

# Physics-constrained Deep Recurrent Neural Models of Building Thermal Dynamics

Ján Drgoňa, Aaron R. Tuor, Vikas Chandan, Draguna L. Vrabie

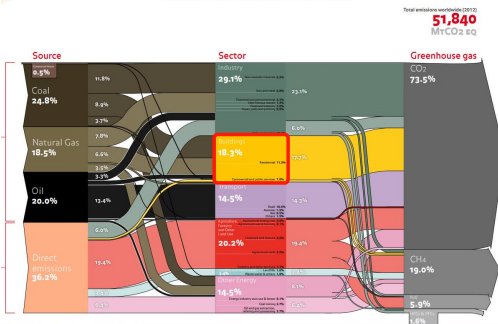
Pacific Northwest National Laboratory, Richland, WA, USA



# Motivation

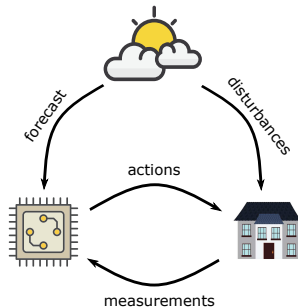
## Problem<sup>1</sup>

WORLD GHG EMISSIONS FLOW CHART



Inefficient controls

## Solution<sup>2</sup>



Model predictive control

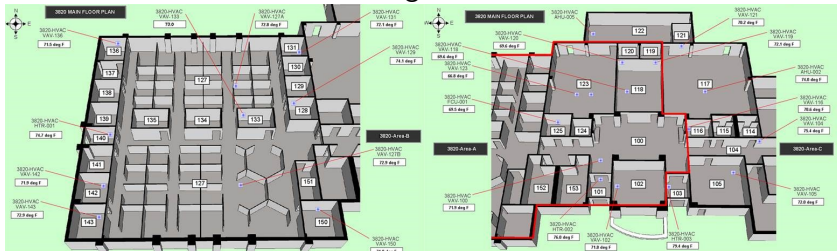
<sup>1</sup> Edit by Ecofys (now part of Navigant Consulting), original by World Resources Institute (WRI).

<sup>2</sup> Advanced optimal control can save energy and cut the building's emissions by almost 30%.  
Gyalistras et al., Analysis of Energy Savings Potentials for Integrated Room Automation. RHEVA World Congress 2010.

# Real-world Office Building



Building's facade.



Building's zone layout.

# Modeling of Building Thermal Dynamics

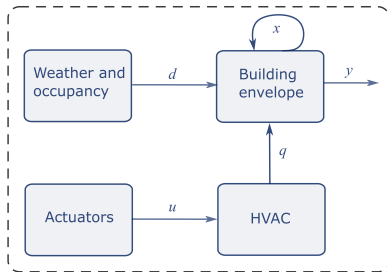
## Physics-based Model

$$\mathbf{x}_{t+1} = A\mathbf{x}_t + B\mathbf{q}_t + f_d(\mathbf{d}_t),$$

$$\mathbf{y}_t = C\mathbf{x}_t,$$

$$\mathbf{q}_t = \dot{\mathbf{m}}_t c p \Delta \mathbf{T}_t,$$

Building model structure



# Modeling of Building Thermal Dynamics

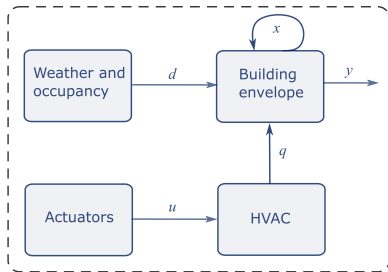
## Physics-based Model

$$\mathbf{x}_{t+1} = A\mathbf{x}_t + B\mathbf{q}_t + f_d(\mathbf{d}_t),$$

$$\mathbf{y}_t = C\mathbf{x}_t,$$

$$\mathbf{q}_t = \dot{\mathbf{m}}_t c_p \Delta \mathbf{T}_t,$$

Building model structure

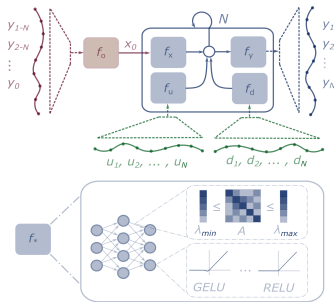


## Physics-structured Neural Model

$$\mathbf{x}_{t+1} = f_x(\mathbf{x}_t) + f_u(\mathbf{u}_t) + f_d(\mathbf{d}_t)$$

$$\mathbf{y}_t = f_y(\mathbf{x}_t)$$

$$\mathbf{x}_0 = f_o([\mathbf{y}_{1-N}; \dots; \mathbf{y}_0])$$



## Eigenvalue constraints for dissipative dynamics:

$$\mathbf{M} = \lambda_{\max} - (\lambda_{\max} - \lambda_{\min})\sigma(\mathbf{M}')$$

$$\tilde{\mathbf{A}}_{i,j} = \frac{\exp(\mathbf{A}'_{ij})}{\sum_{k=1}^{n_x} \exp(\mathbf{A}'_{ik})} \mathbf{M}_{i,j}$$

## Penalty constraints for confined trajectories:

$$p(\mathbf{y}_t, \underline{\mathbf{y}}_t) : \underline{\mathbf{y}}_t \leq \mathbf{y}_t + \mathbf{s}_t^{\underline{y}} \cong \mathbf{s}_t^{\underline{y}} = \max(0, -\mathbf{y}_t + \underline{\mathbf{y}}_t)$$

$$p(\mathbf{y}_t, \bar{\mathbf{y}}_t) : \mathbf{y}_t - \mathbf{s}_t^{\bar{y}} \leq \bar{\mathbf{y}}_t \cong \mathbf{s}_t^{\bar{y}} = \max(0, \mathbf{y}_t - \bar{\mathbf{y}}_t)$$

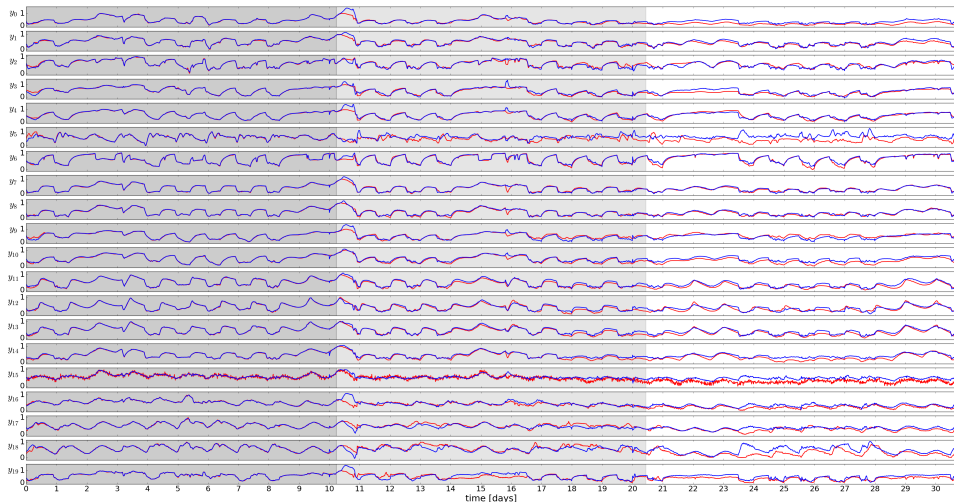
## Multi-term Loss Function:

$$\begin{aligned} \mathcal{L}_{\text{MSE}}(\mathcal{Y}^{\text{ref}}, \mathcal{Y} | \Theta) = & \frac{1}{N} \sum_{t=1}^N \|\mathbf{y}_t^{\text{ref}} - \mathbf{y}_t\|_2^2 + Q_{\text{dx}} \|\mathbf{x}_t - \mathbf{x}_{t-1}\|_2^2 + \\ & Q_{\text{ineq}}^{\underline{y}} \|\mathbf{s}_t^{\underline{y}}\|_2^2 + Q_{\text{ineq}}^{\bar{y}} \|\mathbf{s}_t^{\bar{y}}\|_2^2 + Q_{\text{ineq}}^{\mathbf{d}} \|\mathbf{s}_t^{\mathbf{d}}\|_2^2 \end{aligned}$$

## Dataset:

$$D = \{(\mathbf{u}_t^{(i)}, \mathbf{d}_t^{(i)}, \mathbf{y}_t^{(i)}), (\mathbf{u}_{t+\Delta}^{(i)}, \mathbf{d}_{t+\Delta}^{(i)}, \mathbf{y}_{t+\Delta}^{(i)}), \dots, (\mathbf{u}_{t+N\Delta}^{(i)}, \mathbf{d}_{t+N\Delta}^{(i)}, \mathbf{y}_{t+N\Delta}^{(i)})\}$$

# Open-loop Trajectories of Multi-zone Thermal Dynamics

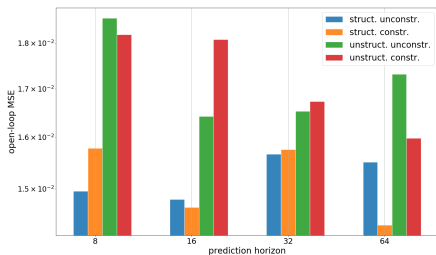


Open-loop trajectories of the learned (blue) and ground truth (red) multi-zone building thermal dynamics.

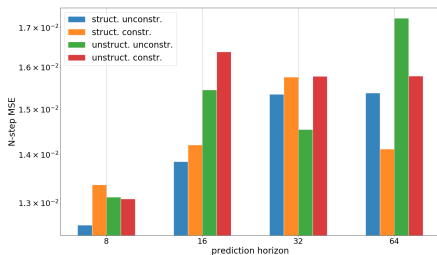
# Experimental Case Study Results

Test set MSE of structured constrained, and unstructured unconstrained model.

Structure	Constrained	$N$	$N$ -step [K]	Open-loop [K]
Structured	Y	64	0.4811	0.4884
Unstructured	N	16	0.5266	0.5596



Open-loop MSE



$N$ -step MSE

More than 50% reduction in error compared to state of the art<sup>3</sup>.

<sup>3</sup>Typical MSE of state of the art methods reported in the literature is around 1K.

# Conclusions

- Generic case-agnostic data-driven modeling of building thermal dynamics
- Physically coherent and interpretable
- Sampling efficient and control-oriented
- Significant reduction in error against state of the art in the literature
- Future work: design of advanced predictive control with proposed models

## Acknowledgements

This work was funded by the Physics Informed Machine Learning (PIML) investment at the Pacific Northwest National Laboratory (PNNL).



**Implementation in PyTorch:** <https://github.com/pnnl/neuromancer/tree/NeurIPS2020>