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Climate Change AI

ICML 2021 Workshop

Tackling Climate Change with Machine Learning

DEEP LEARNING NETWORK TO PROJECT FUTURE ARCTIC OCEAN WAVES

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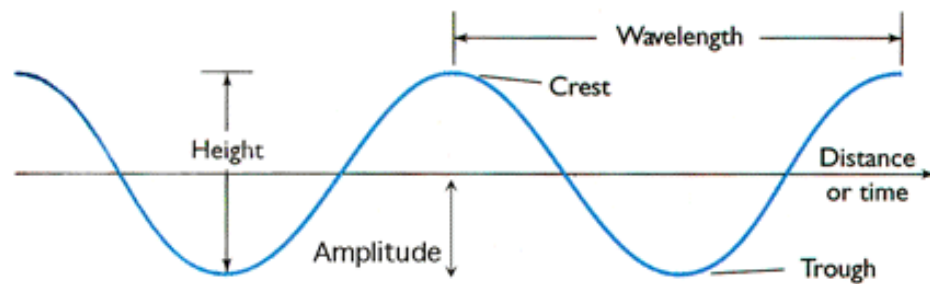
Université 
de Montréal



July 23rd 2021

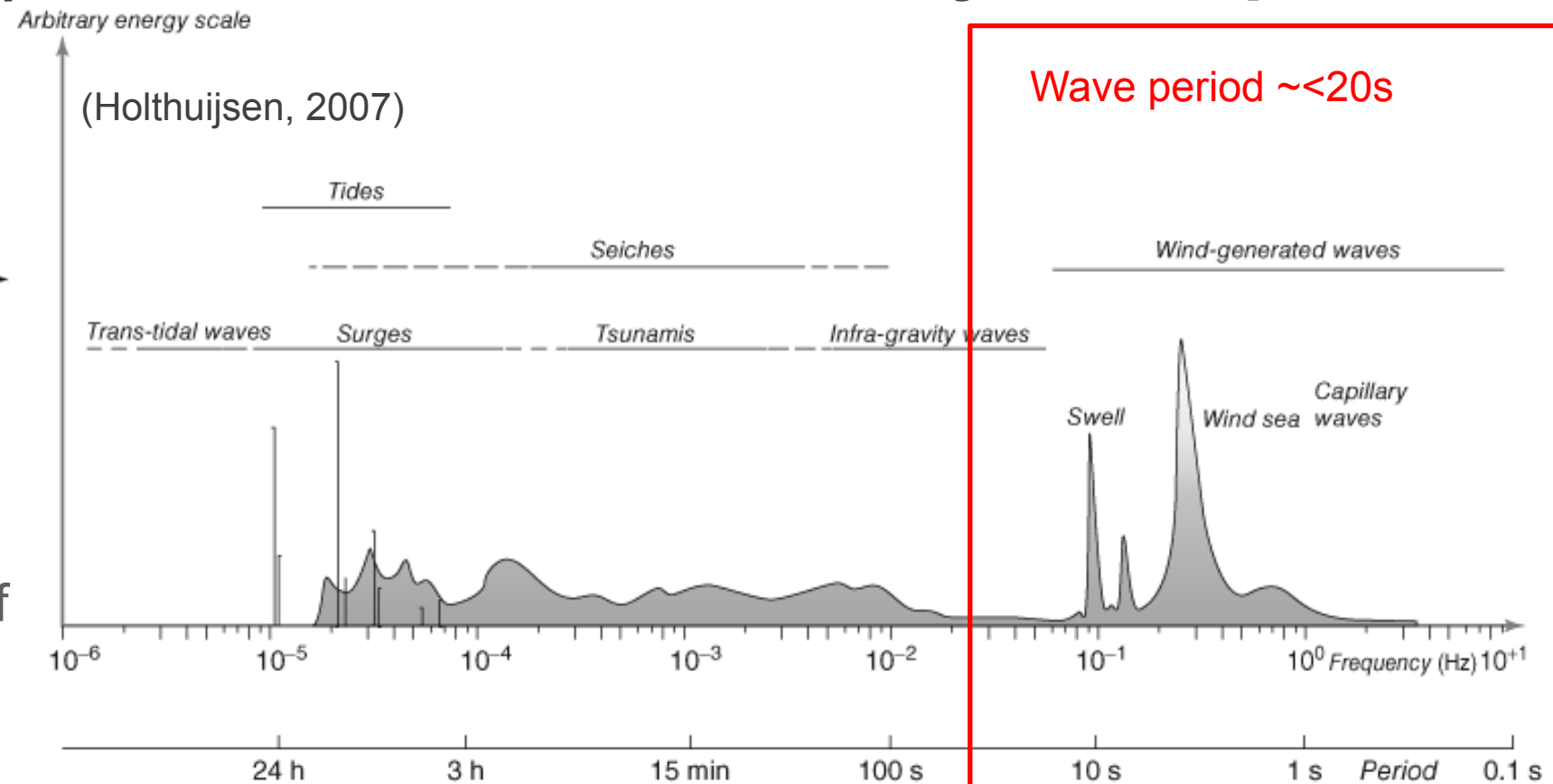
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WHAT OCEAN (WIND) WAVES are and WHY they are important



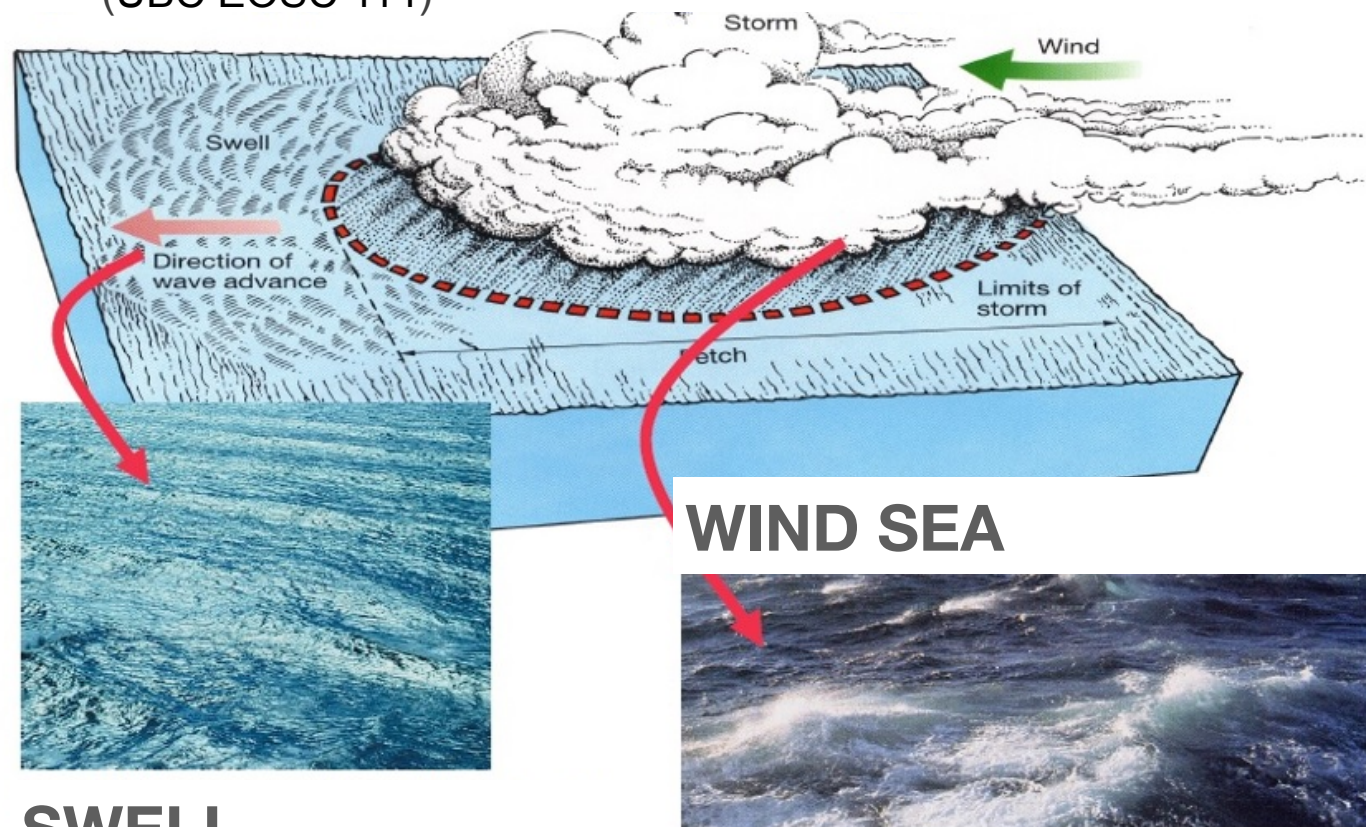
wave height, wave period

Surface wind is the main driver of ocean (wind) waves



Wave period $\sim < 20s$

(UBC EOSC 114)



There are two main types of sea states:

- **Wind sea** (waves induced by local storms)
- **Swell** (remotely generated waves)

Waves can cause **coastal damage** (flooding, erosion, etc), **offshore damage** (infrastructure damage, affect navigation safety, etc). They are also a potential **source of energy**.

Climate feedback processes (e.g. waves might accelerate sea ice retreat).

THE ARCTIC OCEAN: A HOT SPOT

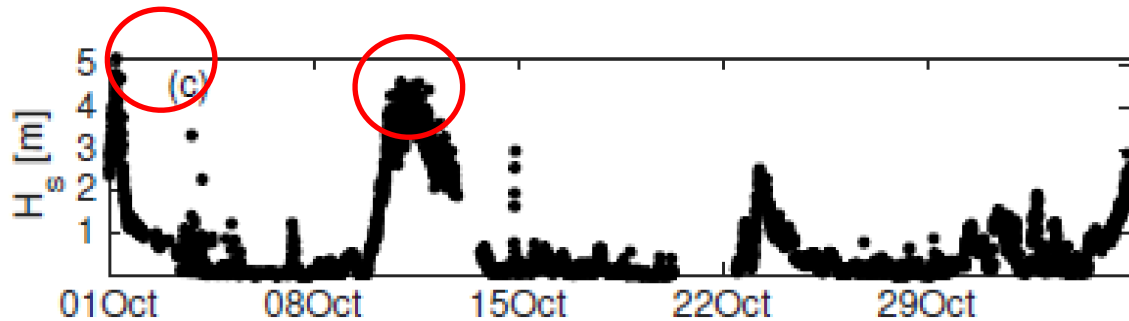
The Arctic is warming twice as fast as the global average: **sea ice retreat**

Sea ice cover -13% decrease/decade

2012 & 2020: two min. sea ice cover records



October 2016



(from Thomson et al 2018)

2019 storm in Tuktoyaktuk: ocean waves damaged buildings and homes



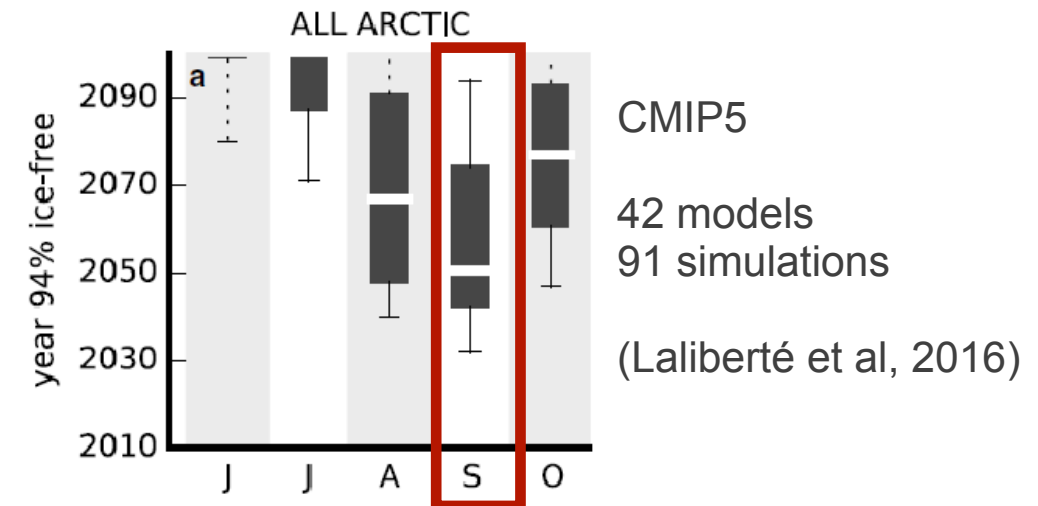
A wave washing up on the Inuvialuit hamlet of Tuktoyaktuk in Canada's Northwest Territories during an August 2019 storm.

Credit: Weronika Murray.

Sea ice retreat (and wind intensification) leads to **higher waves** over larger (water) areas, and during a longer ice-free season.

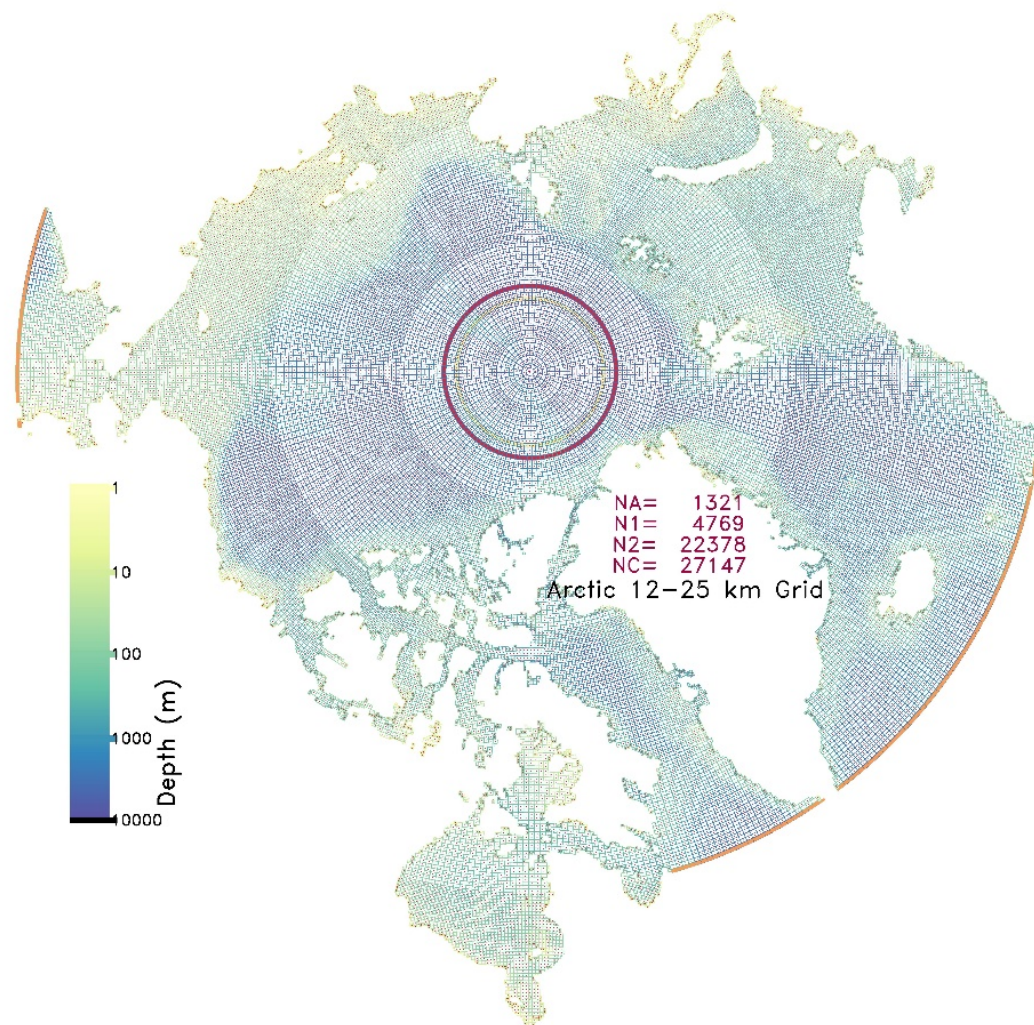
WHY ML?

Arctic Ocean projected to become ice-free by 2045-2070 in September: timing uncertainty!



- Climate models are constantly updated to produce (improved) **climate projections**. These **large ensembles** are needed to account for **factors of uncertainty**: model parameterizations, internal climate variability, greenhouse gas scenarios, etc. These coordinated efforts are part of the Coupled Model Intercomparison Projects (CMIP). The last two are **CMIP5** (2013) and **CMIP6** (2020).
- Ocean wave heights are not included in most climate models** (yet), therefore there is need to develop a large ensemble of ocean wave projections.
- Traditional approach is numerical modelling**, the so-called dynamical approach, which is computationally expensive.
- Computationally inexpensive methods developed to date are mainly **physically-based (standard) statistical methods** (regression, weather maps, etc) . Their **performance** is often as **good** as, if not better, than the dynamical approach. However they have some **shortcomings**: tendency to underestimate swells, challenging implementation of the sea ice (retreat) effect, etc.
- Machine learning (ML)**, and in particular deep learning, has been proven to be a **useful tool in a wide range of applications**, in particular (time-series) image understanding/prediction (computer vision). **ML is a more versatile approach** than physically-based statistical methods: it has the **potential to be easily adapted to predict different wave parameters at different spatial scales** (global, regional, etc.)

TRADITIONAL APPROACH: WAVEWATCH III (WW3)



JGR Oceans

Research Article | [Free Access](#)

Projections of extreme ocean waves in the Arctic and potential implications for coastal inundation and erosion

Mercé Casas-Prat✉, Xiaolan L. Wang

First published: 07 July 2020 | <https://doi.org/10.1029/2019JC015745>

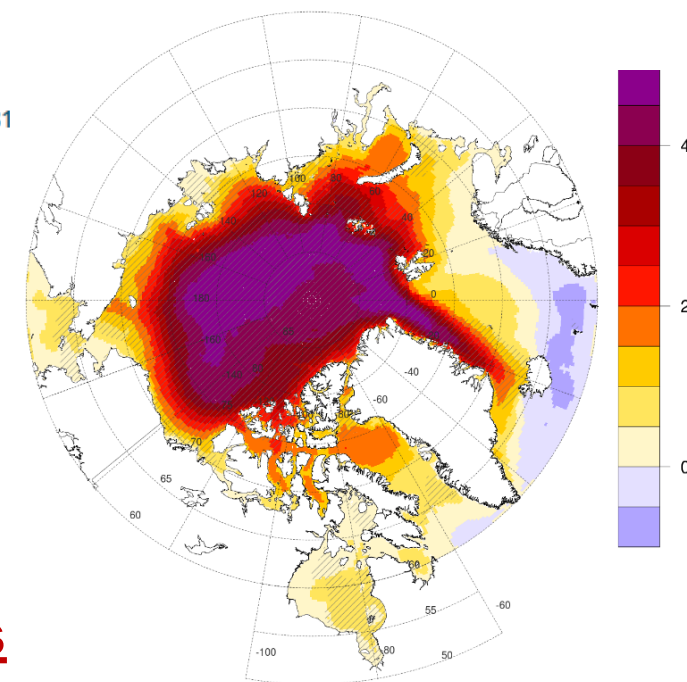
Geophysical Research Letters

Research Letter | [Open Access](#)

Sea-ice retreat contributes to projected increases in extreme Arctic ocean surface waves

Mercé Casas-Prat✉, Xiaolan L. Wang

First published: 10 May 2020 | <https://doi.org/10.1029/2020GL0881>



Five CMIP5 models were chosen to simulate ocean waves in the Arctic region.

Input: 3-h **surface winds (U10)**

Input: Daily **sea ice concentration (SIC)**

Output: **Significant wave height (Hs)**

Periods: **1979-2005** and **2081-2100**

Annual maximum Hs
Increase <6m offshore
Factor 2-3 along coastlines

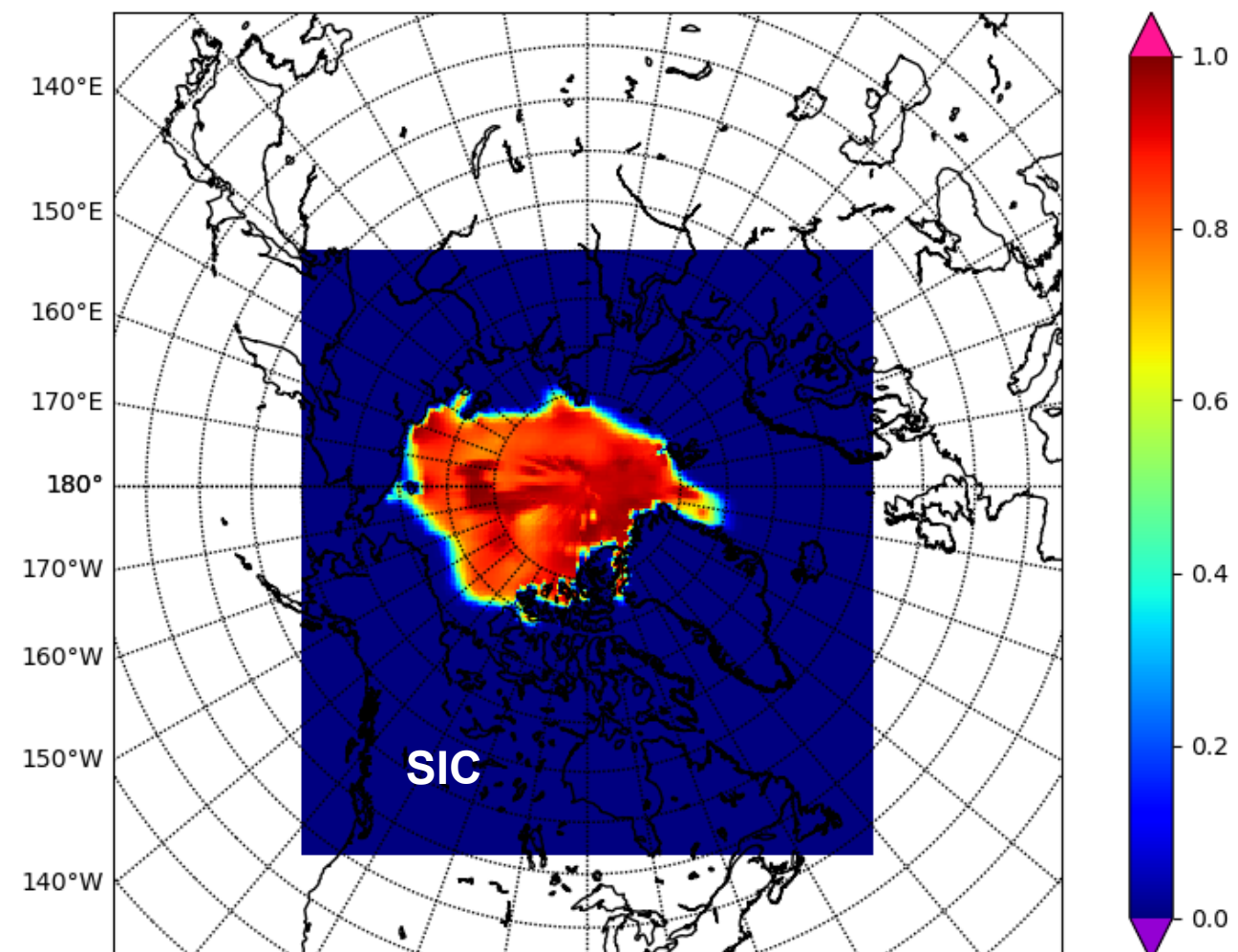
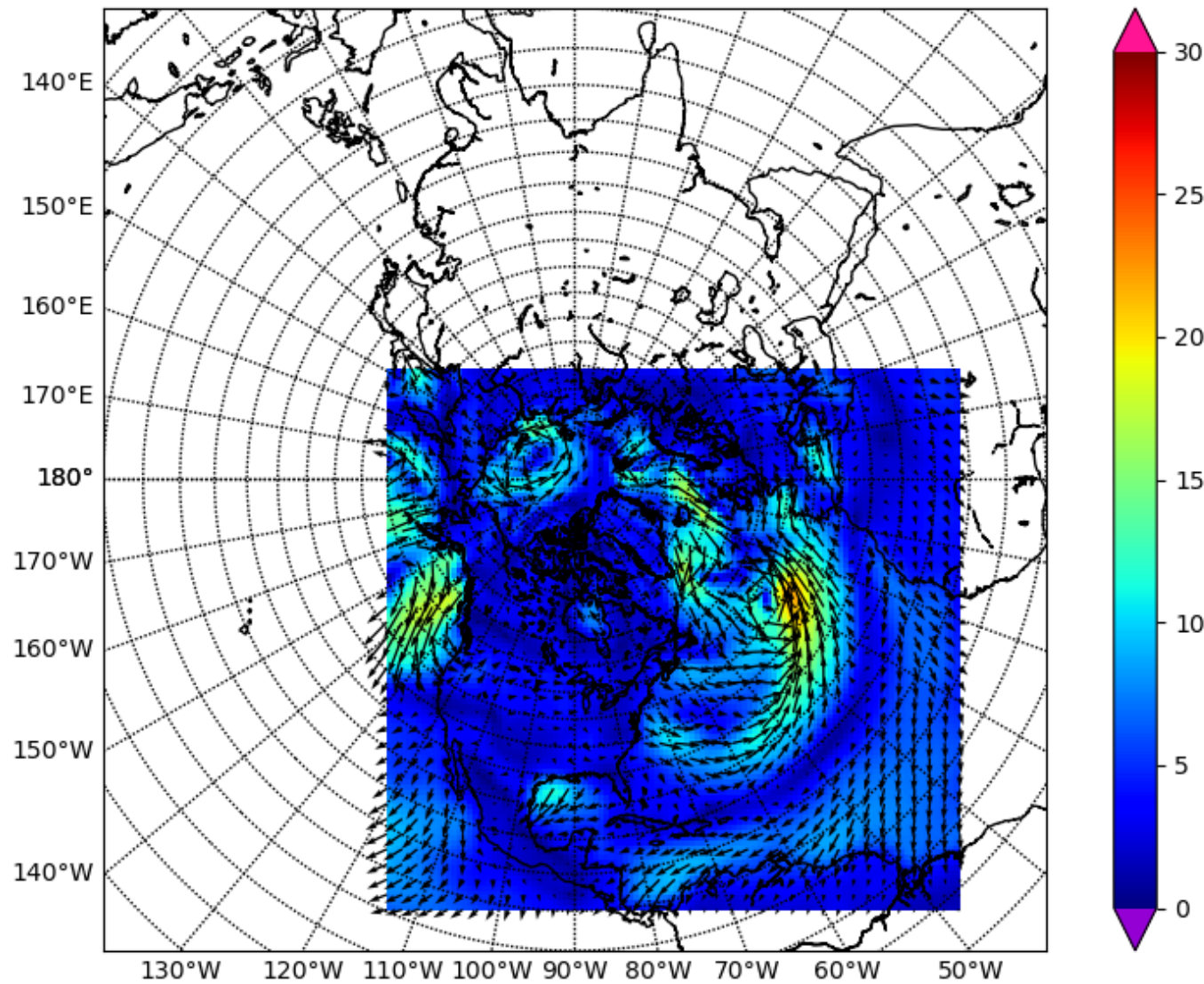
Robust increase but low confidence due to limited sample size.

Better performance for historical period does not imply better performance for future period.

PROPOSED FRAMEWORK

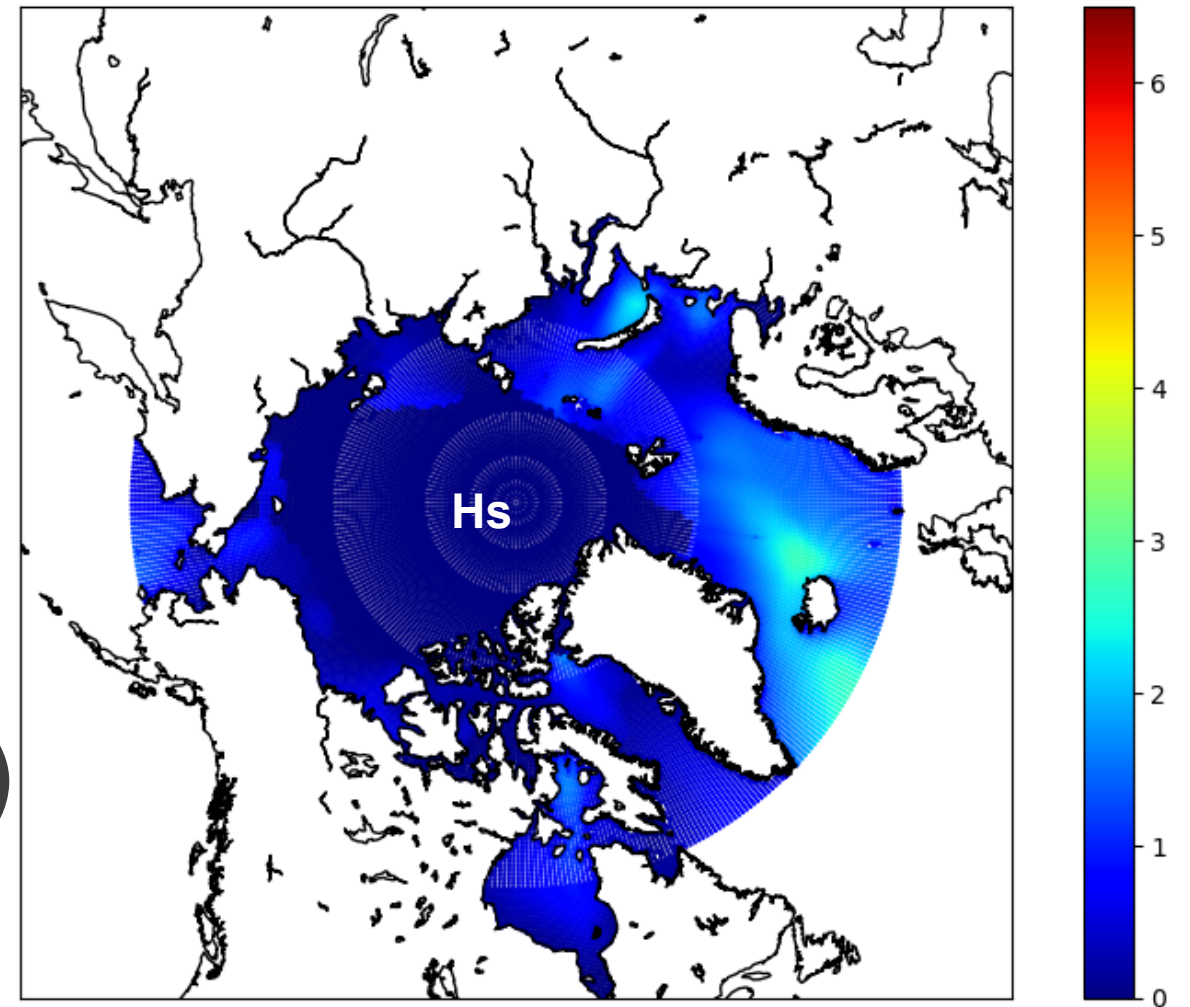
- **Train/validate** a CNN with **one set** of the previously mentioned CMIP5-based **historical** and **future** simulations.
 - **Test** the trained network with the **remaining 4 sets** of CMIP5-based historical and future simulations.
 - **Further testing**: investigate whether the choice of the one CMIP5 model used to train the network is relevant, and whether both historical and future conditions are needed for the training process.
 - Once satisfied with the trained CNN (low RMSE), infer wave simulations using the more recent **CMIP6 wind/sea ice projections** to develop a **large ensemble of CMIP6-based ocean wave projections**.
-

U10

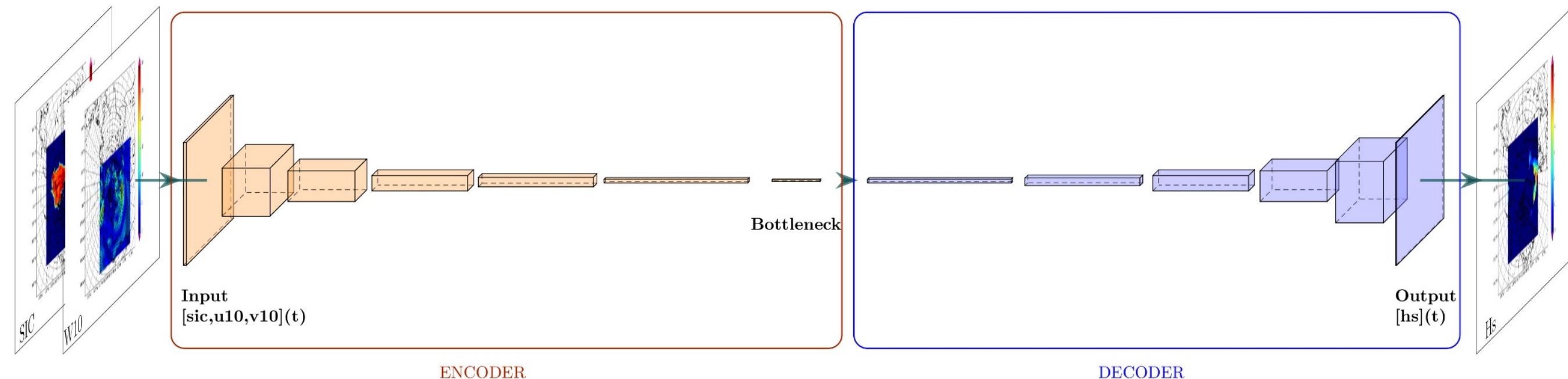


Use of polar projections to convert our data into images and avoid dependencies among image's edges.

PRE-PROCESSING OF INPUT(U10,SIC) & OUTPUT (Hs)



CNN NETWORK



Reshape by striding in Conv

Normalization

LeakyReLU activation function (ReLU
for the output layer)

RMS loss function

Batch size: 128

Learning rate $\sim 3.6e-4$

Adam optimizer

Early stopping: avoid overfitting

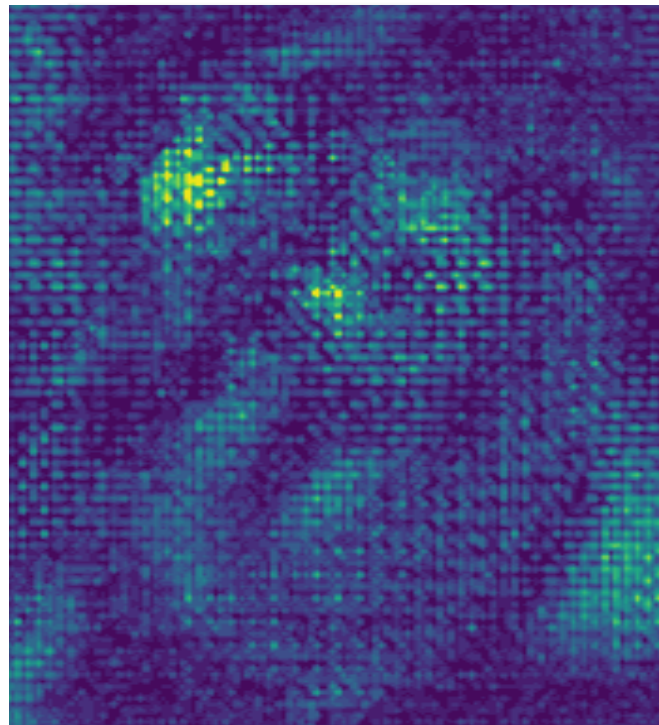
PRELIMINARY RESULTS (CNN)

Ep=0, RMSE = 5.312 m

hs_gt



hs_pred

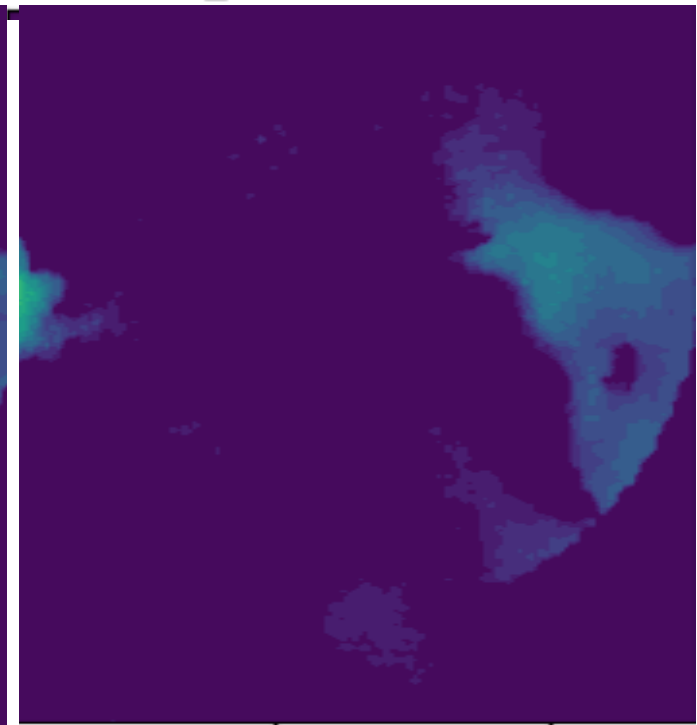


Ep=3, RMSE = 0.020 m

hs_gt



hs_pred

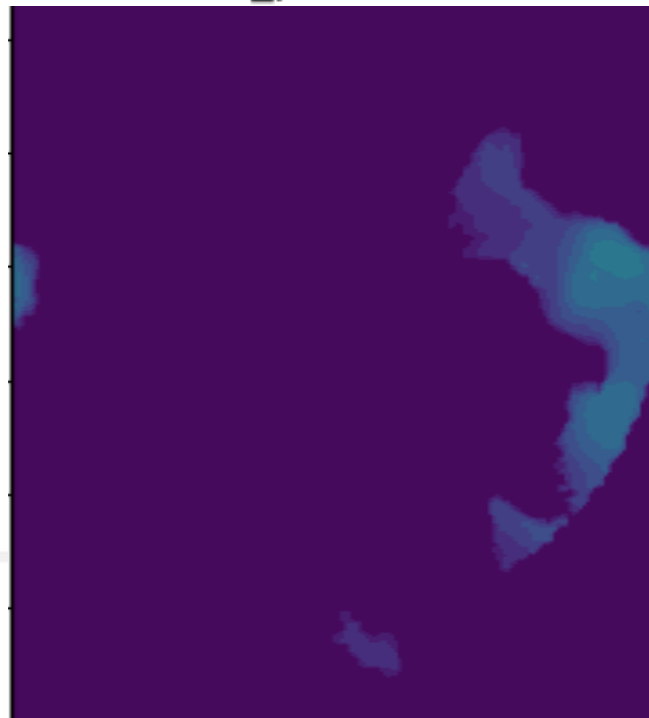


Ep=71, RMSE = 0.003 m

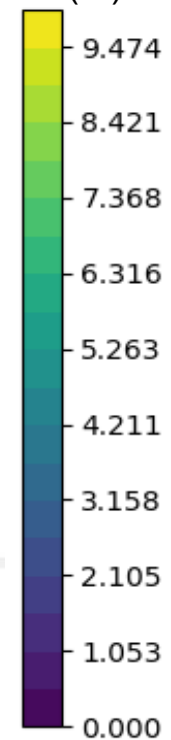
hs_gt



hs_pred



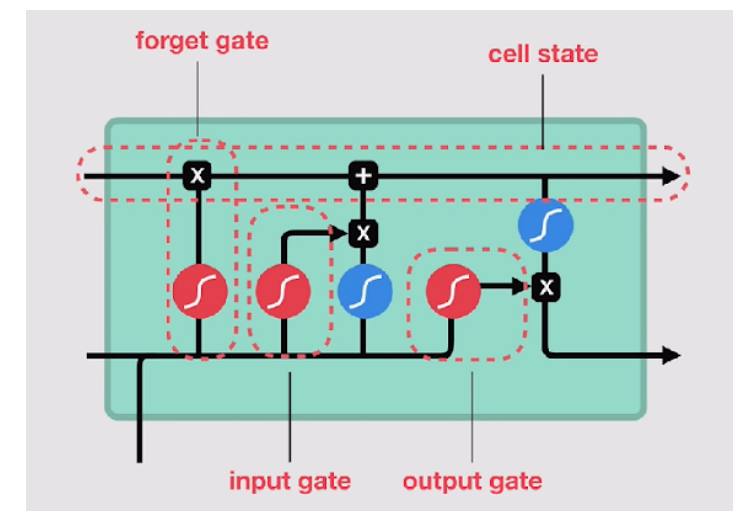
(m)



NEXT STEP: CNN-LSTM NETWORK

Include temporal dependency in input and/or output with a **Recurrent Neural Network (RNN)**:

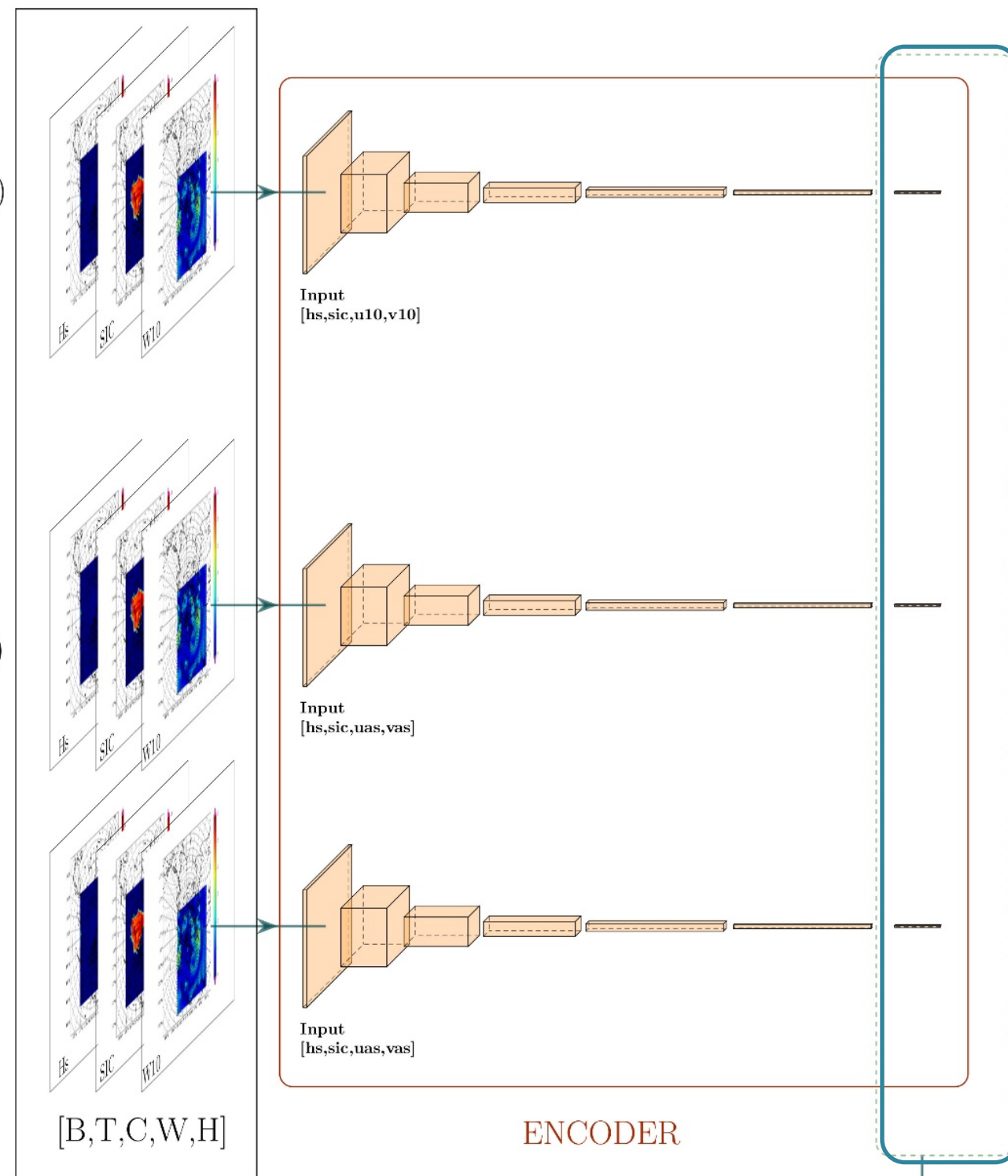
Long Short-Term Memory network



(Source: <https://towardsdatascience.com/illustrated-guide-to-lstms-and-gru-s-a-step-by-step-explanation-44e9eb85bf21>)

LSTM

DECODER



It helps to select what information is relevant in the chosen time window with a combination of dense layers of sigmoid and tanh activation functions.

CONCLUSIONS

- Future dramatic changes in ocean waves in the Arctic that will likely lead to increased coastal erosion and flooding.
- However, there is need for a larger ensemble to increase confidence in such estimates.
- CNN has the potential to be a computationally inexpensive tool to simulate a large ensemble of waves.
- Ongoing work: we need to further test the CNN and assess the improvement of adding time dependency. Maybe the spatial information gives enough implicit temporal information. Apply to CMIP6 data.
- Developed CNN can also be likely applied to other regions/scales and for other variables thanks to their versatility.

Thanks!

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