betterment, or, disregarded, can easily be the most vexing obstacle. This is the political frame within which any expected economic-social advance must of necessity take place. The Government, whether it be National, State, or Local, is the means whereby society executes what it thinks to be good for itself. It is purely a means, not an end, and,



is just as important to the successful prosecution of a social innovation as the road is to the traveler. The smoother, straighter, and better marked is a highway, the safer, surer, and speedier is the traffic that moves upon it. Also, there are limitations upon the speed and limitations upon the rights of those who use the road.

So, political reform can be ranked in the South as one of the three great challenges to my generation. I am positive that Mississippi is in need of a more efficient governmental machine. I am almost as positive that one of these days the thinking portion of Mississippi's voting population will be demanding some reform in its political framework, and that they will need those who have concentrated upon the study of state and local government to aid in fraying the kind of changes which they demand. I have a sincere ambition to be called in to aid in that work, and I am not prepared at the present time.

My desire is to be acquainted with the best knowledge and experience that I can afford or be afforded on the subject of public administration in State and local government. And for this to be worth most to my purpose, I would attempt to examine every aspect of my study with the question, "How does this apply to the problem with which I am acquainted in Mississippi?" (Mississippi's main problems could be duplicated elsewhere in the South.) Still further, in order to avert limiting the possibilities which a trained public servant should possess, I am determined to preserve what I have already inherited and acquired of a wholesome, unselfish regard for the rights of ALL people, wherever they are and whatever their circumstance, color, or creed.

These general remarks have only an indirect bearing upon the significance of the specific dissertation problem upon which I would like to concentrate in further graduate study. The general problem of local public finance is no less vexing in Mississippi than many other States, and the problem of equitable administration of the general property tax deserves very special study anticipating reform. The Brookings Institution gave considerable space to their observations on this phase of Mississippi's revenue system in their "Report on a Survey of State and Local Government in Mississippi" (1930). Since that time, however, various investigations into the background of property tax delinquency and taxation and its relation to land use, especially in the case of rural lands, have revealed a more definite case for better trained and more specialized personnel in the administration of the general property tax laws, certainly in valuation procedure. New criteria must be substituted for the old in establishing values, in such a manner, for instance, that differential rates of assessment or valuation might be applied to different classes of rural income-producing properties, as timberland and crop land. Forms of technique must be set up, necessitating a technically trained administrative personnel chosen on some merit basis. Tenure of office must be made less dependent upon local favoritism. Means must be found whereby the State electorate will awaken to their own conservatism in this field and press for reform. This specific problem would be treated under the title: "The Taxation of Real Property in the Southern States: Proposals for Setting Up New Criteria and Personnel". Special attention will be given to Mississippi. The title is subject to revision.

Again, I would not like to limit myself by ignoring the framework of State government for which the county acts as the local agent. Therefore, I should certainly want to study developments in State constitutions, possibilities of the police power, and contemporary applications of the power of taxation and eminent domain.

2. The present state of the project, time of commencement, progress to date, and expectation as to completion.

Complying with this item necessitates my saying that I have done no work toward a Ph. D. degree since obtaining a Masters degree from Duke University in June, 1936. (Work completed September, 1936.) I should like to begin work toward the Doctor of Philosophy degree in September, 1937. Judging from the average experience, two years, at the least, would be required for the completion of the required work, certainly so where that is the minimum residence requirement. I am unable to estimate the time which would be required for me to work out a special problem in dissertation form. A minimum of one year would be my first guess. I propose to complete the study in two years, relying upon fellowships, if I can get them, to a considerable degree.

3. The proposed university, or institution of similar grade, or the place where the work would be carried on, and the authorities, if any, with whom the work would be done.

Because of the special emphasis being placed upon State and local government at the present time by the Princeton University, Department of Politics, I have selected that Institution for first preference, although



I should be equally as grateful for the opportunity to study at either Harvard or Chicago. My work at Princeton would be under the general direction of Professor William S. Carpenter. Other studies and dissertation in Public Finance would fall under Professor Harley L. Lutz. This University is conducting the Princeton Local Government Survey and seems to be a logical place for study in this field for the next year or two. (Assurance has been given me that I would be admitted upon proper application.)

4. Your expectation as to publication or use of the results of your study.

My dissertation will be devoted to the practical problem suggested in (1) above, i. e., dealing with local administration of the general ad valorem tax. I would like for the treatment of the subject to warrant publication and will plan for it to be such.

Be it known that I do not want another advanced degree for the sake of the possession of the degree, not do I wish to write a dissertation for the sole purpose of getting a degree! I want my study and dissertation to result in a definite contribution to the South, especially in terms of the problems of Mississippi. If I did not admit the possibility of being able to accomplish this to some slight degree, I would not seek a Fellowship of the Rosenwald Fund.

5. Subsequent plans for your career.

I have no set plans for my career. I taught government and economics and directed band work for four years in a junior college and liked it. Since April, 1936, I have been employed by the Resettlement Administration as a re-

search consultant for studying fiscal problems connected with land use in Mississippi. I do know that my career properly lies within the broad field which we designate as the "public service". The particular phase of it which affords me the greatest opportunities and with which I am most capable of dealing is the one in which I will continue my career subsequent to acquiring a Ph. D. degree. Thus far in my life, I have not had to apply for jobs and positions and thus determine my own work. Somehow that end of my life has been, and I believe will continue to be, better governed by a consciousness of good and right purpose which lead one always to his right place. If it should be politics, if it should be college teaching, social planning, or if it should be public administration of some other sort, I humbly desire to fill that place adequately.

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DUKE UNIVERSITY SUMMER SCHOOL

Durham, North Carolina

To Whom It May Concern:

This is to certify that Mr. John Tyler Caldwell, Yazoo City, Mississippi, attended Duke University Summer School in 1933, 1934, and 1935, and satisfactorily completed the following graduate credit courses:

Economics S207, American Constitutional Law and Theory, three semester-hours, grade G;  
Economics S217, Economic Stabilization, three semester-hours, grade G;  
Economics S294, Municipal Government and Administration, three semester-hours, grade G;  
Economics S394, Seminar in Municipal Government and Administration, three semester-hours, grade G;  
Economics S222, International Organization and Administration, three semester-hours, grade G;  
Economics S264, Railroad Regulation in the United States, three semester-hours, grade G;  
Economics S209, State and Local Government in the United States, three semester-hours, grade G;  
Economics S219, Economics of Recovery, three semester-hours, grade G;  
Thesis in Political Science, six semester-hours.

Mr. Caldwell was awarded the Master of Arts degree at Duke University, in June, 1936.

Very sincerely yours,

(Signed) HOLLAND HOLTON  
Director of Summer School

Explanation of grades: C, average undergraduate grade; B, above average; D, below average, but clearly passing; A, excellent; F, failure.  
GRADUATE COURSES: S, satisfactory; G, good, an honor grade; E, exceptional.



OFFICIAL TRANSCRIPT OF CREDITS

Earned By

Name Caldwell, John Tyler

Address State College, Mississippi.

At The  
MISSISSIPPI STATE COLLEGE  
State College, Mississippi

Education 10, Administration of Business	1	2	3
Education 8, Principles of Business			
English 51-52, Shakespeare			

IA. College Credits: \_\_\_\_\_ Number of Semester Hours Required for Graduation \_\_\_\_\_  
 How Earned: \_\_\_\_\_

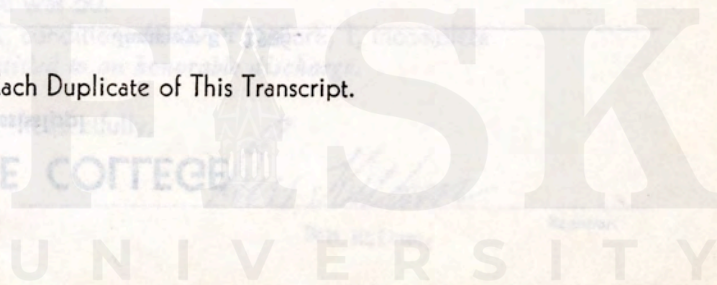
Library	Number	Subject	Number	Grade	Number
Chemistry	1	General Chemistry			
Physics	2	Physics			
English	4	Commercial Law			
Mathematics	1	Calculus			
History	3	History			

III. Entrance Unit: From \_\_\_\_\_  
 II. Credits: \_\_\_\_\_  
 Attended \_\_\_\_\_  
 I. Attendance: Admitted \_\_\_\_\_

Official Transcript of the record of \_\_\_\_\_  
 The passing grade is "D" \_\_\_\_\_  
 Scale of Grading: A, 90-100; B, 80-89; C, 75-79; D, 70-74; E, 65-69; F, 60-64; G, 55-59; H, 50-54; I, 45-49; J, 40-44; K, 35-39; L, 30-34; M, 25-29; N, 20-24; O, 15-19; P, 10-14; Q, 5-9; R, 0-4.

One Dollar in Advance will be Charged for Each Duplicate of This Transcript.

MISSISSIPPI STATE COLLEGE





## 1930-1931

Botany 1-2-3, General Botany	36	2	4	8	94	94	94
Commerce 19, Insurance	12	3		2		80	
Economics 12, Principles of Economics	12	3		2		82	
Education 11, Sociology	12	3		2			78
English 32, English Fiction	12	3		2	95		
English 35, Modern Prose Types	12	3		2			92
English 82, The Forms of Public Address	12	3		2	92		
English 87, Advanced Public Speech and Debate	12	3		2			90
Geology 8, General Economic Geography	12	2	2	2		82	
Geology 9, Economic Geography of North America	12	2	2	2			85
Government 4, Government and Business	12	3		2			90
Government 7, Nation Administration	12	3		2		95	
Military Band 10-11-12	36	6		6	90	92	92
Modern Language 26-28-29, French	36	3		6	92	92	92
Modern Language 54-55, German	24	3		4	87	87	

## 1931-1932

Botany 4, Plant Diseases	12	2	4	2 2/3	88		
Education 1-2, Educational Psychology	24	3		4	88	78	
Education 10, Administration of Physical Education	12	3		2		85	
Education 33, Teaching of General Science	12	3		2		90	
Education 8, Principles of Teaching	12	3		2			82
English 51-52, Shakespeare	24	3		4	93	88	
English 53, Modern Drama	12	3		2			93
English 86, The Public Meeting	12	3		2	90	78	
Government 5, Comparative Government	12	3		2	90		93
Government 8, International Law	12	3		2			93
Mathematics 20, Plane Analytic Geometry	12	3		2		85	
Military Band 13-14-15	36	6		6	92	92	92
Zoology 1, Invertebrate Zoology	12	2	4	2 2/3		84	

The passing grade is "D." Prior to 1922-1923, the passing grade was 60.

Scale of Grading: A, 90-100; B, 80-89; C, 75-79; D, 70-74; E, condition, 60-69; F, failure; I, incomplete.

I certify that the information is correct and that the student is entitled to an honorable discharge.

Respectfully,

*Ben Hilburn*

Ben Hilburn,

Registrar.

PLEASE RETURN  
TO  
JULIUS ROSENWALD FUND

## II. A plan of work for 1938-39

Assuming that I will successfully pass my general examination in May or October of this year, I propose to devote 1938-39 to the production of a dissertation. Present plans for the dissertation include an analysis and treatment of the following problems in Mississippi's financial administration: (1) state and local government relationships, including assessments under the general property tax, auditing of accounts, state supervision of local borrowing, and administration of tax delinquent lands which have reverted to the State; and (2) centralization of state fiscal administration, including the budgeting process and revenue-collecting agencies. My thesis will be primarily a justification for closer coordination of functions in the State fiscal machinery as one essential to fixing responsibility for efficient administration. It may develop that I cannot include all of the above subjects in my investigation and do an adequate job of any of them, but the adjustments in that respect will be made as the work proceeds.

During all the stages of developing the project, I shall have the advice of Dr. Lutz and Dr. Sly, with whom I have already discussed the project. My first step will be to devote as much time as seems necessary to outlining the subject and determining upon the required information and best probable sources of it. Information which is not available otherwise I shall come to Mississippi to obtain. By January I should be back at Princeton to begin writing. Within a reasonable radius from Princeton, and en route, between Princeton and Mississippi, I propose to visit State offices wherever I have previously determined that the particular State has something definite to contribute, positively or negatively, from its experience with various control devices and administrative set-ups. My personal acquaintance with Hon. A. H. Stone of the Mississippi State Tax Commission, this year Vice-president of the National Tax Association and last year President of the American Association of State Tax Administrators, should be of inestimable value in arranging contacts and securing information during the entire process.

Finally, it is my hope that the dissertation or portions of it will be found worthy of publication for distribution to at least the "key" citizens in all parts of Mississippi.

A.

# JULIUS ROSENWALD FUND

4901 ELLIS AVENUE

CHICAGO

## Confidential Report on Candidate for Fellowship

Name of Candidate Mr. John T. Caldwell

Report Requested of Mr. W. S. Carpenter, Chairman, Department of Politics

Princeton University

---

The above named candidate has applied to this Fund for a fellowship and has given your name as a reference. A statement of the candidate's plan of work is attached. Please return it with your statement.

We shall appreciate a frank statement of your opinion of this applicant's abilities and personal characteristics, and an appraisal of his plan of work. An early reply to this inquiry will be of great assistance in allowing the Fellowship Committee sufficient time for an adequate review of the large number of candidates who apply for grants.

Your reply will be held in strictest confidence.

*Raymond Paty*

Director for Fellowships

---

### REPORT

I think Mr. Caldwell has a great deal of ability. He possesses in an unusual degree an interest in both the theoretical and practical aspects of political science. Since the great problem of the student of political science is to translate theory into practice, there is a pressing need for men with the outlook and the intellectual interests of Mr. Caldwell. I believe every member of the Department of Politics at Princeton has a high opinion of Mr. Caldwell.

I hope it will be possible for Mr. Caldwell to continue at Princeton through another academic year. We are at present reaching the final stage of the work of the Princeton Local Government Survey and are converting this organization into a permanent Bureau of Government Research. It is our belief that we have in this organization the best type of laboratory for the train-

OVER

PRINCETON UNIVERSITY

JULIUS ROSENWALD FUND

ing of graduate students in political science. Mr. Caldwell has been in close association with Professor Harley L. Lutz and Dr. John F. Sly and the work of the Princeton Local Government Survey. He is now in a position to carry out an independent study in which the guidance of these scholars and the facilities of our new bureau will be of tremendous assistance. I believe that the case of Mr. Caldwell is just the kind in which a renewal of the grant will bring about the best aims of the Rosenwald Fund.

Name of Candidate  
Report Requested of  
The above named candidate has applied to the Fund for a fellowship and has given your name as a reference. A statement of the candidate's plan of work is attached. Please return it with your statement.  
We shall appreciate a frank statement of your opinion of the applicant's abilities and personal characteristics, and an appraisal of his plan of work. An early reply to this inquiry will be of great assistance in allowing the Fellowship Committee sufficient time for an adequate review of the large number of candidates who apply for grants.  
Your reply will be held in strictest confidence.  
Raymond Paty  
Director for Fellowships

REPORT

Is the candidate free from personality handicaps which would make it difficult to obtain and hold a position giving him opportunity to utilize his abilities?

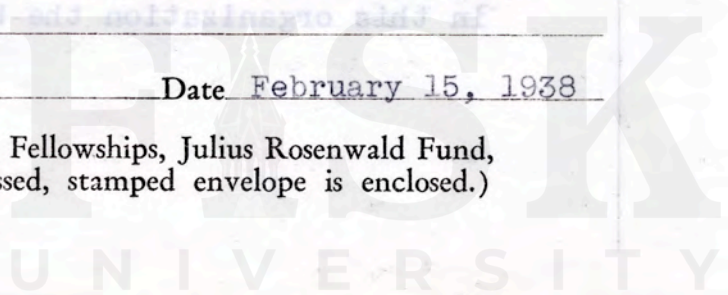
He possesses a splendid personality.

Signed W. P. Carpenter  
Position or Title Chairman, Department of Politics  
Address Princeton University

Date February 15, 1938

(Please return to Raymond Paty, Director for Fellowships, Julius Rosenwald Fund, 4901 Ellis Avenue, Chicago, Illinois. Addressed, stamped envelope is enclosed.)

OVER



JULIUS ROSENWALD FUND  
4901 ELLIS AVENUE  
CHICAGO

Confidential Report on Candidate for Fellowship

Name of Candidate Mr. John T. Caldwell  
Report Requested of Mr. Harley L. Lutz, Professor Public Finance  
Princeton University

The above named candidate has applied to this Fund for a fellowship and has given your name as a reference. A statement of the candidate's plan of work is attached. Please return it with your statement.

We shall appreciate a frank statement of your opinion of this applicant's abilities and personal characteristics, and an appraisal of his plan of work. An early reply to this inquiry will be of great assistance in allowing the Fellowship Committee sufficient time for an adequate review of the large number of candidates who apply for grants.

Your reply will be held in strictest confidence.

*Raymond Pats*  
Director for Fellowships

REPORT

1. The candidate's ability and personality.

I regard Mr. Caldwell as an exceptionally able student. He has matured well, and the evidence of his work that I have seen indicates a capacity for both analysis and synthesis. He can break a problem down into its essential elements, and then see it as a whole in dealing with it.

His personality is pleasing and agreeable. He has poise and self-assurance, and should impress those with whom he comes in contact, whether as a research investigator or as a teacher.

2. The plan.

I have examined the considerable manuscript which Mr. Caldwell has already done on certain phases of taxation and financial administration in Mississippi, and it was on my advice that he decided to undertake the broadening and completion of this study as a doctoral subject. This earlier work is of excellent quality, and I have based my judgment of his capacity in part upon it.

The completion of this study will involve more field work in Mississippi. Its earlier plan had omitted some important aspects of the state system, and the whole now requires to be brought

OVER

up to date, and rewritten to fit into the larger framework of the present design.

Mr. Caldwell is especially well fitted to undertake such a study, as he is well known in Mississippi, and has already made his contacts with the tax commission and with other authorities.

The type of study proposed is of great value. The literature of public finance is singularly deficient in authoritative material relative to the whole group of the southern states, except for a few brief monographs written a generation ago. The successful completion, and, it is to be hoped, the publication, of such a study as Mr. Caldwell proposes, covering the developments and the present conditions in one of the more progressive of the southern states, will be of the greatest interest and value to all students of public finance.

I hope, therefore, that you may be able to extend this grant into a second year. Everything about the case is, in my opinion, very promising.

*Raymond Paty*  
Director for Fellowships

REPORT

Is the candidate free from personality handicaps which would make it difficult to obtain and hold a position giving him opportunity to utilize his abilities?

Entirely free, in my opinion.

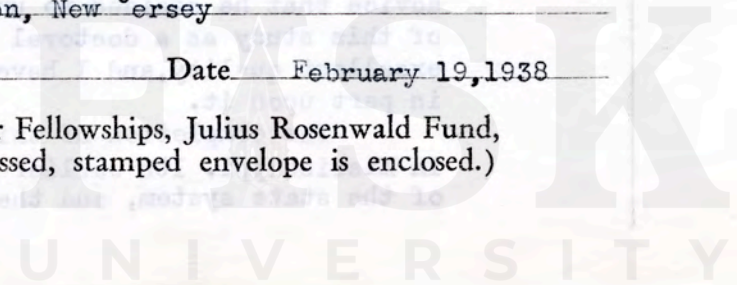
Signed *Harley L. Kelly*

Position or Title Professor of Public Finance

Address 56 Battle Road, Princeton, New Jersey

Date February 19, 1938

(Please return to Raymond Paty, Director for Fellowships, Julius Rosenwald Fund, 4901 Ellis Avenue, Chicago, Illinois. Addressed, stamped envelope is enclosed.)



a

# JULIUS ROSENWALD FUND

4901 ELLIS AVENUE

CHICAGO

## Confidential Report on Candidate for Fellowship

Name of Candidate Mr. John T. Caldwell

Report Requested of Dr. John F. Sly, Director, Princeton Local Government Survey  
Princeton University

The above named candidate has applied to this Fund for a fellowship and has given your name as a reference. A statement of the candidate's plan of work is attached. Please return it with your statement.

We shall appreciate a frank statement of your opinion of this applicant's abilities and personal characteristics, and an appraisal of his plan of work. An early reply to this inquiry will be of great assistance in allowing the Fellowship Committee sufficient time for an adequate review of the large number of candidates who apply for grants.

Your reply will be held in strictest confidence.

*Raymond Patz*

Director for Fellowships

### REPORT

I have come in close contact with Mr. Caldwell during the past year and commend him as a student of ability and promise. His work at Princeton has been excellent. He stands high in the respect of his fellow students. He has the background and viewpoint that offer him unusual equipment for an effective life in public affairs.

His interests are in a field of timely and significant problems in local government, and while he is thinking in terms of a study in the financial administration of the state of Mississippi, I would suggest that the emphasis be broadened somewhat to include the analysis of a selected process with emphasis on local conditions rather than a too exclusive study of a single state.

I feel every assurance that Mr. Caldwell merits a renewal of the grant and has every promise of proving worthy of such special consideration.

Sincerely yours,

*John F. Sly*  
John F. Sly

OVER

JULIUS ROSENWALD FUND  
4901 ELLIS AVENUE  
CHICAGO

Confidential Report on Candidate for Fellowship

Name of Candidate Mr. John P. Galdwell

Report Requested of Dr. John P. Galy, Director, Princeton Local Government Survey

Princeton University

The above named candidate has applied to this Fund for a fellowship and has given your name as a reference. A statement of the candidate's plan of work is attached. Please return it with your statement.

We shall appreciate a frank statement of your opinion of the applicant's abilities and personal characteristics and an appraisal of his plan of work. An early reply to this inquiry will be of great assistance in allowing the Fellowship Committee sufficient time for an adequate review of the large number of candidates who apply for grants.

Your reply will be held in strictest confidence.

Raymond Paty  
Director for Fellowships

REPORT

Is the candidate free from personality handicaps which would make it difficult to obtain and hold a position giving him opportunity to utilize his abilities?

Yes

Signed *John P. Galy*

Position or Title *Director, Princeton Local Government Survey*

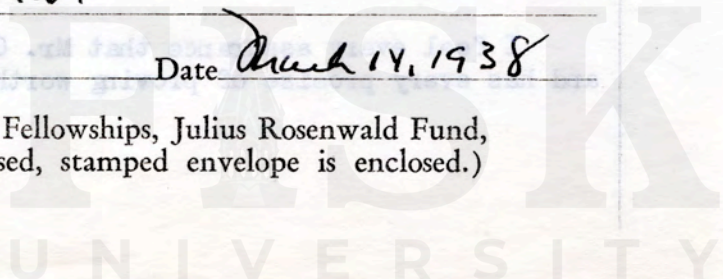
Address *20 Nassau St., Princeton, N.J.*

Date *March 14, 1938*

(Please return to Raymond Paty, Director for Fellowships, Julius Rosenwald Fund, 4901 Ellis Avenue, Chicago, Illinois. Addressed, stamped envelope is enclosed.)

OVER

*John P. Galy*



a

JULIUS ROSENWALD FUND

4901 ELLIS AVENUE

CHICAGO

Confidential Report on Candidate for Fellowship

Name of Candidate Mr. John T. Caldwell

Report Requested of Mr. Wm. Starr Myers, Professor Comparative Government

Princeton University

The above named candidate has applied to this Fund for a fellowship and has given your name as a reference. A statement of the candidate's plan of work is attached. Please return it with your statement.

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Your reply will be held in strictest confidence.

*Raymond Patz*

Director for Fellowships

REPORT

Mr. Caldwell is an exceptionally able and efficient student. His work with me has been fine, and he has both excellent scholarship and good balance of judgment. His personality is most pleasing and I consider him to be a man with an unusually good prospect of productive scholarship. His project for work should be of special value not only to the state of Mississippi, but also to the study and reorganization of state and local administration elsewhere. I give it my best approval, since he is working in a field of special importance today. Mr. Caldwell is most satisfactory in every way, and is

OVER.

emergent and industries

4901 ELLIS AVENUE  
CHICAGO

Confidential Report on Candidate for Fellowship

Name of Candidate

Report Requested by

The above named candidate has applied to the fund for a fellowship and has given your name as a reference. A statement of the candidate's plan of work is attached. Please return it with your statement.

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Your reply will be held in strictest confidence.

Raymond Paty  
Director for Fellowships

Is the candidate free from personality handicaps which would make it difficult to obtain and hold a position giving him opportunity to utilize his abilities?

Yes.

Signed Am. Star Jones

Position or Title Prof of Politics, Princeton University

Address 104 Bayard Lane, Princeton, N. Jersey

Date February 14, 1938

(Please return to Raymond Paty, Director for Fellowships, Julius Rosenwald Fund, 4901 Ellis Avenue, Chicago, Illinois. Addressed, stamped envelope is enclosed.)

UNIVERSITY

Letters of Reference

JOHN T. CALDWELL

Dr. John F. Sly, Director, Princeton Local Government Survey,  
Princeton University

I have come in close contact with Mr. Caldwell during the past year and commend him as a student of ability and promise. His work at Princeton has been excellent. He stands high in the respect of his fellow students. He has the background and viewpoint that offer him unusual equipment for an effective life in public affairs.

His interests are in a field of timely and significant problems in local government, and while he is thinking in terms of a study in the financial administration of the state of Mississippi, I would suggest that the emphasis be broadened somewhat to include the analysis of a selected process with emphasis on local conditions rather than a too exclusive study of a single state.

I feel every assurance that Mr. Caldwell merits a renewal of the grant and has every promise of proving worthy of such special consideration.

- - - -



John T Caldwell



JOHN TYLER CALDWELL (26 years old)

JUNIOR ECONOMIST, RESETTLEMENT ADMINISTRATION  
STATE COLLEGE, MISSISSIPPI (Annual salary \$2,000).

White Southerner Special Field - Public Administration Seeks Ph. D. at Princeton (2 years but asks help for only one). Admitted.

Digest of Application

Born 1911 Yazoo City, Mississippi.

B. S. degree from Mississippi State College 1932. M. A. obtained through work during summer sessions at Duke, 1933, 1934, 1935. American Extension University (School of Law). Undergraduate activities.

Chairman Social Science Section of the Mississippi Education Association for 1936. Thesis: Operations of the Mississippi State Tax Commission. At work on a publication which will be in mimeographed form (in collaboration) entitled State Owned Lands in Mississippi as of January 1, 1936.

Seeks training for further research, institutional teaching, or public administration.

Budget Summary

Total amount needed \$ 1578

From applicant 100

From Fund \$ 1478

Granted \$ \_\_\_\_\_

*A excellent candidate  
Knows what he wants + where to get it  
a bit overzealous + spectacular (as indicated by portrait + long winded statement)  
But this may be a help in public life  
Local taxation is certainly one of the big issues*

*B + 2 possibly A*

*ERE*



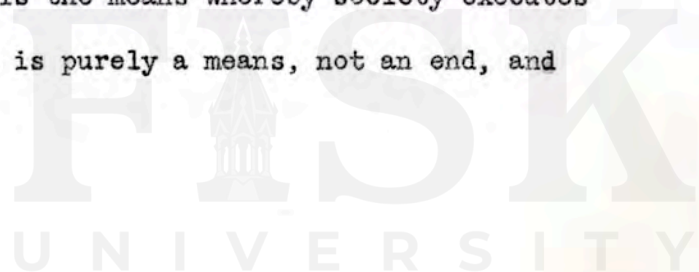
J. J. Caldwell

STATEMENT OF PLAN OF WORK

1. A description of the project, including its character and scope, and the significance of its presumable contribution.

Dramatically, perhaps, the South will be the setting of the next great advances in the true American idea of civilization. The scope of this progression is not limited to one field, as of industry. A relatively static condition and past attitude of the South on social, economic, and political advancement affords for the present and oncoming generations the stupendous challenge of "doing something" in all of them. Some of the new economic stimulus comes from the North and East as capital seeks new fields for investment and industry seeks additional markets. Mississippi has some resources which have not been touched, chiefly because there were other and more economical sources. The South is seeking new industries, and it is being realized that an industrial demand for labor in the South will go far toward raising the wage-price of the mass of under-paid, under-fed, and under-educated population living in the Southern States today. Such economic developments are always accompanied by and necessitate social readjustments. The two are concomitant.

Assuming, then, that the general idea expressed above is basically true, there is a third factor which will lend itself with great advantage to a program of economic and social betterment, or, disregarded, can easily be the most vexing obstacle. This is the political frame within which any expected economic-social advance must of necessity take place. The Government, whether it be National, State, or Local, is the means whereby society executes what it thinks to be good for itself. It is purely a means, not an end, and



is just as important to the successful prosecution of a social innovation as the road is to the traveler. The smoother, straighter, and better marked is a highway, the safer, surer, and speedier is the traffic that moves upon it. Also, there are limitations upon the speed and limitations upon the rights of those who use the road.

So, political reform can be ranked in the South as one of the three great challenges to my generation. I am positive that Mississippi is in need of a more efficient governmental machine. I am almost as positive that one of these days the thinking portion of Mississippi's voting population will be demanding some reform in its political framework, and that they will need those who have concentrated upon the study of state and local government to aid in framing the kind of changes which they demand. I have a sincere ambition to be called in to aid in that work, and I am not prepared at the present time.

My desire is to be acquainted with the best knowledge and experience that I can afford or be afforded on the subject of public administration in State and local government. And for this to be worth most to my purpose, I would attempt to examine every aspect of my study with the question, "How does this apply to the problem with which I am acquainted in Mississippi?" (Mississippi's main problems could be duplicated elsewhere in the South.) Still further, in order to avert limiting the possibilities which a trained public servant should possess, I am determined to preserve what I have already inherited and acquired of a wholesome, unselfish regard for the rights of ALL people, wherever they are and whatever their circumstance, color, or creed.

These general remarks have only an indirect bearing upon the significance of the specific dissertation problem upon which I would like to concentrate in further graduate study. The general problem of local public finance is no less vexing in Mississippi than many other States, and the problem of equitable administration of the general property tax deserves very special study anticipating reform. The Brookings Institution gave considerable space to their observations on this phase of Mississippi's revenue system in their "Report on a Survey of State and Local Government in Mississippi" (1930). Since that time, however, various investigations into the background of property tax delinquency and taxation and its relation to land use, especially in the case of rural lands, have revealed a more definite case for better trained and more specialized personnel in the administration of the general property tax laws, certainly in valuation procedure. New criteria must be substituted for the old in establishing values, in such a manner, for instance, that differential rates of assessment or valuation might be applied to different classes of rural income-producing properties, as timberland and crop land. Forms of technique must be set up, necessitating a technically trained administrative personnel chosen on some merit basis. Tenure of office must be made less dependent upon local favoritism. Means must be found whereby the State electorate will awaken to their own conservatism in this field and press for reform. This specific problem would be treated under the title: "The Taxation of Real Property in the Southern States: Proposals for Setting Up New Criteria and Personnel". Special attention will be given to Mississippi. The title is subject to revision.



Again, I would not like to limit myself by ignoring the framework of State government for which the county acts as the local agent. Therefore, I should certainly want to study developments in State constitutions, possibilities of the police power, and contemporary applications of the power of taxation and eminent domain.

2. The present state of the project, time of commencement, progress to date, and expectation as to completion.

Complying with this item necessitates my saying that I have done no work toward a Ph. D. degree since obtaining a Masters degree from Duke University in June, 1936. (Work completed September, 1935.) I should like to begin work toward the Doctor of Philosophy degree in September, 1937. Judging from the average experience, two years, at the least, would be required for the completion of the required work, certainly so where that is the minimum residence requirement. I am unable to estimate the time which would be required for me to work out a special problem in dissertation form. A minimum of one year would be my first guess. I propose to complete the study in two years, relying upon fellowships, if I can get them, to a considerable degree.

3. The proposed university, or institution of similar grade, or the place where the work would be carried on, and the authorities, if any, with whom the work would be done.

Because of the special emphasis being placed upon State and local government at the present time by the Princeton University, Department of Politics, I have selected that Institution for first preference, although

I should be equally as grateful for the opportunity to study at either Harvard or Chicago. My work at Princeton would be under the general direction of Professor William S. Carpenter. Other studies and dissertation in Public Finance would fall under Professor Harley L. Lutz. This University is conducting the Princeton Local Government Survey and seems to be a logical place for study in this field for the next year or two. (Assurance has been given me that I would be admitted upon proper application.)

4. Your expectation as to publication or use of the results of your study.

My dissertation will be devoted to the practical problem suggested in (1) above, i. e., dealing with local administration of the general ad valorem tax. I would like for the treatment of the subject to warrant publication and will plan for it to be such.

Be it known that I do not want another advanced degree for the sake of the possession of the degree, nor do I wish to write a dissertation for the sole purpose of getting a degree! I want my study and dissertation to result in a definite contribution to the South, especially in terms of the problems of Mississippi. If I did not admit the possibility of being able to accomplish this to some slight degree, I would not seek a Fellowship of the Rosenwald Fund.

5. Subsequent plans for your career.

I have no set plans for my career. I taught government and economics and directed band work for four years in a junior college and liked it. Since April, 1936, I have been employed by the Resettlement Administration as a re-

search economist for studying fiscal problems connected with land use in Mississippi. I do know that my career properly lies within the broad field which we designate as the "public service". The particular phase of it which affords me the greatest opportunities and with which I am most capable of dealing is the one in which I will continue my career subsequent to acquiring a Ph. D. degree. Thus far in my life, I have not had to apply for jobs and positions and thus determine my own work. Somehow that end of my life has been, and I believe will continue to be, better governed by a consciousness of good and right purpose which lead one always to his right place. If it should be politics, if it should be college teaching, social planning, or if it should be public administration of some other sort, I humbly desire to fill that place adequately.

LETTERS OF REFERENCE

John Tyler Caldwell

*omit*  
P. H. Eason, State Agent, Dept. of Education, Jackson, Miss.

Mr. John Tyler Caldwell possesses outstanding ability. His personal characteristics are quite impressive.

I am very favorably inclined toward his plan of work. We need more men in the South with his attitude, personality, and with special training along the line of his interests. I think an investment in this young man would be wisely made.

- - -

*Change candidates name to Mr B - in each col*  
Dr. A. B. Butts, Chancellor, University of Mississippi

Mr. John T. Caldwell is a young man of exceptional ability. He graduated from Mississippi State College at a time when I was Professor of Government and Vice President of that institution. In his senior year he was my student assistant. He graded all quiz papers in my elementary courses in government, including courses in State and Local Government. He did this work in a very excellent manner. He received appointment to a fellowship at Duke University and did his Master's degree work there in the field of Government. In the last year or two Mr. Caldwell has had practical experience in the field of public administration. I know of no young man who in my judgment can better qualify for the type of fellowship for which he is making application than Mr. Caldwell.

In answer to the usual questions about applicants for graduate fellowships and scholarships, I would give Mr. Caldwell a rating of excellent to very superior in answer to such questions.

- - -

(Letters of Reference - John Tyler Caldwell)

Dr. R. R. Wilson, Chairman, Department of Political Science, Duke University

*Smith*

While I have only had Mr. J. R. Caldwell as a graduate student during two summer sessions, I have followed his career with interest and have a most favorable impression of him by reason of his ability, energy, and extraordinarily pleasant personality. As to his project, I am not sufficiently acquainted with conditions in Mississippi to be very well qualified to pass upon the applicant's plan. In general, my feeling is that Mr. Caldwell has the capacity to make a contribution toward improvement of the mechanics and methods of state and local government in his native state, and I therefore strongly recommend him for the grant which is seeking.

---

Dr. R. S. Rankin, Professor of Political Science, Duke University

Mr. John T. Caldwell was a graduate student here at Duke University for several summers. He did his work in the Departments of Political Science and Economics and received his Master of Arts degree with a major in local Government. His thesis concerned the administration of the sales tax in Mississippi. The examining committee thought that he had done a very good job as a Master's candidate. Mr. Caldwell has considerable ability as a student. He came to us somewhat handicapped by his previous training in Mississippi but was able to overcome this handicap and soon ranked as one of the best students in our department. He is vitally interested in the subject of taxation and the work that he has done in our graduate school and since receiving his degree has been in the field of taxation. At present Mr. Caldwell needs to transfer his training to other sections of the country and I believe the best thing for him is to register as he has planned at Princeton or some other institution where he will get a broader outlook upon his problem.

FISK  
UNIVERSITY

(Letters of Reference - John Tyler Caldwell)

It is very difficult for me to judge the project which Mr. Caldwell has outlined for your committee. It is not quite as specific as I should prefer. However, there is no doubt about there being an opportunity to do work upon the subject that he has chosen and I feel sure that with his training and with the contacts that he has in Mississippi he should be able to secure all necessary information and arrange it in such form as to make a contribution.

Mr. Caldwell has a charming personality. I would say that there would be absolutely no difficulty in his obtaining and holding a position once given an opportunity. I would rate his personality and general character as A +. I would hesitate to give this same rating to more than three students that we have had during the last ten years.

---

A. H. Stone, Chairman, Mississippi State Tax Commission

*much*

I have known Mr. John Tyler Caldwell intimately for several years. I have formed a very high opinion of his ability and character. He is in every way worthy of consideration and support. I think his plan of work is entirely sound. I know that his life objective is a career of public service, in the best sense of that term.

Mr. Caldwell's personality will be a valuable asset in his work. I shall follow his future with the deepest interest.

---

*Add*

*Additional references from Pres. H. D. Humphrey - Miss. State College  
C. O. Henderson - State Land Planning Spec.  
Resident ment Adm. (Miss.)*

*both*

# JULIUS ROSENWALD FUND

4901 ELLIS AVENUE

CHICAGO

## Confidential Report on Candidate for Fellowship

Name of Candidate John Tyler Caldwell

Report Requested of Mr. G. D. Humphrey, president

Mississippi State College, State College

The above named candidate has applied to this Fund for a fellowship and has given your name as a reference. A statement of the candidate's plan of work is attached. Please return it with your statement.

We shall appreciate a frank statement of your opinion of this applicant's abilities and personal characteristics, and an appraisal of his plan of work. An early reply to this inquiry will be of great assistance in allowing the Fellowship Committee sufficient time for an adequate review of the large number of candidates who apply for grants.

Your reply will be held in strictest confidence.

*Raymond Patey*  
Director for Fellowships

## REPORT

John Tyler Caldwell is one of the most outstanding men we have ever graduated from Mississippi State College. He is well grounded in the fundamentals of sociology and government, and his experience during the past year or two has fitted him for great service to the State provided he can go forward with specialized training in which he is interested. He has one of the best personalities of any young man I know, and I am sure that if he should receive the fellowship for which he is applying, his services to Mississippi and to the South would be greatly increased as a result of the training he would receive thereby. Because of his exceptional fitness for the work in which he is interested, I feel certain that you could find no better man for the scholarship.

The statement of his plan of work shows it to be practical and one that can be carried out with value to social and political workers.

FISK  
UNIVERSITY

OVER

JULIUS ROSENWALD FUND

4901 ELLIS AVENUE

CHICAGO

Confidential Report on Candidate for Fellowship

Name of Candidate

John Tyler Caldwell

Report Requested of

Mr. G. D. Humphrey, President

Mississippi State College, State College

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*Raymond Paty*  
Director for Fellowships

REPORT

Is the candidate free from personality handicaps which would make it difficult to obtain and hold a position giving him opportunity to utilize his abilities? Yes

Signed

*G. D. Humphrey*

Position or Title

President, Mississippi State College

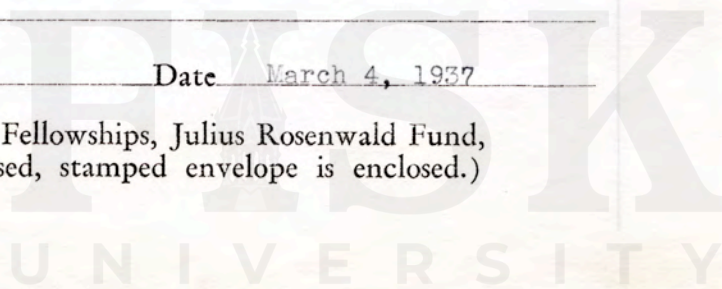
Address

State College, Mississippi

Date March 4, 1937

(Please return to Raymond Paty, Director for Fellowships, Julius Rosenwald Fund, 4901 Ellis Avenue, Chicago, Illinois. Addressed, stamped envelope is enclosed.)

OVER



V P  
JULIUS ROSENWALD FUND

4901 ELLIS AVENUE

CHICAGO

Confidential Report on Candidate for Fellowship

Name of Candidate John Tyler Caldwell

Report Requested of Mr. C. O. Henderson

State College, Mississippi

---

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Your reply will be held in strictest confidence.

*Raymond Paty*  
Director for Fellowships

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REPORT

Mr. John Tyler Caldwell was a member of the Staff of the Office of the State Land Planning Specialist, Land Use Planning Section, Land Utilization Division, Resettlement Administration, State College, Mississippi, from April 20, 1936, until February 1, 1937. He was selected for the position which he held because of his high scholastic attainment and his special training in the field of political science and government. During his tenure with this office he far exceeded the expectations of those in authority. The writer does not hesitate to say that Mr. Caldwell is one of the most promising young men in his chosen field of work. In view of the fact that Mississippi needs someone who is acquainted with conditions and who at the same time is well trained to study her governmental problems, it is felt by those that know Mr. Caldwell that the Julius Rosenwald Fund would be doing the state of Mississippi as well as the South a favor by providing the candidate with an opportunity for a year's study.

The writer has very carefully gone over the Statement of Plan of Work proposed by Mr. Caldwell and feels that it is sound and worthwhile.

Mr. Caldwell's personal characteristics are such that he makes a splendid and lasting impression on the people with whom he comes in contact. Again, we feel that the candidate has, from the standpoint of personality, morals, and ethics, very definitely those characteristics which would be considered ideal.

OVER

UNIVERSITY

JULIUS ROSENWALD FUND

4901 ELLIS AVENUE

CHICAGO

Confidential Report on Candidate for Fellowship

John Tyler Caldwell

Name of Candidate

Mr. C. O. Henderson

Report Requested of

State College, Mississippi

The above named candidate has applied to the Fund for a fellowship and has given your name as a reference. A statement of the candidate's plan of work is attached. Please return it with your statement.

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Your reply will be held in strictest confidence.

Director for Fellowships

REPORT

Is the candidate free from personality handicaps which would make it difficult to obtain and hold a position giving him opportunity to utilize his abilities?

The candidate has an unusually fine personality which instead of a handicap would be an asset to him in utilizing his ability in the field of work which he has chosen.

Signed

*C. O. Henderson*

Position or Title

State Land Planning Specialist, Resettlement Administration

Address

State College, Mississippi

Date February 15, 1937

(Please return to Raymond Paty, Director for Fellowships, Julius Rosenwald Fund, 4901 Ellis Avenue, Chicago, Illinois. Addressed, stamped envelope is enclosed.)

UNIVERSITY

OFFICIAL TRANSCRIPT OF CREDITS

Earned By

Name Caldwell, John Tyler

Address State College, Mississippi.

At The  
MISSISSIPPI STATE COLLEGE  
State College, Mississippi

Course and Credits	Grade	Hours	Quality Points	Grade Point Average

I. College Credits: \_\_\_\_\_ Number of Semester Hours required for graduation \_\_\_\_\_

How admitted: By \_\_\_\_\_

Course and Credits	Grade	Hours	Quality Points	Grade Point Average
Chemistry	2			
Physics	3			
Mathematics	4			
English	2			
History	1			
Political Science	3			
Government	1			
Education	1			
Physical Education	2			
Art	1			
Music	1			
Foreign Language	1			
Religion	1			
Health	1			
Other				

III. Entrance Unit: From \_\_\_\_\_ High School and Date of Graduation \_\_\_\_\_

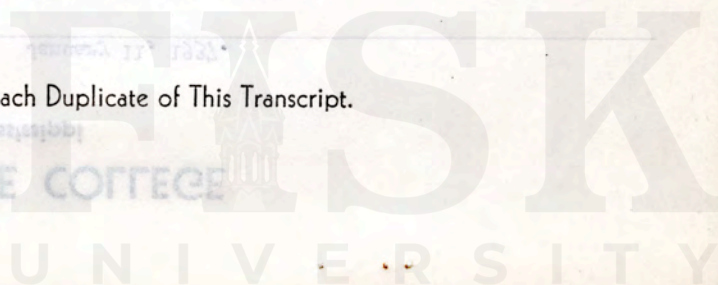
II. Graduated \_\_\_\_\_ Date \_\_\_\_\_

Attendance: Admitted \_\_\_\_\_ Date \_\_\_\_\_

Official Transcript of the Record of \_\_\_\_\_

One Dollar in Advance will be Charged for Each Duplicate of This Transcript.

MISSISSIPPI STATE COLLEGE



# MISSISSIPPI STATE COLLEGE

State College, Mississippi

January 11, 1937.

Official Transcript of the Record of Caldwell, John Tyler . Yazoo City, Mississippi.

State College, Mississippi.

Present Address.

I. Attendance: Admitted September 7, 1928 to Freshman Science  
Class School.

Attended 144 weeks ending May 30, 1932.

II. Graduated May 30, 1932 Degree, Bachelor of Science from the School of Science,  
Department of General Science.

III. Entrance Units: From Yazoo City High School, Yazoo City, Mississippi. May 25, 1928.  
High School and Date of Graduation.

Subject	Units	Subject	Units	Subject	Units
Algebra	2	History	3	Biology	
Arithmetic		Agriculture		Botany	
Plane Geometry	1	Bookkeeping		Chemistry	
Solid Geometry		Commercial Geography		General Science	1
English	4	Commercial Law		Physical Geography	
French		Stenography		Physics	1
German		Typewriting		Physiology	
Latin	3	Drawing		Zoology	
Spanish		Manual Training			
Civics and Economics	1				
				Total Units	16

How admitted: By certificate signed by R. J. Koonce, Superintendent, Yazoo City, Mississippi.

IV. College Credits: Number of Semester Hours required for graduation 144.

Courses and Numbers	No. of Wks.	Hours Per Week		Semester Hours Credit	Grades		
		Rec.	Lab.		1	2	3
1928-1929							
Chemistry 10-11-12, General Chemistry	36	3	4	10	87	81	66,78
English 1-2-3, English Composition	36	3		6	91	90	94
English 54, Business Correspondence	12	3		2		85	
History 7, Latin-American History	12	3		2			93
Mathematics 4-5, College Algebra	24	5		6 2/3	90	97	
Mathematics 6, Trigonometry	12	5		3 1/3			91
Modern Language 21-22-23, French	36	3		6	90	83	88
Military Science 1-2-3	36	1	2	2	81	94	73
Physical Education 1-2-3	36		2	2	86	90	92
Political Science 1, American Government	12	3		2	88		
Political Science 2, State Government	12	3		2			91
Political Science 3, County Government	12	3		2		85	

1929-1930							
Economics 11, Principles of Economics	12	3		2	84		
English 13-14, English Literature	24	3		4	87	90	
English 16, English Language	12	3		2			90
Geology 1-2-3, General Geology	36	3	2	8	88	86	89
History 1, Recent History	12	3		2		80	
Modern Language 24-25, French	24	3		4	86	91	
Modern Language 51-52-53, German	36	3		6	83	88	92
Military Science 4-5-6	36	1	2	2	83	84	83
Physics 5-6-7, College Physics	36	3	4	10	83	80	82
Political Science 6, Principles and Problems of Government	12	3		2			83
1930-1931							
Botany 1-2-3, General Botany	36	2	4	8	94	94	94
Commerce 19, Insurance	12	3		2		80	
Economics 12, Principles of Economics	12	3		2		82	
Education 11, Sociology	12	3		2			78
English 32, English Fiction	12	3		2	95		
English 35, Modern Prose Types	12	3		2			92
English 82, The Forms of Public Address	12	3		2	92		
English 87, Advanced Public Speech and Debate	12	3		2			90
Geology 8, General Economic Geography	12	2	2	2		82	
Geology 9, Economic Geography of North America	12	2	2	2			85
Government 4, Government and Business	12	3		2			90
Government 7, National Administration	12	3		2		95	
Military Band 10-11-12	36	3		6	90	92	92
Modern Language 26-28-29, French	36	3		6	92	92	92
Modern Language 54-55, German	24	3		4	87	87	
1931-1932							
Botany 4, Plant Diseases	12	2	4	2 2/3	88		
Education 1-2, Educational Psychology	24	3		4	88	78	
Education 10, Administration of Physical Education	12	3		2		85	
Education 33, Teaching of General Science	12	3		2		90	
Education 8, Principles of Teaching	12	3		2			82
English 51-52, Shakespeare	24	3		4	93	88	
English 53, Modern Drama	12	3		2			93
English 86, The Public Meeting	12	3		2		78	
Government 5, Comparative Government	12	3		2	90		
Government 8, International Law	12	3		2			93
Mathematics 20, Plane Analytic Geometry	12	3		2		85	
Military Band 13-14-15	36	6		6	92	92	92
Zoology 1, Invertebrate Zoology	12	2	4	2 2/3		84	

The passing grade is "D." Prior to 1922-1923, the passing grade was 60.  
 Scale of Grading: A, 90-100; B, 80-89; C, 75-79; D, 70-74; E, condition, 60-69; F, failure; I, incomplete.  
 I certify that the information is correct and that the student is entitled to an honorable discharge.

Respectfully,

*Ben Hilburn*

Registrar.

Ben Hilburn,

UNIVERSITY





# FELLOWSHIPS

April 16, 1938

Dear Mr. Caldwell: It is a pleasure to inform you that you have been selected by the Committee on Fellowships of the Julius Rosenwald Fund to receive a renewal grant of Fifteen hundred dollars (\$1,500) to assist you in carrying forward your study in public administration in accordance with the plan of work which you submitted to our Committee.

A plan covering the details of payments under this grant will be arranged to fit your particular needs.

Please let us know at once if you accept this grant. An official announcement of the Committee's selections for the year will be made soon and can include only those from whom acceptances have been received.

Very truly yours,

RAYMOND R. PATY

RP:McK

Mr. John Tyler Caldwell  
Graduate College  
Princeton University  
Princeton, New Jersey

FISK  
UNIVERSITY

# SCHOLARSHIP

April 15, 1937

Dear Mr. Caldwell: It is a pleasure to inform you that you have been selected by the Committee on Fellowships of the Julius Rosenwald Fund to receive a grant of fifteen hundred dollars to assist in carrying forward your plans for study in the field of public administration at Princeton University.

While our Committee has some suggestions regarding your plans, about which I shall write you later or shall discuss with you in person, the award is definite. Our suggestions, however, are in the nature of advice, and you will be left free to carry forward your work in your own way. A plan covering the details of payments under this grant will be arranged to fit your particular needs.

Please let us know at once if you accept this grant. Official announcement of the Committee's selection for the year will be made soon and can include only those acceptances which have been received. We shall appreciate your regarding this notification as confidential until the full list is announced.

Very truly yours,

RAYMOND R. PATY

RP:U:JW

Mr. John Tyler Caldwell  
2323 Battery  
Little Rock, Arkansas

FISK  
UNIVERSITY