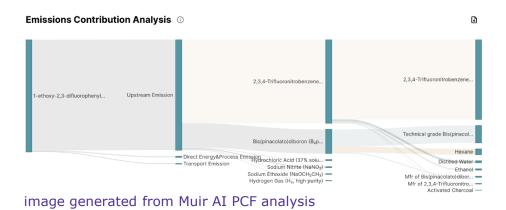
The Electronics business of Merck KGaA, Darmstadt, Germany operates as EMD Electronics in the U.S. and Canada.

pifferentially private federated learning for High-accuracy carbon footprint prediction

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Using Machine Learning in Carbon Footprint Prediction

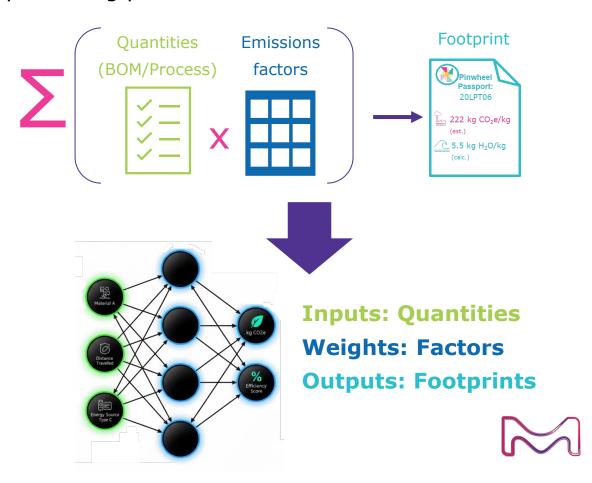


Environmental footprint reporting and sustainable design requires **tracing inputs across complex supply chains**.

Currently, a lack of data hampers accurate calculations:

- Most emissions databases cover only a small fraction of known materials.
- Proprietary processes and inputs restrict data availability.

Recasting **footprint calculation as a deep learning problem** enables accurate, scalable, and privacy-preserving predictions.



Differentially Private Federated Learning (DPFL) Framework

Federated learning

Aggregate the <u>models</u>, <u>not</u> the data from different clients

Differential privacy

Add a little noise to the model updates to hide the true updates in the FL framework

Algorithm 1 Differentially Private Federated Learning (DPFL)

```
Input: Datasets \{D_i\}_{i\in[m]} belonging to m clients, initial baseline model \theta_b, target privacy budget (\epsilon,\delta), number of local epochs E, learning rate \eta, the number of round T Output: Differentially private global model \bar{\theta} for each round t=1,2,\ldots,T do

for each client i\in[m] in parallel do

Receive global model \theta_b from the server Compute noisy local gradient updates: \tilde{g}_i = \text{LocalTrain}(\theta_b, D_i, E, \eta, \frac{\epsilon}{T_m}, \frac{\delta}{T_m})

Send \tilde{g}_i to the server end for

Aggregate noisy gradients at the server: \Delta = \frac{1}{m} \sum_{i \in \mathcal{S}} \tilde{g}_i

Update global model: \theta_b \leftarrow \theta_b + \Delta end for

Return the final global model \bar{\theta} = \theta_b
```



Experimental Results

- **Data source:** Real-world processes from **TianGong** (10k+ LCA unit processes).
- Emission factors: Matched with OpenLCA Nexus database (kg CO2 eq/unit).
- Filtering: Kept 508 processes with full material-factor alignment (97 materials total).
- **Label computation:** Total footprint = Σ (input × emission factors)
- Split: 80% training (3 clients), 20% testing.

| ϵ | $R^{2}(1)$ | $R^{2}(2)$ | $R^{2}(3)$ | $R^2(agg)$ | $R^2(baseline)$ |
|------------|------------|------------|------------|------------|-----------------|
| 1.5 | 0.9775 | 0.8150 | 0.4637 | 0.7709 | 0.9039 |
| 3 | 0.6969 | 0.7240 | 0.8734 | 0.8976 | 0.9852 |
| 15 | 0.9952 | 0.9464 | 0.9917 | 0.9608 | 0.9947 |
| 30 | 0.9990 | 0.9853 | 0.9910 | 0.9789 | 0.9954 |

At $\epsilon = 15$, DPFL predicts footprints within 5% of the non-private baseline, striking a strong **balance between privacy and accuracy**.



Together, we can enable collaborative sustainability.

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