

OFFICE OF MANAGEMENT AND BUDGET**Legislative Reference Division
Labor-Welfare-Personnel Branch****Telecopier Transmittal Sheet****URGENT****FROM: Melinda Haskins****395-3923****DATE:** 7/22/98**TIME:** 12:20 PM.**Pages sent (including transmittal sheet):** 3**COMMENTS:**

Are you ok with this revised
OMB pass back on the HHS Head Start
letter? Please advise ACAB.

TO: Nicole Lahar
Emil Parker

cc: J. Friedman

Thanks

MH

PLEASE CALL THE PERSON(S) NAMED ABOVE FOR IMMEDIATE PICK-UP.

The Honorable William F. Goodling
Chairman, Committee on Education and the Workforce
United States House of Representatives
Washington, D.C. 20515

*change
"your bill"
TO
"The bill."*

Dear Mr. Chairman:

I am writing to express the views of the Administration on H.R. 4241, the "Head Start Amendments of 1998". We believe this bill, as introduced, would jeopardize enactment of the reauthorization and undermine the basic Head Start program to the detriment of the Nation's neediest children and families.

As you well know, there has been a long and honored tradition of bipartisan cooperation regarding Head Start and its comprehensive services for disadvantaged children and their families. For years, Congressional leaders and Administration officials of both parties have worked hand in hand to ensure that Head Start continues its successful efforts to prepare low-income and disabled children for school and to help their families gain self-sufficiency. You will recall that in 1994, your staff played a vital role on the Advisory Committee on Head Start Quality and Expansion, and you sponsored the ensuing bipartisan legislation that won swift and overwhelming congressional approval. In last year's balanced budget agreement, a similar bipartisan consensus was reached on Head Start funding levels.

Republicans and Democrats in the Senate worked closely with the Administration to develop bipartisan legislation to reauthorize Head Start and continue to strengthen the program. These cooperative efforts were rewarded in late June with the unanimous approval of S. 2206, the "Community Opportunities, Accountability, Training and Education Services Act of 1998" (COATS) by the Senate Labor and Human Resources Committee.

In sharp contrast to the Senate efforts, we are deeply concerned that H.R. 4241, as introduced, jeopardizes the bipartisan cooperation with which we have traditionally approached Head Start and the enactment of reauthorization legislation this year. The bill includes several provisions that undermine essential efforts to expand Head Start to additional infants, toddlers and preschoolers, threaten the comprehensive services and accountability that have been the hallmark of Head Start's success and insert unnecessary political controversy into the operation of the program. Among our serious problems with H.R. 4241, we strongly oppose its provisions that would:

- Severely limit expansion of Head Start to additional infants, toddlers and preschoolers. As you know, Head Start now serves approximately 40 percent of eligible preschoolers and a much smaller proportion of infants and toddlers. Despite the overwhelming need for Head Start, the valuable preparation it provides preschoolers and the benefits of early intervention for infants and toddlers, your bill would nevertheless

the

The Honorable Will

F. Goodling - Page 2

limit Head Start funding for the next fiscal year, freeze the growth in Early Head Start over the next three years, and greatly reduce the number of additional preschoolers who

could be served during this period. These misguided provisions would shift funds away

from the expansion of services for additional children to the conduct of new studies,

demonstrations and other indirect activities. As such, the bill disrupts the critical balance

between expansion and quality activities forged by the bipartisan Advisory Committee on

Head Start Quality and Expansion. While we strongly support efforts to maintain

and improve the quality of Head Start service, the bill would disrupt the critical balance

between expansion of services to additional children and quality activities

Threaten accountability of Head Start resources. While current Head Start programs

are required to provide the full range of comprehensive services, meet standards of

excellence, involve parents in the operation and governance of the program, and be

subject to regular financial audits and program reviews, H.R. 4241 suspends all of these

hallmarks of quality in favor of new and unrestricted vouchers. While this provision

diverts critical funds from local Head Start programs to individual vouchers, it offers no

accountability whatsoever for the quality of service provided to children and families

receiving vouchers. The certificate provisions and other provisions in H.R. 4241

could undermine the basic components of Head Start quality and

significantly decrease accountability for the program's and administrative burdens

Insert unnecessary and controversial political issues. The success of Head Start over

the years has resulted in part from the courageous effort of Congressional leaders of both

parties to keep the program above the political fray. In sharp contrast to this tradition,

H.R. 4241 unnecessarily ignites political controversy by raising issues of Davis-Bacon

prevailing wage rates and other divisive matters that are not and have never been core

issues of debate in our past deliberations on this important program. For example, the bill would

replace application of Davis-Bacon prevailing wage rates that provide essential protections

for workers. It also would impose on Head Start programs a wholly duplicative

We strongly oppose legislation that includes these provisions and other provisions that detract

from bipartisan efforts to move Head Start into the 21st century.

As indicated by the unanimous support in the Senate Labor and Human Resources Committee for the COATS bill, bipartisan cooperation can and does strengthen Head Start. We urge you to support legislation similar to S. 2206 which:

- Reauthorizes Head Start for five years at such sums as may be necessary;
- Continues to expand Head Start for additional preschoolers;
- Gradually increases the existing new Early Head Start program each year;
- Continues efforts to improve the quality of Head Start programs and improve the school readiness of its participants; and
- Strengthens collaboration activities and research efforts.

I urge you to maintain the bipartisan spirit on Head Start and approve bipartisan legislation to

Greatly reduce the number of additional children who could be served during this period. It is essential to maintain policies that continue to expand the program while ensuring priority for the program. The bipartisan Advisory Committee on Head Start Quality and Expansion grants that develop partnerships to meet the need for full-day/full-year services.

Mandated cooperation in paternity establishment and

obtaining child support is a requirement

for receipt of assistance

under the Temporary Assistance for Needy Families program. The

duplication of this

verification effort would

constitute a waste of

resources and an

unnecessary

burden.

The Honorable William F. Goodling - Page 3

reauthorize this vital program before the 105th Congress adjourns.

Sincerely,

Donna E. Shalala



DATE: _____

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
200 INDEPENDENCE AVE., SW
WASHINGTON, D.C. 20201

PHONE: (202) 690-6311

FAX: (202) 690-8425

OFFICE OF THE ASSISTANT SECRETARY FOR LEGISLATION
HUMAN SERVICES LEGISLATION
ROOM 413 H HUMPHREY BUILDING

FROM:

TO: : Nicole -
OFFICE : _____
ROOM NO : _____
PHONE NO : _____
FAX NO : 456-2878

☐ MARY BOURDETTE☐ BARBARA CLARK☐ GREG JONES☐ PATRICIA BRAVO☐ LAUREN GRIFFIN☐ AMY LOCKHART☐ SARA COSTIN☐ ALIX HOWARD

TOTAL PAGES
INCLUDING COVER: 2

REMARKS

*Her's where I send
Melinda at OMB - shhh.*

Melinda:

While there lots and lots of edits to the letter, we're fine with almost all of them. There are only two that need to be reconsidered

1. In the expansion bullet section, you have added the phrase "and limit the flexibility of local grantees to determine how to best meet their communities' needs for full- or part-day care."

The problem with this is that while their bill isn't entirely clear on this matter, this is exactly what they want to do -- allow local grantee to determine whether they use new expansion HS funds to serve additional kids OR use it to give current kids full-day, full-year services. While we currently expect local programs to do needs assessments about full-day or part-day, we insist that the expansion Head Start money (in contrast to other funding) be used to serve ADDITIONAL kids. We have placed priority on giving expansion dollars to grantees who could BOTH serve additional kids and do full-day services by partnering with other funding sources.

So - this phrase is both at odds with the expansion policies that will get us to 1 million kids and plays right into their hands re expansion and should be dropped.

2. On the accountability bullet, we have concerns both about what you took out and what you inserted. As I mentioned last night, the Secretary is personally very, very opposed to the certificate/voucher provision, as are ALL the Democrats on the Committee (and I'm quite sure ALL the D's on the Senate Committee as well). This is one of their absolutes in the bill -- they won't support a bill that includes these provisions.

Your language doesn't even mention that the accountability threat is about the certificates/voucher provisions and from our reading greatly waters down our concerns about it. While we believe our original language offers the strong opposition that this provision warrants, we could live with a variation on your language that says;

"...the certificate provisions in HR 4241 undermine these basic components of Head Start quality and significantly decrease accountability for the program's success."

I'd like to get the basic letter settled and then talk about when/how it is used.

Thanks, Mary

The Honorable William F. Goodling
Chairman, Committee on Education and the Workforce
United States House of Representatives
Washington, D.C. 20515

Dear Mr. Chairman:

I am writing to express the views of the Administration on H.R. 4241, the "Head Start Amendments of 1998". We believe this bill, as introduced, would jeopardize enactment of the reauthorization and undermine the basic Head Start program to the detriment of the Nation's neediest children and families.

As you well know, there has been a long and honored tradition of bipartisan cooperation regarding Head Start and its comprehensive services for disadvantaged children and their families. For years, Congressional leaders and Administration officials of both parties have worked hand in hand to ensure that Head Start continues its successful efforts to prepare low-income and disabled children for school and to help their families gain self-sufficiency. You will recall that in 1994, your staff played a vital role on the Advisory Committee on Head Start Quality and Expansion, and you sponsored the ensuing bipartisan legislation that won swift and overwhelming congressional approval. In last year's balanced budget agreement, a similar bipartisan consensus was reached on Head Start funding levels.

Republicans and Democrats in the Senate worked closely with the Administration to develop bipartisan legislation to reauthorize Head Start and continue to strengthen the program. These cooperative efforts were rewarded in late June with the unanimous approval of S. 2206, the "Community Opportunities, Accountability, Training and Education Services Act of 1998" (COATS) by the Senate Labor and Human Resources Committee.

In sharp contrast to the Senate efforts, we are deeply concerned that H.R. 4241, as introduced, jeopardizes the bipartisan cooperation with which we have traditionally approached Head Start and the enactment of reauthorization legislation this year. The bill includes several provisions that undermine essential efforts to expand Head Start to additional infants, toddlers and preschoolers, threaten the comprehensive services and accountability that have been the hallmark of Head Start's success and insert unnecessary political controversy into the operation of the program. Among our serious problems with H.R. 4241, we strongly oppose its provisions that would:

- **Severely limit expansion of Head Start to additional infants, toddlers and preschoolers.** As you know, Head Start now serves approximately 40 percent of eligible preschoolers and a much smaller proportion of infants and toddlers. Despite the overwhelming need for Head Start, the valuable preparation it provides preschoolers and the benefits of early intervention for infants and toddlers, your bill would nevertheless

The Honorable William F. Goodling - Page 2

of services for additional children

limit Head Start funding for the next fiscal year, freeze the growth in Early Head Start over the next three years, and greatly reduce the number of additional preschoolers who could be served during this period. These misguided provisions would shift funds away from the expansion of services for additional children to the conduct of new studies, demonstrations and other indirect activities. As such, the bill disrupts the critical balance between expansion and quality activities forged by the bipartisan Advisory Committee on Head Start Quality and Expansion, and shifts funds from expansion to the conduct

of new studies, demonstration of other indirect activities

- **Threaten accountability of Head Start resources.** While current Head Start programs are required to provide the full range of comprehensive services, meet standards of excellence, involve parents in the operation and governance of the program, and be subject to regular financial audits and program reviews, H.R. 4241 suspends all of these hallmarks of quality in favor of new and unrestricted vouchers. While this provision diverts critical funds from local Head Start programs to individual vouchers, it offers no accountability whatsoever for the quality of service provided to children and families receiving vouchers.
- **Insert unnecessary and controversial political issues:** The success of Head Start over the years has resulted in part from the courageous effort of Congressional leaders of both parties to keep the program above the political fray. In sharp contrast to this tradition, H.R. 4241 unnecessarily ignites political controversy by raising issues of Davis-Bacon prevailing wage rates and other divisive matters that are not and have never been core issues of debate in our past deliberations on this important program.

→ We strongly oppose legislation that includes these provisions and other provisions that detract from bipartisan efforts to move Head Start into the 21st century.

As indicated by the unanimous support in the Senate Labor and Human Resources Committee for the COATS bill, bipartisan cooperation can and does strengthen Head Start. We urge you to support legislation similar to S. 2206 which:

- Reauthorizes Head Start for five years at such sums as may be necessary;
- Continues to expand Head Start for additional preschoolers;
- 7 • Gradually increases the existing new Early Head Start program each year;
- Continues efforts to improve the quality of Head Start programs and improve the school readiness of its participants; and
- Strengthens collaboration activities and research efforts.

I urge you to maintain the bipartisan spirit on Head Start and approve bipartisan legislation to

The Honorable William F. Goodling - Page 3

reauthorize this vital program before the 105th Congress adjourns.

Sincerely,

Donna E. Shalala

The Honorable William F. Goodling
Chairman, Committee on Education and the Workforce
United States House of Representatives
Washington, D.C. 20510

Dear Mr. Chairman:

We take this opportunity to inform you of the views of the Department of Health and Human Services on H.R. 4271, the "Community Services Authorization Act of 1998".

We strongly support reauthorization of the Community Services Block Grant (CSBG) program, and we support many of the amendments made by the bill. We commend your efforts to strengthen accountability in the CSBG program by improving the performance measurement system. We also appreciate your commitment to a fundamental aspect of the CSBG program: the tripartite governing board. The tripartite board has been an important way for low-income individuals to participate in planning services and activities to improve the conditions in which they live. However, we have some concerns about the bill, including concerns as to the potential impact on the essential role of the existing community services network and the role of low-income individuals in planning and administering CSBG programs.

While we fully support aggressive efforts to improve child support enforcement and establish paternity, we believe the requirements under Titles IV-A and IV-D of the Social Security Act are sufficient to ensure the establishment of paternity for custodial parents receiving financial assistance under Title IV-A. We believe the duplication of this verification effort would constitute a waste of resources and an unnecessary burden on eligible entities.

We also have concerns with the amendments concerning the designation and redesignation of eligible entities in unserved areas and the composition of the tripartite boards. These amendments raise concerns about ensuring the essential and historic role of the existing community services network and preserving the role of low-income persons in the planning and administration of the programs supported by CSBG funds.

We look forward to working with your staff on these and other issues.

The Office of Management and Budget has advised that there is no objection to the transmission of this letter from the standpoint of the Administration's program.

Sincerely,

Donna E. Shalala

disrupt the critical balance between expansion and quality activities, and limit the flexibility of local grantees to best meet their communities' needs.

The Honorable William F. Goodling Page 2

limit Head Start funding for the next fiscal year, freeze the growth in Early Head Start over the next three years, and greatly reduce the number of additional preschoolers who could be served during this period. These misguided provisions would shift funds away from the expansion of services for additional children to the conduct of new studies, demonstrations and other indirect activities. As such, the bill disrupts the critical balance between expansion and quality activities forged by the bipartisan Advisory Committee on Head Start Quality and Expansion.

greatly reduce the number of additional preschoolers who could be served during this period.

Threaten accountability of Head Start resources. While current Head Start programs are required to provide the full range of comprehensive services, meet standards of excellence, involve parents in the operation and governance of the program, and be subject to regular financial audits and program reviews, H.R. 4241 suspends all of these hallmarks of quality in favor of new and unrestricted vouchers. While this provision diverts critical funds from local Head Start programs to individual vouchers, it offers no accountability whatsoever for the quality of service provided to children and families receiving vouchers.

creates opportunities to sidestep these basic Head Start standards, decreasing program accountability and potentially undermining program quality.

Insert unnecessary and controversial political issues: The success of Head Start over the years has resulted in part from the courageous effort of Congressional leaders of both parties to keep the program above the political fray. In sharp contrast to this tradition, H.R. 4241 unnecessarily ignites political controversy by raising issues of Davis-Bacon prevailing wage rates and other divisive matters that are not and have never been core issues of debate in our past deliberations on this important program.

We strongly oppose legislation that includes these provisions and other provisions that detract from bipartisan efforts to move Head Start into the 21st century.

As indicated by the unanimous support in the Senate Labor and Human Resources Committee for the COATS bill, bipartisan cooperation can and does strengthen Head Start. We urge you to support legislation similar to S. 2206 which:

- Reauthorizes Head Start for five years at such sums as may be necessary;
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The Honorable William F. Goodling
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The Honorable William F. Goodling - Page 3

reauthorize this vital program before the 105th Congress adjourns.

Sincerely,

Donna E. Shalala

Nicole R. Rabner

07/20/98 11:58:22 AM

Record Type: Record

To: Bruce N. Reed/OPD/EOP, Elena Kagan/OPD/EOP
cc: Jennifer L. Klein/OPD/EOP, Neera Tanden/WHO/EOP
Subject: FYI on Head Start Reauthorization

Last Thursday, Cong. Riggs introduced a problematic, partisan Head Start reauthorization bill scheduled for mark-up in full committee this Wednesday. (The Senate already marked up its reauthorization bill, which, after negotiation, is both bipartisan and consistent with the President's budget and policy.) HHS is drafting a letter from Shalala to the House Ed and Workforce Committee outlining our significant concerns with the bill as introduced, which include:

- (1) Bill is inconsistent with the President's budget request (underfunded);
- (2) Bill restricts Head Start expansion, denying Head Start services to tens of thousands of children and curtailing expansion of Early Head Start (it significantly increases the quality set-aside for expansion dollars (from 25% in current law to 75% in FY 1999), and mandates full-day, full-year services for all kids currently in Head Start before any expansion to new kids);
- (3) Bill opens up Head Start to vouchers (by enabling the Secretary to provide certificates to parents for comparable services);
- (4) Bill increases Governor authority; and
- (4) Bill includes partisan provisions such as repeal of the Davis-Bacon requirement, and attacks on bilingual education.

Bruce, HHS may request that in the coming days you call Dems (such as Cong. Roemer) to express our strong concerns, if you are willing.

→ partisan bill ←

7/14 call

- Head Start

• Ed Workforce Com.

House bill

\$ 4.5 - House Appropri
\$ (4.66 - our request)

Budget deal - H/s: protected program
5 yrs - FY 98

1. waivers - Gov.

• new lang - only for over-income kids - more than poor.

2. for incr. EHS

3. voucher provision

disc of sec - h kids

— accountability —

4. 25% quality — 75%.

keep - at least 1/2 for salary.

5. paternity provision

verify recipients - only TANF paternity req.
look innocuous, presents problems

→ staffer murdered - Balt.

6. repeals req - prevailing wage rates - construction Davis - Bacon repeal

7. new functional literacy demo - Goodling & a research study

8. Req. AA degree 1/2 by 2003
or college (2 yrs) can college

9. incorp senate language

10. Req all children

Ziggo dropped at in yesterday

Goodling; sev others; Greenwood (moderate)

current - all children receive
full day; full yr services
before any growth

Marking - 22nd full Ed-Wkforce committee } Wed.
R 4 vote margin

• Want to sit down w/ us on Mon.

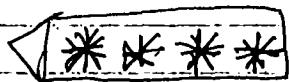
Martinez/Riggs ^{want to} meet

Bruce - Roemer

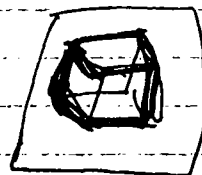
Tim Roemer - one $\rightarrow$ also supports 75-25

D has to stick together -
Let's talk

Report bill that we



• freezes enrollment
pol. things.



Draft a views Hr.

- Leg.

Copy of bill so introduced.

Matthew/Knaifer

$\rightarrow$ Janet $\leftarrow$

$\rightarrow$ 11 am conf call

$\rightarrow$

10:30

- Brodrick

ISSUES LIST - HOUSE REAUTHORIZATION - 7/16

Overview

The following list of issues represent priority concerns regarding the House Subcommittee bill. It is based on a thorough reading of the House bill, but not an intensive, point-by-point comparison of the interactions with the current Head Start Act and the Senate bill.

All of the listed issues, with two exceptions, represent provisions which differ from the Senate legislation. The exceptions are the issues of eligibility of for-profit agencies to become Head Start grantees (# 15) and the limitation of the Secretary's ability to overrule a governor's disapproval of refunding of a current grantee due to violations of health, safety and child care standards (# 24).

Our list includes three instances where the House failed to include provisions in the Senate bill. These are provision of services to children of seasonal farm workers (# 2), a requirement for the Secretary to create a mechanism to resolve barriers to collaboration (# 11), and provisions to allow collection of fees from parents for full-day/full-year service partnerships and year-around recruitment of children (# 10).

1. Micronesia, Marshall Islands, Palau are prohibited from receiving Head Start funds. (p.p. 3, 7, 19)
2. Failure to include Senate provisions for services to children of seasonal farm workers. (p.p. 4, 7)
3. 1999 funding authorization capped at \$4.5 billion, consonant with House Appropriations Committee action but lower than the Administration's request. (p.5)
4. Limits of funding for new research and evaluation initiatives (p.6) fail to provide support for studies required in Section 649, a study of small, medium and large states (p.51), evaluation of family literacy models (p.51), and the study of quality improvement funding (p.60-1).

5. Reduces grantee funding for transition activities by \$5 million in order to provide funding for new family literacy activities. This would require reducing funding levels of existing grantees. (p. 6)
6. Inappropriately restrictive priority on usage of quality set-aside funds for training teachers in specific areas. (p.10)
7. Changes formula for allocating funds to states by eliminating TANF factor (p. 11) and requires the Secretary to utilize specific Dept. of Commerce data in allocation decisions.
8. Changes priority area for State Collaboration Projects from building partnerships with "national service" to "community activities". (p. 12)
9. Stipulates encouragement of designation of "State level representatives to speak on behalf of Head Start agencies on collaborative efforts" (p.13)
10. Allows requests for waivers to Head Start policies on income eligibility and the prohibition against charging fees for Head Start. (p.p. 15-16)
11. Drops Senate language describing Secretary's development of mechanism to resolve barriers to collaboration (p.17 vs. p.15 (E(I)(III) in Senate bill).
12. Limiting Early Head Start funding to 7% for '99-'01 conflicts with Senate & Administration position. (p.17)
13. Eliminating or severely restricting future expansion of Head Start enrollment by establishing absolute priority for expanding to full-day/full-year services for existing children. (p.20)
14. Increasing quality set-aside to 75% in '99/'00 & 50% in '01/'02 would severely restrict expanding enrollment. (p.22)
15. Eligibility of for-profits (p.23 &35)
16. Removes presumptive priority to refunding existing grantees by requiring consultation with governors & changing Secretary's authority from "shall" to "may". (p.24)

17. Multiple references to providing substance abuse counseling and information on fetal alcohol and crack baby syndromes. (p.25-6,35)
18. Multiple deletions of "linguistically & culturally appropriate" (p.p. 28,32,51)
19. Mandates unrealistic Jan.'99 deadline to incorporate new items into performance measures. (p.30) and adds four extremely prescriptive academic outcome measures (p.p. 30-31).
20. Adds new requirement to program monitoring to consider information from the community & State on performance and collaboration of grantees. (p.32)
21. Proposes inadequate requirements for correcting program deficiencies related to threats to the health or safety of staff or participants or threats to the integrity of Federal funds. (p. 33)
22. Creates new option to utilize certificates to purchase Head Start services when Head Start grantees are terminated (p.34)
23. New requirements that Head Start grantees verify that custodial parents comply with requirements relating to establishment of paternity under Part D of title IV of the Social Security Act. (p. 36)
24. Expands the Governor's review of funding decisions by extending review period to 60 days (v. 45 days in Senate), and inclusion of Senate language restricting Secretary's authority to proceed with funding if a governor asserts failure to meet health, safety & child care standards. (p. 39).
25. Deletes applicability of Davis-Bacon to Head Start (p. 39)
26. New provisions for family literacy including priority in technical assistance (p.46), grants to local agencies, mentoring and technical assistance (47-8) and new research (51)
27. New requirement that $\frac{1}{2}$ of all classrooms have a teacher with an AA, BA or advanced degree by 2003 (p.49-50)

28. New mandates for analyses of 4 existing data bases/national studies (p.p. 52-53).

Quality vs. Expansion
Head Start FYs 1999-2003

(Assumes the President's FY 1999 request and \$350 million increases in each of FYs 2000-2003.

			Current Law (\$millions)			New kids	Total kids
	Approp.	COLA	Quality	T/TA	Expansion		
FY 1999	4,660	88	54	6	157	+31,000	861,000
2000	5,010	95	64	7	184	+35,000	896,000
2001	5,360	103	62	7	178	+33,000	929,000
2002	5,710	110	60	7	173	+31,000	960,000
2003	6,060	117	58	7	167	+29,000	989,000

			House Proposal (\$millions)			New kids	Total kids
	Approp.	COLA	Quality	T/TA	Expansion		
FY 1999	4,660	88	163	6	48	+ 9,000	839,000
2000	5,010	95	186	7	62	+12,000	851,000
2001	5,360	103	120	7	120	+22,000	873,000
2002	5,710	110	117	7	116	+21,000	894,000
2003	6,060	117	58	7	167	+29,000	923,000

Head Start 7/20/97

meeting for: Wednesday

- CSBG
- LIHEAP
- Headstart

Thurs.

Friday: 4241 Bill dropped Riggs

- 4.5 reg.
- Research mandates w/o #
- formula change - poverty-based
(q: formula fight)
- waivers - H-S pol.
income elig. - waive that
charges for H-S
- E-H-S funding 7%
prohibit our increase
- full-day, full yr first
- Increase quality to 75% } preclude expansion
imbalance
- cd reg. - guarantees to recompetitive each year
- vouchers
Sec could give fam vouchers - providers of choice -
no meeting of health/safety
- Gooding - Jan Lit.

Other - prescriptive perf measures - kids shd be able to
identify

- jeopardizes bi-part consensus - H-S / pol. ignite.

Davis Bacon

vouchers

E-H-S / expansion

limits funding

Riggs - apologized for extreme position -
of course I want a bi-part (but)
meeting w/ Martinez
R-Wing approach
expecting compromise

Riggs / Martinez met on Friday
worked through some issues
not biggest ones

[D staff - not w/ us on quality]

75%

CDF will approve

Nat'l H-S leg: will be great, but sees problem.

Top Issues

① funding - inconsistent budget & policies (EHS)

② constrict expansion

③ vouchers

accountability issue

[public ed. comp. ?]

no problem to be solved - not leaving kids unserved

④ Davis Bacon } pol. obstacles
Bilingual

open sup over-incomes kids

⑤ Governors issue / waivers

current law: 10% over pov
see set eligibility

Sente:

• Unacceptable - up to veto, w/o using wd.

- Hit hard

Greenwood - moderate

→ Letter from Shalala

- inconsis w/ budget

- curbside expansion

- # of kids left of

BBA - protected program: #/s

* Clay - opp to Bill.

O's - offering Sen Bill
[pol. smart]

→ Tomorrow :

Frank D. Riggs
(Original signature of Member)

105TH CONGRESS
2D SESSION

H. R. 4241

IN THE HOUSE OF REPRESENTATIVES

(for himself, Mr. Goodling, Mr. Barrett, Mr. Greenwood,
Mr. RIGGS introduced the following bill; which was referred to the Committee
on _____
Mr. Graham,
Mr. Souder)

A BILL

To amend the Head Start Act, and for other purposes.

1 *Be it enacted by the Senate and House of Representa-*
2 *tives of the United States of America in Congress assembled,*

3 **SECTION 1. SHORT TITLE.**

4 This Act may be cited as the "Head Start Amend-
5 ments of 1998".

6 **SEC. 2. TABLE OF CONTENTS.**

7 The table of contents for this Act is as follows:

- Sec. 1. Short title.
- Sec. 2. Table of contents.
- Sec. 3. Statement of purpose.
- Sec. 4. Definitions.
- Sec. 5. Financial assistance for Head Start programs.
- Sec. 6. Authorization of appropriations.
- Sec. 7. Allotment of funds.

- Sec. 8. Designation of Head Start agencies.
- Sec. 9. Quality standards.
- Sec. 10. Powers and functions of Head Start agencies.
- Sec. 11. Head Start transition.
- Sec. 12. Submission of plans to Governors.
- Sec. 13. Administrative requirements and standards.
- Sec. 14. Participation in Head Start programs.
- Sec. 15. Early head start programs for families with infants and toddlers.
- Sec. 16. Technical assistance and training.
- Sec. 17. Family literacy services.
- Sec. 18. Professional requirements.
- Sec. 19. Research and evaluation.
- Sec. 20. Repeal of consultation requirement.
- Sec. 21. Conforming amendments.
- Sec. 22. Repeal of Head Start Transition Project Act.
- Sec. 23. Effective date; application of amendments.

1 **SEC. 3. STATEMENT OF PURPOSE.**

2 Section 636 of the Head Start Act (42 U.S.C. 9831)
3 is amended to read as follows:

4 **"SEC. 636. STATEMENT OF PURPOSE.**

5 "It is the purpose of this subchapter to promote
6 school readiness by enhancing the social and cognitive de-
7 velopment of low-income children through the provision,
8 to low-income children and their families, of health, edu-
9 cational, nutritional, social, and other services that are de-
10 termined, based on family needs assessments, to be nec-
11 essary."

12 **SEC. 4. DEFINITIONS.**

13 Section 637 of the Head Start Act (42 U.S.C. 9832)
14 is amended—

15 (1) by redesignating paragraphs (3) through
16 (14) as paragraphs (4) through (15), respectively;

1 (2) in paragraph (2), by striking “the Fed-
2 erated States of Micronesia, the Republic of the
3 Marshall Islands, Palau,”;

4 (3) by inserting after paragraph (2) the follow-
5 ing:

6 “(3) The term ‘child with a disability’ means—

7 “(A) a child with a disability, as defined in
8 section 602(3) of the Individuals with Disabil-
9 ities Education Act; and

10 “(B) an infant or toddler with a disability,
11 as defined in section 632(5) of such Act.”;

12 (4) by striking paragraph (5) (as redesignated
13 in paragraph (1)) and inserting the following:

14 “(5) The term ‘family literacy services’ means
15 services that—

16 “(A) are provided to participants who re-
17 ceive the services on a voluntary basis;

18 “(B) are of sufficient intensity, and of suf-
19 ficient duration, to make sustainable changes in
20 a family (such as eliminating or reducing de-
21 pendence on income-based public assistance);
22 and

23 “(C) integrate each of—

24 “(i) interactive literacy activities be-
25 tween parents and their children;

1 “(ii) training for parents on being
2 partners with their children in learning;

3 “(iii) parent literacy training, includ-
4 ing training that contributes to economic
5 self-sufficiency; and

6 “(iv) appropriate instruction for chil-
7 dren of parents receiving the parent lit-
8 eracy training.”;

9 (5) in paragraph (7) (as redesignated in para-
10 graph (1)), by adding at the end the following:

11 “Nothing in this paragraph shall be construed to re-
12 quire an agency to provide services to a child who
13 has not reached the age of compulsory school attend-
14 ance for more than the number of hours per day
15 permitted by State law for the provision of services
16 to such a child.”; and

17 (6) by adding at the end the following:

18 “(16) The term ‘reliable and replicable’, used
19 with respect to research, means an objective, valid,
20 scientific study that—

21 “(A) includes a rigorously defined sample
22 of subjects, that is sufficiently large and rep-
23 resentative to support the general conclusions of
24 the study;

1 “(B) relies on measurements that meet es-
2 tablished standards of reliability and validity;

3 “(C) is subjected to peer review before the
4 results of the study are published; and

5 “(D) discovers effective strategies for en-
6 hancing the development and skills of chil-
7 dren.”.

8 **SEC. 5. FINANCIAL ASSISTANCE FOR HEAD START PRO-**
9 **GRAMS.**

10 Section 638(1) of the Head Start Act (42 U.S.C.
11 9833(1)) is amended—

12 (1) by striking “aid the” and inserting “enable
13 the”; and

14 (2) by striking the semicolon and inserting
15 “and attain school readiness;”.

16 **SEC. 6. AUTHORIZATION OF APPROPRIATIONS.**

17 Section 639 of the Head Start Act (42 U.S.C. 9834)
18 is amended—

19 (1) in subsection (a)—

20 (A) by inserting “\$4,500,000,000 for fiscal
21 year 1999 and” after “subchapter”; and

22 (B) by striking “1995 through 1998” and
23 inserting “2000 through 2003”; and

24 (2) in subsection (b), by striking paragraphs
25 (1) and (2) and inserting the following:

1 “(1) for each of the fiscal years 1999 through
2 2003, not more than \$30,000,000 and not less than
3 the amount made available to carry out the Head
4 Start Transition Project Act (42 U.S.C. 9855-
5 9855g) for fiscal year 1998, to carry out activities
6 authorized under section 642A;

7 “(2) not more than \$5,000,000 for each of the
8 fiscal years 1999 through 2003 to carry out impact
9 studies under section 649(g);

10 “(3) not more than \$12,000,000 for fiscal year
11 1999, and such sums as may be necessary for each
12 of the fiscal years 2000 through 2003, to carry out
13 other research, demonstration, and evaluation activi-
14 ties, including longitudinal studies, under section
15 649; and

16 “(4) not less than \$5,000,000 for fiscal year
17 1999, and such sums as may be necessary for fiscal
18 years 2000 through 2003, to carry out activities au-
19 thorized under section 648B.”.

20 **SEC. 7. ALLOTMENT OF FUNDS.**

21 (a) **ALLOTMENTS.**—Section 640(a) of the Head Start
22 Act (42 U.S.C. 9835(a)) is amended—

23 (1) in paragraph (2)—

1 (A) in subparagraph (A), by striking
2 "handicapped children" and inserting "children
3 with disabilities";

4 (B) in subparagraph (B), by striking "the
5 Federated States of Micronesia, the Republic of
6 the Marshall Islands, Palau,";

7 (C) in subparagraph (C), by striking
8 "and" at the end;

9 (D) in subparagraph (D), by striking "re-
10 lated to the development and implementation of
11 quality improvement plans under section
12 641A(d)(2)." and inserting "carried out under
13 paragraph (1), (2), or (3) of section 641A(d)
14 relating to correcting deficiencies and conduct-
15 ing proceedings to terminate the designation of
16 Head Start agencies; and";

17 (E) by inserting after subparagraph (D)
18 the following:

19 "(E) payments for research and evaluation ac-
20 tivities under section 649."; and

21 (F) by adding at the end the following: "In
22 carrying out this subchapter, the Secretary
23 shall continue the administrative arrangement
24 responsible for meeting the needs of migrant
25 and Indian children and shall assure that ap-

1 appropriate funding is provided to meet such
2 needs.”;

3 (2) in paragraph (3)—

4 (A) in subparagraph (A)(i)(II) by inserting
5 “subject to subsection (m),” after “(II)”;

6 (B) in subparagraph (B)—

7 (i) in clause (ii)—

8 (I) by striking “adequate quali-
9 fied staff” and inserting “adequate
10 numbers of qualified staff”; and

11 (II) by inserting “and children
12 with disabilities” before “, when”;

13 (ii) in clause (iv) by inserting “and to
14 encourage the staff to continually improve
15 their skills and expertise by informing staff
16 of the availability of State and Federal
17 loan forgiveness programs for professional
18 development” before the period at the end;

19 (iii) in clause (v) by inserting “and
20 collaboration efforts for such programs”
21 before the period at the end; and

22 (iv) by amending clause (vi) to read
23 as follows:

24 “(vi) Ensuring that such programs have ade-
25 quate numbers of qualified staff that can promote

1 language skills and literacy growth of children and
2 that provide children with a variety of skills that
3 have been identified, through research that is reli-
4 able and replicable, as predictive of later reading
5 achievement.”; and

6 (C) in subparagraph (C)—

7 (i) in clause (i)(I)—

8 (I) by striking “of staff” and in-
9 serting “of classroom teachers and
10 other staff”; and

11 (II) by striking “such staff” and
12 inserting “qualified staff, including re-
13 cruitment and retention pursuant to
14 achieving the requirements set forth
15 in section 648A(a)”;

16 (ii) by redesignating subclause (II) as
17 subclause (III);

18 (iii) by inserting after subclause (I)
19 the following:

20 “(II) Preferences in awarding salary increases,
21 in excess of cost of living allowances, shall be grant-
22 ed to classroom teachers and staff who obtain addi-
23 tional training or education related to their respon-
24 sibilities as employees of a Head Start program.”;

1 (iv) by amending clause (ii) to read as
2 follows:

3 “(ii) Of the amount remaining after carry-
4 ing out clause (i), the highest priority shall be
5 placed on training classroom teachers and other
6 staff to meet the education performance stand-
7 ards described in section 641A(a)(1)(B),
8 through activities—

9 “(I) to promote children’s language
10 and literacy growth, through techniques
11 identified through reliable, replicable re-
12 search;

13 “(II) to promote the acquisition of the
14 English language for non-English back-
15 ground children and families;

16 “(III) to foster children’s school readi-
17 ness skills through activities described in
18 section 648A(a)(1); and

19 “(IV) to provide training necessary to
20 improve the qualifications of the staff of
21 the Head Start agencies and to support
22 staff training, child counseling, and other
23 services necessary to address the problems
24 of children participating in Head Start
25 programs, including children from dysfunc-

1 tional families, children who experience
2 chronic violence in their communities, and
3 children who experience substance abuse in
4 their families.”;

5 (vi) by striking clause (v); and

6 (vii) by redesignating clause (vi) as
7 clause (v);

8 (3) in paragraph (4)—

9 (A) in subparagraph (A), by striking
10 “1981” and inserting “1998”;

11 (B) by amending subparagraph (B) to read
12 as follows:

13 “(B) any amount available after all allotments
14 are made under subparagraph (A) for such fiscal
15 year shall be distributed proportionately on the basis
16 of the number of children less than 5 years of age
17 who live with families whose income is below the
18 poverty line.”; and

19 (C) by adding at the end the following:

20 “For fiscal year 1999, and for each 2-fiscal-year period
21 thereafter, the Secretary shall use the most recent data
22 available on the number of children under the age of 5,
23 from families below the poverty level that is consistent
24 with that published for counties, by the Department of
25 Commerce, unless the Secretary and the Secretary of

1 Commerce determine that use of the updated poverty data
2 would be inappropriate or unreliable. If the Secretary and
3 the Secretary of Commerce determine that some or all of
4 the data referred to in this paragraph are inappropriate
5 or unreliable, they shall issue a report setting forth their
6 reasons in detail.”;

7 (4) in paragraph (5)—

8 (A) in subparagraph (B), by inserting be-
9 fore the period the following “and encourage
10 Head Start agencies to actively collaborate with
11 entities involved in State and local planning
12 processes in order to better meet the needs of
13 low-income children and families”;

14 (B) in subparagraph (C)—

15 (i) in clause (i)(I), by inserting “the
16 appropriate regional office of the Adminis-
17 tration for Children and Families and” be-
18 fore “agencies”;

19 (ii) in clause (iii), by striking “and”
20 at the end;

21 (iii) in clause (iv)—

22 (I) by striking “education, and
23 national service activities,” and insert-
24 ing “and education and community
25 activities,”;

1 (II) by striking “and activities”
2 and inserting “activities”; and

3 (III) by striking the period and
4 inserting “(including coordination
5 with those State officials who are re-
6 sponsible for administering part C
7 and section 619 of the Individuals
8 with Disabilities Education Act (20
9 U.S.C. 1431–1445, 1419)), and serv-
10 ices for homeless children;”; and

11 (iv) by adding at the end the follow-
12 ing:

13 “(v) include representatives of the State Head
14 Start Association and local Head Start agencies in
15 unified planning regarding early care and education
16 services at both the State and local levels, including
17 collaborative efforts to plan for the provision of full-
18 working-day, full-calendar-year early care and edu-
19 cation services for children;

20 “(vi) encourage local Head Start agencies to
21 appoint a State level representative to speak on be-
22 half of Head Start agencies within the State on col-
23 laborative efforts described in subparagraphs (B)
24 and (D), and in clause (v); and

1 “(vii) encourage Head Start agencies to collabo-
2 rate with entities involved in State and local plan-
3 ning processes (including the State lead agency ad-
4 ministering the financial assistance received under
5 the Child Care and Development Block Grant Act of
6 1990 (42 U.S.C. 9858 et seq.) and the entities pro-
7 viding resource and referral services in the State) in
8 order to better meet the needs of low-income chil-
9 dren and families.”;

10 (C) by redesignating subparagraph (D) as
11 subparagraph (G); and

12 (D) by inserting after subparagraph (C)
13 the following:

14 “(D)(i) A State that receives a grant under subpara-
15 graph (B) may develop and submit a statewide, regional,
16 or local unified plan for early childhood education and
17 child care, that includes Head Start services and is devel-
18 oped jointly with the State-level Head Start representative
19 pursuant to subparagraph (C)(vi).

20 “(ii) A State that submits such plan may submit with
21 the plan a request approved by the chief executive officer
22 of the State and by such representative on behalf of 1
23 or more Head Start agencies in such State, for a waiver
24 of either or both of subsections (a)(1)(A) and (b) of sec-
25 tion 645, including related regulations, that are identified

1 in the plan as barriers that impede collaboration between
2 entities carrying out Head Start programs and entities
3 carrying out other early childhood education and child
4 care programs in the State, impede the delivery and limit
5 the quality of early childhood education and child care in
6 the State, limit the availability of full-working-day, full-
7 calendar-year services in communities in which a need for
8 such services has been demonstrated, or hinder facilitation
9 of collaborations with other agencies or entities to provide
10 such services. The request shall specify the specific pur-
11 poses for which the waiver is sought and indicate how the
12 waiver will accomplish such purposes, and shall include
13 provisions specifying the manner in which an independent
14 evaluation will be conducted of Head Start programs in
15 the State if the waiver is granted.

16 “(iii) A waiver requested under clause (ii) may
17 be granted only to the extent necessary to permit
18 Head Start agencies participating in such plan—

19 “(I) to enroll in Head Start programs oth-
20 erwise eligible children from families whose in-
21 come exceeds the poverty line but does not ex-
22 ceed 140 percent of the poverty line but, in the
23 case of any Head Start program, to enroll
24 under the authority of this clause not more

1 than 25 percent of the aggregate number of
2 children enrolled in such program; and

3 “(II) to charge participation fees for such
4 children, consistent with the sliding fee schedule
5 established by the State under section
6 658E(c)(5) of the of the Child Care and Devel-
7 opment Block Grant Act of 1990 (42 U.S.C.
8 9858e(c)(5)).

9 “(E) Following the award of collaboration grants de-
10 scribed in subparagraph (B), the Secretary shall provide,
11 from the reserved sums, supplemental funding for collabo-
12 ration grants to States that engage in other innovative col-
13 laborative initiatives, including plans for collaborative
14 training and professional development initiatives for child
15 care, early childhood education and Head Start service
16 managers, providers, and staff.

17 “(F)(i) The Secretary shall—

18 “(I) review on an ongoing basis evidence of bar-
19 riers to effective collaboration between Head Start
20 programs and other Federal child care and early
21 childhood education programs and resources; and

22 “(II) develop initiatives, including providing ad-
23 ditional training and technical assistance and mak-
24 ing regulatory changes, in necessary cases, to elimi-
25 nate barriers to the collaboration.

1 “(ii) In the case of a collaborative activity funded
2 under this subchapter and another provision of law provid-
3 ing for Federal child care or early childhood education,
4 the use of equipment and nonconsumable supplies pur-
5 chased with funds made available under this subchapter
6 or such provision shall not be restricted to children en-
7 rolled or otherwise participating in the program carried
8 out under that subchapter or provision, during a period
9 in which the activity is predominantly funded under this
10 subchapter or such provision.”;

11 (5) by amending paragraph (6) to read as fol-
12 lows:

13 “(6)(A) From the amounts reserved and allotted pur-
14 suant to paragraphs (2) and (4), and except as provided
15 in subparagraphs (B) and (C)(i), the Secretary shall use
16 for grants for programs described in section 645A(a) a
17 portion of the combined total of such amount equal to—

18 “(i) 7 percent for fiscal year 1999;

19 “(ii) 7 percent for fiscal year 2000;

20 “(iii) 7 percent for fiscal year 2001;

21 “(iv) not more than 10 percent for fiscal year
22 2002;, and

23 “(v) not more than 10 percent for fiscal year
24 2003;

1 of the amount appropriated pursuant to section
2 639(a) for the respective fiscal year.

3 “(B) If the Secretary does not submit to—

4 “(i) the Committee on Education and the
5 Workforce and the Committee on Appropriations of
6 the House of Representatives; and

7 “(ii) to the Committee on Labor and Human
8 Resources and the Committee on Appropriations of
9 the Senate.

10 by January 1, 2001, a report on the results of the Early
11 Head Start impact study currently being conducted by the
12 Secretary, then the amount required to be used in accord-
13 ance with subparagraph (A) for fiscal years 2002 and
14 2003 shall be 7 percent of the amount appropriated pursu-
15 ant to section 639(a) for the respective fiscal year.

16 “(C)(i) For any fiscal year for which the Secretary
17 determines that the amount appropriated under section
18 639(a) is not sufficient to permit the Secretary to use the
19 portion described in subparagraph (A) without reducing
20 the number of children served by Head Start programs
21 or negatively impacting the quality of Head Start services,
22 relative to the number of children served and the quality
23 of the services during the preceding fiscal year, the Sec-
24 retary may reduce the percentage of funds required to be
25 used as the portion described in subparagraph (A) for the

1 fiscal year for which the determination is made, but not
2 below the percentage required to be so used for the preced-
3 ing fiscal year.

4 “(ii) For any fiscal year for which the amount appro-
5 priated under section 639(a) requires a reduction in the
6 amount made available under this subchapter to Head
7 Start agencies and entities described in section 645A, rel-
8 ative to the amount made available to the agencies and
9 entities for the preceding fiscal year, adjusted as described
10 in paragraph (3)(A)(ii), the Secretary shall proportion-
11 ately reduce—

12 “(I) the amounts made available to the entities
13 for programs carried out under section 645A; and

14 “(II) the amounts made available to Head Start
15 agencies for Head Start programs.”; and

16 (6) in paragraph (7), by striking “the Common-
17 wealth of the Northern Mariana Islands, the Fed-
18 erated States of Micronesia, the Republic of the
19 Marshall Islands, and Palau.” and inserting “and
20 the Commonwealth of the Northern Mariana Is-
21 lands.”.

22 (b) CHILDREN WITH DISABILITIES.—Section 640(d)
23 of the Head Start Act (42 U.S.C. 9835(d)) is amended—

24 (1) by striking “1982” and inserting “1999”;
25 and

1 (2) by striking “(as defined in section 602(a) of
2 the Individuals with Disabilities Education Act)”.

3 (c) INCREASED APPROPRIATIONS.—Section 640(g) of
4 the Head Start Act (42 U.S.C. 9835(g)) is amended—

5 (1) in paragraph (1), by striking the period and
6 inserting “, and after maintaining such level provide
7 additional support for full-working-day, full-cal-
8 endar-year Head Start services through collabora-
9 tions with entities carrying out programs that are in
10 existence on the date of the allocation and with
11 other private, nonprofit agencies. Any such addi-
12 tional funds remaining may be used to serve an in-
13 creased number of children or make nonstructural
14 and minor structural changes, and to acquire and
15 install equipment, for the purpose of improving fa-
16 cilities necessary to expand the availability and ac-
17 cessibility of Head Start programs.”;

18 (2) in paragraph (2)—

19 (A) in subparagraph (A), by striking the
20 semicolon and inserting “, and the performance
21 history of the applicant in providing services
22 under other Federal programs (other than the
23 program carried out under this subchapter);”;

1 (B) in subparagraph (C), by striking the
2 semicolon and inserting “, and organizations
3 serving children with disabilities;”;

4 (C) in subparagraph (D), by striking the
5 semicolon and inserting “and the extent to
6 which, and manner in which, the applicant dem-
7 onstrates the ability to collaborate and partici-
8 pate with other local community providers of
9 child care or preschool services to provide full-
10 working-day full-calendar-year services;”;

11 (D) in subparagraph (E), by striking “pro-
12 gram; and” and inserting “or any other early
13 childhood program;”;

14 (E) in subparagraph (F), by striking the
15 period and inserting “; and”; and

16 (F) by adding at the end the following:

17 “(G) the extent to which the applicant proposes
18 to foster partnerships with other service providers in
19 a manner that will enhance the resource capacity of
20 the applicant; and

21 “(H) the extent to which the applicant, in pro-
22 viding services, will plan to coordinate with the local
23 educational agency serving the community involved
24 and with schools in which children participating in
25 a Head Start program operated by such agency will

1 enroll following such program, regarding the edu-
 2 cation services provided by such local educational
 3 agency.”; and

4 (3) in paragraph (3) by striking “In” and in-
 5 serting “Subject to subsection (m), in”.

6 (d) RELATIVE AVAILABILITY OF FUNDS FOR QUAL-
 7 ITY AND FOR EXPANSION.—Section 640 of the Head Start
 8 Act (42 U.S.C. 9835) is amended by adding at the end
 9 the following:

10 “(m)(1)The amount (if any) by which the funds ap-
 11 propriated under section 639(a) for a fiscal year exceed
 12 the adjusted prior year appropriation (as defined in sub-
 13 section (a)(3)(ii)), shall be available as follows:

For Fiscal Year:	Percent of Amount Exceeding Ad- justed Prior Year Appropriation To Be Available for Activities Under Subsection (a)(3)(C):	Percent of Amount Exceed- ing Adjusted Prior Year Appro- priation To Be Available for Ac- tivities Under Subsection (g)(1):	Percent of Amount Exceed- ing Adjusted Prior Year Appropria- tion To Be Avail- able to Qualifying Head Start Pro- grams for Activi- ties Under Sub- sections (a)(3)(C) and (g)(1)
1999	65	25	10
2000	65	25	10
2001	45	45	10
2002	45	45	10
2003	25	65	10.

14 “(2) For purposes of paragraph (1), the term ‘quali-
 15 fying Head Start program’ means a Head Start agency
 16 or Head Start program that is—

1 “(A) in compliance with the quality standards
2 and result-based performance measures applicable
3 under subsections (a) and (b) of section 641A;

4 “(B) not required under subsection (d) of such
5 section to take a corrective action; and

6 “(C) making progress toward complying with
7 requirements applicable under section 648A(a)(2).

8 “(3) Funds required to be made available under this
9 subsection to qualifying Head Start programs shall be
10 made available on the same basis as allotments are deter-
11 mined under subsection (a)(4).”.

12 (f) CONFORMING AMENDMENT.—Section 644(f)(2)
13 of the Head Start Act (42 U.S.C. 9839(f)(2)) is amended
14 by striking “640(a)(3)(C)(v)” and inserting “640(g)”.

15 **SEC. 8. DESIGNATION OF HEAD START AGENCIES.**

16 Section 641 of the Head Start Act (42 U.S.C. 9836)
17 is amended—

18 (1) in subsection (a)—

19 (A) by inserting “or for-profit” after “non-
20 profit”; and

21 (B) by inserting “(in consultation with the
22 chief executive officer of the State in which the
23 community is located)” after “the Secretary”
24 the last place it appears;

25 (2) in subsection (c)—

1 (A) in paragraph (1)—

2 (i) by striking “shall give priority”
3 and inserting “may, in consultation with
4 the chief executive officer of the State, give
5 priority”;

6 (ii) by inserting “or for-profit” after
7 “nonprofit”; and

8 (iii) by striking “makes a finding”
9 and all that follows through the period at
10 the end, and inserting the following:

11 “determines that the agency involved fails to meet pro-
12 gram and financial management requirements, perform-
13 ance standards described in section 641A(a)(1), and other
14 requirements established by the Secretary.”;

15 (B) in paragraph (2), by striking “shall
16 give priority” and inserting “may, in consulta-
17 tion with the chief executive officer of the State,
18 give priority”; and

19 (C) by aligning the left margin of para-
20 graphs (2) and (3) with the left margin of para-
21 graph (1); and

22 (3) in subsection (d)—

23 (A) in the matter preceding paragraph (1),
24 by striking “If no entity in a community is enti-
25 tled to the priority specified in subsection (c),

1 then the Secretary may” and inserting the fol-
2 lowing:

3 “If the Secretary elects not to give priority designation
4 to any agency under subsection (c), the Secretary shall”;

5 (B) in paragraph (4)—

6 (i) in subparagraph (A), by inserting
7 “(at home and in the center involved where
8 practicable)” after “activities”;

9 (ii) in subparagraph (D)—

10 (I) in clause (iii) by adding “or”
11 at the end;

12 (II) by striking clause (iv); and

13 (III) by redesignating clause (v)
14 as clause (iv);

15 (iii) in subparagraph (E) by striking
16 “and (D)” and inserting “and (E)”;

17 (iv) by redesignating subparagraphs
18 (D) and (E) and subparagraphs (E) and
19 (F), respectively; and

20 (v) by inserting after subparagraph
21 (C) the following:

22 “(D) to offer to parents of participating
23 children substance abuse counseling (either di-
24 rectly or through referral to local entities), in-

1 cluding information on fetal alcohol syndrome
2 and crack-baby syndrome;”;

3 (C) by amending paragraph (7) to read as
4 follows:

5 “(7) the plan of such applicant to meet the
6 needs of non-English background children and their
7 families, including needs related to the acquisition of
8 the English language;”;

9 (D) in paragraph (8)—

10 (i) by striking the period at the end
11 and inserting “; and”; and

12 (ii) by redesignating such paragraph
13 as paragraph (9);

14 (E) by inserting after paragraph (7) the
15 following:

16 “(8) the plan of such applicant to meet the
17 needs of children with disabilities;”; and

18 (F) by adding at the end the following:

19 “(10) the plan of such applicant to collaborate
20 with other entities carrying out early childhood edu-
21 cation and child care programs in the community.”;
22 and

23 (4) by amending subsection (e) to read as fol-
24 lows:

1 “(e) If no agency in the community receives priority
2 designation and if there is no qualified applicant in the
3 community, then the Secretary shall designate an agency
4 to carry out the Head Start program in the community
5 on an interim basis until a qualified applicant from the
6 community is so designated.”.

7 **SEC. 9. QUALITY STANDARDS.**

8 (a) **QUALITY STANDARDS.**—Section 641A(a) of the
9 Head Start Act (42 U.S.C. 9836a(a)) is amended—

10 (1) in paragraph (1)—

11 (A) in the matter preceding subparagraph
12 (A), by inserting “, including minimum levels of
13 overall accomplishment,” after “regulation
14 standards”;

15 (B) in subparagraph (A), by striking “edu-
16 cation,”;

17 (C) by redesignating subparagraphs (B)
18 through (D) as subparagraphs (C) through (E),
19 respectively; and

20 (D) by inserting after subparagraph (A)
21 the following:

22 “(B)(i) education performance standards
23 to ensure the school readiness of children par-
24 ticipating in a Head Start program, on comple-

1 tion of the Head Start program and prior to
2 entering school; and

3 “(ii) additional education performance
4 standards to ensure that the children partici-
5 pating in the program, at a minimum—

6 “(I) develop phonemic, print, and
7 numeracy awareness;

8 “(II) understand and use oral lan-
9 guage to communicate for different pur-
10 poses;

11 “(III) understand and use increas-
12 ingly complex and varied vocabulary;

13 “(IV) develop and demonstrate an ap-
14 preciation of books; and

15 “(V) in the case of non-English back-
16 ground children, progress toward acquisi-
17 tion of the English language.”;

18 (2) by striking paragraph (2);

19 (3) in paragraph (3)—

20 (A) in subparagraph (A) by striking “lin-
21 guistically and culturally appropriate”;

22 (B) in subparagraph (B)(iii) by striking
23 “child” and inserting “early childhood edu-
24 cation and”; and

25 (C) in subparagraph (C)—

1 (i) in clause (i)—

2 (I) by striking “not later than 1
3 year after the date of enactment of
4 this section,”; and

5 (II) by striking “section 651(b)”
6 and all that follows through “section”
7 and inserting “this subsection”; and

8 (ii) in subclause (ii), by striking “No-
9 vember 2, 1978” and inserting “the date
10 of enactment of the Human Services Reau-
11 thorization Act of 1998”; and

12 (4) by redesignating paragraphs (3) and (4) as
13 paragraphs (2) and (3), respectively.

14 (b) PERFORMANCE MEASURES.—Section 641A(b) of
15 the Head Start Act (42 U.S.C. 9836a(b)) is amended—

16 (1) in the heading, by inserting “RESULTS-
17 BASED” before “PERFORMANCE”;

18 (2) in paragraph (1)—

19 (A) by striking “Not later than 1 year
20 after the date of enactment of this section, the”
21 and inserting “The”;

22 (B) by striking “child” and inserting
23 “early childhood education and”; and

24 (C) by striking the period at the end and
25 inserting “, and the impact of the services pro-

1 vided through the programs to children and
2 their families.”;

3 (3) in paragraph (2)—

4 (A) in the heading, by striking “DESIGN”
5 and inserting “CHARACTERISTICS”;

6 (B) in the matter preceding subparagraph
7 (A), by striking “be designed” and inserting
8 “include the education performance standards
9 described in subsection (a)(1)(B) and shall”;

10 (C) in subparagraph (A), by striking “to
11 assess” and insert “assess the impact of”;

12 (D) in subparagraph (B)—

13 (i) by striking “to”;

14 (ii) by striking “and peer review” and
15 inserting “, peer review, and program eval-
16 uation”; and

17 (iii) by striking “; and” and inserting
18 the following:

19 “not later than January 1, 1999, and, with re-
20 spect to such education performance standards,
21 assess whether children participating in Head
22 Start programs—

23 “(I) know that letters of the alphabet
24 are a special category of visual graphics
25 that can be individually named;

1 “(II) recognize a word as a unit of
2 print;

3 “(III) identify at least 10 letters of
4 the alphabet; and

5 “(IV) associate sounds with written
6 words;”;

7 (E) in subparagraph (C), by inserting “be
8 developed” before “for other”;

9 (4) in paragraph (3)(A) by striking “and by re-
10 gion” and inserting “, regionally, and locally”; and

11 (5) by adding at the end the following:

12 “(4) OTHER PERFORMANCE MEASURES.—In
13 addition to other applicable results-based perform-
14 ance measures, Head Start agencies may establish
15 their own performance measures.”.

16 (c) MONITORING.—Section 641A(c) of the Head
17 Start Act (42 U.S.C. 9836a(c)) is amended—

18 (1) in paragraph (1) by inserting “and results-
19 based performance measures” after “standards”;
20 and

21 (2) in paragraph (2)

22 (A) in subparagraph (B), by striking
23 “and” at the end;

24 (B) in subparagraph (C)—

1 (i) by striking “(including linguistic
2 and cultural)”;

3 (ii) by inserting “(including children
4 with disabilities)” after “eligible children”;
5 and

6 (iii) by striking the period at the end
7 and inserting a semicolon; and

8 (C) by adding at the end the following:

9 “(D) include as part of the reviews of the
10 programs, a review and assessment of program
11 effectiveness, as measured in accordance with
12 the results-based performance measures devel-
13 oped pursuant to subsection (b) and with the
14 performance standards established pursuant to
15 subparagraphs (A) and (B) of subsection
16 (a)(1); and

17 “(E) seek information from the community
18 and the State about the performance of the pro-
19 gram and its efforts to collaborate with other
20 entities carrying out early childhood education
21 and child care programs in the community.”.

22 (d) TERMINATION.—Section 641A(d)(1) of the Head
23 Start Act (42 U.S.C. 9836a(d)(1)) is amended—

1 (1) by inserting “or results-based performance
2 measures described in subsection (b)” after “sub-
3 section (a)”;

4 (2) by amending subparagraph (B)(ii) to read
5 as follows:

6 “(ii) if the Secretary finds that the defi-
7 ciency threatens the health or safety of staff or
8 program participants or poses a threat to the
9 integrity of Federal funds—

10 “(I) to correct the deficiency not later
11 than 90 days after the identification of the
12 deficiency if the Secretary finds, in the dis-
13 cretion of the Secretary, that such a 90-
14 day period is reasonable, in light of the na-
15 ture and magnitude of the deficiency; or

16 “(II) in the discretion of the Secretary
17 (taking into consideration the seriousness
18 of the deficiency and the time reasonably
19 required to correct the deficiency) to com-
20 ply with the requirements of paragraph (2)
21 concerning a quality improvement plan;”;

22 (3) in subparagraph (C), by striking “unless
23 the agency corrects the deficiency.” and inserting
24 the following:

25 “unless the agency—

1 “(i) corrects the deficiency within 90
2 days after the Secretary informs the agen-
3 cy of the deficiency; or

4 “(ii) develops and obtains approval for
5 a quality improvement plan in accordance
6 with paragraph (2) in a case in which the
7 Secretary, at the discretion of the Sec-
8 retary, requires such a plan; and.”; and

9 (4) by adding at the end the following:

10 “(D) If the Secretary terminates the des-
11 ignation of a Head Start agency pursuant to
12 this subsection, the Secretary shall, after con-
13 sultation with families that will be eligible to re-
14 ceive services in the community, either—

15 “(i) issue a certificate to eligible par-
16 ents for parents to use at the [REDACTED] *
17 provider of their choice, provided that the
18 [REDACTED] provider can provide health, edu-
19 cational, nutritional, and social services to
20 eligible children and their families either
21 through direct services or through referral
22 to local entities; or

23 “(ii) use procedures outlined in sec-
24 tion 641 to designate a new Head Start
25 agency.”.

1 **SEC. 10. POWERS AND FUNCTIONS OF HEAD START AGEN-**
2 **CIES.**

3 Section 642 of the Head Start Act (42 U.S.C. 9837)
4 is amended—

5 (1) in subsection (a), by inserting “or for-prof-
6 it” after “nonprofit”;

7 (2) in subsection (b)—

8 (A) in paragraph (6)—

9 (i) by striking subparagraph (D); and

10 (ii) by redesignating subparagraphs

11 (E) and (F) and subparagraphs (D) and

12 (E), respectively;

13 (B) in paragraph (8) by striking “and” at

14 the end;

15 (C) in paragraph (9) by striking the period

16 at the end and inserting “; and”;

17 (D) by redesignating paragraphs (6)

18 through (9) as paragraphs (7) through (10), re-

19 spectively;

20 (E) by inserting after paragraph (5) the

21 following:

22 “(6) offer to parents of participating children

23 substance abuse counseling (either directly or

24 through referral to local entities), including informa-

25 tion on fetal alcohol syndrome and crack-baby syn-

26 drome;”; and

1 (F) by adding at the end the following:

2 “(11)(A) inform custodial parents in single-par-
3 ent families that participate in programs, activities,
4 or services carried out under this subtitle about the
5 availability of child support services;

6 “(B) refer eligible parents to the child support
7 offices of State and local governments;

8 “(C) establish referral arrangements with such
9 offices; and

10 “(D) verify that such custodial parents who re-
11 ceive benefits under title IV of the Social Security
12 Act comply with the provisions of part D of title IV
13 of such Act relating to the establishment of pater-
14 nity;”;

15 (3) in subsection (c)—

16 (A) by inserting “and collaborate” after
17 “coordinate”; and

18 (B) by striking “section 402(g) of the So-
19 cial Security Act, and other” and inserting “the
20 State program carried out under the Child Care
21 and Development Block Grant Act of 1990 (42
22 U.S.C. 9858 et seq.), and other early childhood
23 education and development”; and

24 (4) in subsection (d)—

25 (A) in paragraph (1)—

1 (i) by striking “carry out” and all
2 that follows through “maintain” and in-
3 serting “take steps to ensure, to the maxi-
4 mum extent possible, that children main-
5 tain”;

6 (ii) by inserting “and educational”
7 after “developmental”; and

8 (iii) by striking “to build” and insert-
9 ing “build”;

10 (B) by striking paragraph (2); and

11 (C) by redesignating paragraphs (3)
12 through (5) as paragraphs (2) through (4), re-
13 spectively.

14 **SEC. 11. HEAD START TRANSITION.**

15 The Head Start Act (42 U.S.C. 9831 et seq.) is
16 amended by inserting after section 642 the following:

17 **“SEC. 642A. HEAD START TRANSITION.**

18 “Each Head Start agency shall take steps to coordi-
19 nate with the local educational agency serving the commu-
20 nity involved and with schools in which children participat-
21 ing in a Head Start program operated by such agency will
22 enroll following such program, including—

23 “(1) developing and implementing a systematic
24 procedure for transferring, with parental consent,

1 Head Start program records for each participating
2 child to the school in which such child will enroll;

3 “(2) establishing channels of communication be-
4 tween Head Start staff and their counterparts in the
5 schools (including teachers, social workers, and
6 health staff) to facilitate coordination of programs;

7 “(3) conducting meetings involving parents,
8 kindergarten or elementary school teachers, and
9 Head Start program teachers to discuss the edu-
10 cational, developmental, and other needs of individ-
11 ual children;

12 “(4) organizing and participating in joint tran-
13 sition-related training of school staff and Head Start
14 staff;

15 “(5) developing and implementing a family out-
16 reach and support program in cooperation with enti-
17 ties carrying out parental involvement efforts under
18 title I of the Elementary and Secondary Education
19 Act of 1965 (20 U.S.C. 6301 et seq.);

20 “(6) assisting families, administrators, and
21 teachers in enhancing educational and developmental
22 continuity between Head Start services and elemen-
23 tary school classes;

1 “(7) linking the services provided in such pro-
2 gram with the education services provided by such
3 local education agency.”.

4 **SEC. 12. SUBMISSION OF PLANS TO GOVERNORS.**

5 The first sentence of section 643 of the Head Start
6 Act (42 U.S.C. 9838) is amended—

7 (1) by striking “30 days” and inserting “60
8 days”;

9 (2) by striking “so disapproved” and inserting
10 “disapproved (for reasons other than failure to meet
11 State health, safety, and child care standards, if
12 any)”; and

13 (3) by inserting before the period “, as evi-
14 denced by a written statement of the Secretary’s
15 findings transmitted to such officer”.

16 **SEC. 13. ADMINISTRATIVE REQUIREMENTS AND STAND-**
17 **ARDS.**

18 Section 644(g) of the Head Start Act (42 U.S.C.
19 9839(g)) is amended by striking paragraph (3).

20 **SEC. 14. PARTICIPATION IN HEAD START PROGRAMS.**

21 Section 645(a)(1)(B) of the Head Start Act (42
22 U.S.C. 9840(a)(1)(B)) is amended—

23 (1) by striking “that programs” and inserting
24 “that (i) programs”; and

1 (2) by striking the period and inserting the fol-
2 lowing:
3 “, and (ii) a child who has been determined to meet the
4 low-income criteria and who is participating in a Head
5 Start program in a program year shall be considered to
6 continue to meet the low-income criteria through the end
7 of the succeeding program year. In determining, for pur-
8 poses of this paragraph, whether a child who has applied
9 for enrollment in a Head Start program meets the low-
10 income criteria, an entity may consider evidence of family
11 income during the 12 months preceding the month in
12 which the application is submitted, or during the calendar
13 year preceding the calendar year in which the application
14 is submitted, whichever more accurately reflects the needs
15 of the family at the time of application.”.

16 **SEC. 15. EARLY HEAD START PROGRAMS FOR FAMILIES**
17 **WITH INFANTS AND TODDLERS.**

18 (a) PROGRAM.—Section 645A of the Head Start Act
19 (42 U.S.C. 9840a) is amended—

20 (1) in the section heading, by inserting “**EARLY**
21 **HEAD START**” before “**PROGRAMS FOR**”;

22 (2) in subsection (a)—

23 (A) in paragraph (1) by striking “; and”
24 and inserting a period;

25 (B) by striking paragraph (2); and

1 (C) by striking “for—” and all that follows
2 through “(1)”, and inserting “for”;

3 (3) in subsection (b)(5), by inserting “(includ-
4 ing programs for infants and toddlers with disabil-
5 ities)” after “community”;

6 (4) in subsection (c)—

7 (A) by striking “(a)(1)” and inserting
8 “(a)”; and

9 (B) in paragraph (2), by striking “(or
10 under” and all that follows through “(e)(3))”;

11 (5) in subsection (d)—

12 (A) in paragraph (1), by inserting “and”
13 at the end;

14 (B) by striking paragraph (2); and

15 (C) in paragraph (3)—

16 (i) by inserting “or for-profit” after
17 “nonprofit”; and

18 (ii) by redesignating such paragraph
19 as paragraph (2);

20 (6) by striking subsection (e);

21 (7) by redesignating subsections (f) and (g) as
22 subsections (e) and (f), respectively;

23 (8) in subsection (e) (as redesignated in para-
24 graph (7))—

1 (A) in the subsection heading, by striking
2 "OTHER"; and

3 (B) by striking "From the balance remain-
4 ing of the portion specified in section 640(a)(6),
5 after making grants to the eligible entities spec-
6 ified in subsection (e)," and inserting "From
7 the portion specified in section 640(a)(6),";
8 (9) by striking subsection (h); and
9 (10) by adding at the end the following:

10 "(g) MONITORING, TRAINING, TECHNICAL ASSIST-
11 ANCE, AND EVALUATION.—

12 "(1) REQUIREMENT.—In order to ensure the
13 successful operation of programs assisted under this
14 section, the Secretary shall use funds from the por-
15 tion specified in section 640(a)(6) to monitor the op-
16 eration of such programs, evaluate their effective-
17 ness, and provide training and technical assistance
18 tailored to the particular needs of such programs.

19 "(2) TRAINING AND TECHNICAL ASSISTANCE
20 ACCOUNT.—

21 "(A) IN GENERAL.—Of the amount made
22 available to carry out this section for any fiscal
23 year, not less than 5 percent and not more than
24 10 percent shall be reserved to fund a training
25 and technical assistance account.

1 “(B) ACTIVITIES.—Funds in the account
2 may be used for purposes including—

3 “(i) making grants to, and entering
4 into contracts with, organizations with spe-
5 cialized expertise relating to infants, tod-
6 dlers, and families and the capacity needed
7 to provide direction and support to a na-
8 tional training and technical assistance
9 system, in order to provide such direction
10 and support;

11 “(ii) providing ongoing training and
12 technical assistance for regional and pro-
13 gram staff charged with monitoring and
14 overseeing the administration of the pro-
15 gram carried out under this section;

16 “(iii) providing ongoing training and
17 technical assistance for existing recipients
18 of grants under subsection (a) and support
19 and program planning and implementation
20 assistance for new recipients of such
21 grants; and

22 “(iv) providing professional develop-
23 ment and personnel enhancement activi-
24 ties, including the provision of funds to re-
25 cipients of grants under subsection (a) for

1 the recruitment and retention of qualified
2 staff with an appropriate level of education
3 and experience.”.

4 (b) CONFORMING AMENDMENT.—Section
5 640(a)(5)(D) of the Head Start Act (42 U.S.C.
6 9835(a)(5)(D)) is amended by striking “section
7 645(a)(1)(A)” and inserting “section 645(a)”.

8 SEC. 16. TECHNICAL ASSISTANCE AND TRAINING.

9 Section 648 of the Head Start Act (42 U.S.C. 9843)
10 is amended—

11 (1) in subsection (b)—

12 (A) in paragraph (1), by striking “and” at
13 the end;

14 (B) in paragraph (2), by striking the pe-
15 riod at the end and inserting “; and”; and

16 (C) by adding at the end the following:

17 “(3) ensure the provision of technical assistance
18 to assist Head Start agencies, entities carrying out
19 other child care and early childhood programs, com-
20 munities, and States in collaborative efforts to pro-
21 vide quality full-working-day, full-calendar-year serv-
22 ices, including technical assistance related to identi-
23 fying and assisting in resolving barriers to collabora-
24 tion.”; and

25 (2) in subsection (c)—

1 (A) by amending paragraph (1) to read as
2 follows:

3 “(1) give priority consideration to—

4 “(A) activities to correct program and
5 management deficiencies identified through re-
6 views pursuant to section 641A(c) (including
7 the provision of assistance to local programs in
8 the development of quality improvement plans
9 under section 641A(d)(2)); and

10 “(B) assisting Head Start agencies in—

11 “(i) ensuring the school readiness of
12 children; and

13 “(ii) meeting the education perform-
14 ance standards described in this sub-
15 chapter;”;

16 (B) in paragraph (2) by inserting “supple-
17 ment amounts provided under section
18 640(a)(3)(C)(ii),” after “(2)”;

19 (C) in paragraph (4)—

20 (i) by inserting “and implementing”
21 after “developing”; and

22 (ii) by striking “a longer day” and in-
23 serting the following: “the day, and assist
24 the agencies and programs in expediting
25 the sharing of information about innovative

1 models for providing full-working-day, full-
2 calendar-year services for children”;

3 (D) in paragraph (7), by striking “and” at
4 the end;

5 (E) in paragraph (8), by striking the pe-
6 riod at the end and inserting “; and”;

7 (F) by redesignating paragraphs (3)
8 through (8) as paragraphs (5) through (10), re-
9 spectively; and

10 (G) by inserting after paragraph (2) the
11 following:

12 “(3) assist Head Start agencies in the de-
13 velopment of collaborative initiatives with
14 States and other entities within the States, to
15 foster effective early childhood professional de-
16 velopment systems;

17 “(4) assist classroom and non-classroom
18 staff, including individuals in management and
19 leadership capacities, to understand the compo-
20 nents of effective family literacy services, gain
21 knowledge about proper implementation of such
22 services within a Head Start program, and re-
23 ceive assistance to achieve successful collabora-
24 tion agreements with other service providers

1 that allow the effective integration of family lit-
2 eracy services with the Head Start program;”.

3 **SEC. 17. FAMILY LITERACY SERVICES.**

4 The Head Start Act (42 U.S.C. 9831 et seq.) is
5 amended by inserting after section 648A the following:

6 **“SEC. 648B. FAMILY LITERACY SERVICES.**

7 “From funds reserved under section 639(b)(4), the
8 Secretary—

9 “(1) shall provide grants through a competitive
10 process, based upon the quality of the family literacy
11 service proposal and taking into consideration geo-
12 graphic and urban/rural representation, for not more
13 than 100 Head Start agencies to initiate provision
14 of family literacy services through collaborative part-
15 nerships with entities that provide adult education
16 services, entities carrying out Even Start programs
17 under part B of chapter 1 of title 1 of the Elemen-
18 tary and Secondary Education Act of 1965 (20
19 U.S.C. 274 et seq.), or entities that provide other
20 services deemed necessary for the provision of family
21 literacy services; and

22 “(2) may—

23 “(A) provide training and technical assist-
24 ance to Head Start agencies that already pro-
25 vide family literacy services;

1 “(B) designate as mentor programs, and
2 provide financial assistance to, Head Start
3 agencies that demonstrate effective implementa-
4 tion of family literacy services, based on im-
5 proved outcomes of children and their parents,
6 to enable such agencies to provide training and
7 technical assistance to other agencies that seek
8 to implement, or improve implementation of,
9 family literacy services; and

10 “(C) award grants or make other assist-
11 ance available to facilitate training and tech-
12 nical assistance to programs for development of
13 collaboration agreements with other service pro-
14 viders.

15 In awarding such grants or assistance, the Secretary shall
16 give special consideration to an organization that has ex-
17 perience in the development and operation of successful
18 family literacy services.”.

19 **SEC. 18. PROFESSIONAL REQUIREMENTS.**

20 Section 648A of the Head Start Act (42 U.S.C.
21 9843a) is amended—

22 (1) by amending subsection (a) to read as fol-
23 lows:

24 “(a) CLASSROOM TEACHERS.—

1 “(1) PROFESSIONAL REQUIREMENTS.—The
2 Secretary shall ensure that each Head Start class-
3 room in a center-based program is assigned 1 teach-
4 er who has demonstrated competency to perform
5 functions that include—

6 “(A) planning and implementing learning
7 experiences that advance the intellectual and
8 physical development of children, including im-
9 proving readiness of children for school by de-
10 veloping their literacy and phonemic, print, and
11 numeracy awareness, their understanding and
12 use of oral language, their understanding and
13 use of increasingly complex and varied vocabu-
14 lary, their appreciation of books and their prob-
15 lem solving abilities;

16 “(B) establishing and maintaining a safe,
17 healthy learning environment;

18 “(C) supporting the social and emotional
19 development of children; and

20 “(D) encouraging the involvement of the
21 families of the children in a Head Start pro-
22 gram and supporting the development of rela-
23 tionships between children and their families.

24 “(2) DEGREE REQUIREMENTS.—The Secretary

1 the majority of all Head Start classrooms in a cen-
2 ter-based program are assigned 1 teacher who has
3 an associate, baccalaureate, or an advanced degree
4 in early childhood education or development and
5 shall require Head Start agencies to demonstrate
6 continuing progress each year to reach that result.
7 In the remaining balance of such classrooms, there
8 shall be assigned one teacher who has—

9 “(A) a child development associate (CDA)
10 credential that is appropriate to the age of the
11 children being served in center-based programs;

12 “(B) a State-awarded certificate for pre-
13 school teachers that meets or exceeds the re-
14 quirements for a child development associate
15 credential; or

16 “(C) a degree in a field related to early
17 childhood education with experience in teaching
18 preschool children and a State-awarded certifi-
19 cate to teach in a preschool program.”; and

20 (2) in subsection (b)(2)(B)—

21 (A) by striking “staff,” and inserting
22 “staff or”; and

23 (B) by striking “, or that” and all that fol-
24 lows through “families”.

1 **SEC. 19. RESEARCH AND EVALUATION.**

2 Section 649 of the Head Start Act (42 U.S.C. 9844)
3 is amended—

4 (1) in subsection (c)(1)(C) by striking “the
5 multicultural” and all that follows through “pro-
6 grams and”;

7 (2) in subsection (d)—

8 (A) in paragraph (6), by striking “and” at
9 the end;

10 (B) in paragraph (7) by striking the period
11 at the end and inserting “; and”;

12 (C) by redesignating paragraphs (2)
13 through (7) as paragraphs (3) through (8), re-
14 spectively;

15 (D) by inserting after paragraph (1) the
16 following:

17 “(2) over a 5-year period, lead to the develop-
18 ment and rigorous evaluation of models for the inte-
19 gration of family literacy services with Head Start
20 programs, that demonstrate the ability to make posi-
21 tive gains for children participating in Head Start
22 programs and their parents, and dissemination of in-
23 formation about such models;” and

24 (E) by adding at the end the following:

25 “(9) study the experiences of small, medium,
26 and large States with Head Start programs in order

1 to permit comparisons of children participating in
2 the programs with eligible children who did not par-
3 ticipate in the programs, which study—

4 “(A) may include the use of a data set
5 that existed prior to the initiation of the study;
6 and

7 “(B) shall compare the educational
8 achievement, social adaptation, and health sta-
9 tus of the participating children and the eligible
10 nonparticipating children.

11 The Secretary shall ensure that an appropriate entity car-
12 ries out a study described in paragraph (9), and prepares
13 and submits to the appropriate committees of the Con-
14 gress a report containing the results of the study, not later
15 than September 30, 2002.”; and

16 (3) by adding at the end the following:

17 “(g) NATIONAL HEAD START IMPACT RESEARCH.—

18 “(1) ANALYSES OF DATA BASES.—The Sec-
19 retary shall obtain analyses of the following existing
20 databases to guide the evaluation recommendations
21 of the expert panel appointed under paragraph (2)
22 and to provide Congress with initial reports of po-
23 tential Head Start outcomes—

24 “(A) by use of The Survey of Income and
25 Program Participation (SIPP) conduct an anal-

1 ysis of the different income levels of Head Start
2 participants compared to comparable persons
3 who did not attend Head Start;

4 “(B) by use of The National Longitudinal
5 Survey of Youth (NLSY) which began gather-
6 ing data on children who attended Head Start
7 from 1988 on, examine the wide range of out-
8 comes measured within the Survey, including
9 cognitive, socio-emotional, behavioral, and aca-
10 demic development;

11 “(C) by use of The Survey of Program Dy-
12 namics, the new longitudinal survey required by
13 the Personal Responsibility and Work Oppor-
14 tunity Reconciliation Act of 1996, to begin an-
15 nual reporting, through the duration of the
16 Survey, on Head Start attendees’ academic
17 readiness performance and improvements; and

18 “(D) to ensure that The Survey of Pro-
19 gram Dynamics be linked with the NLSY at
20 least once by the use of a common performance
21 test, to be determined by the expert panel, for
22 the greater national usefulness of the NLSY
23 database.

24 “(2) EXPERT PANEL.—

1 “(A) IN GENERAL.—The Secretary shall
2 appoint an independent panel consisting of ex-
3 perts in program evaluation and research, edu-
4 cation, and early childhood programs—

5 “(i) to review, and make recommenda-
6 tions on, the design and plan for the re-
7 search (whether conducted as a single as-
8 sessment or as a series of assessments),
9 described in paragraph (3), within 1 year
10 after the date of enactment of the Human
11 Services Reauthorization Act of 1998;

12 “(ii) to maintain and advise the Sec-
13 retary regarding the progress of the re-
14 search; and

15 “(iii) to comment, if the panel so de-
16 sires, on the interim and final research re-
17 ports submitted under paragraph (8).

18 “(B) TRAVEL EXPENSES.—The members
19 of the panel shall not receive compensation for
20 the performance of services for the panel, but
21 shall be allowed travel expenses, including per
22 diem in lieu of subsistence, at rates authorized
23 for employees of agencies under subchapter I of
24 chapter 57 of title 5, United States Code, while
25 away from their homes or regular places of

1 business in the performance of services for the
2 panel. Notwithstanding section 1342 of title 31,
3 United States Code, the Secretary may accept
4 the voluntary and uncompensated services of
5 members of the panel.

6 “(3) GENERAL AUTHORITY.—After reviewing
7 the recommendations of the expert panel the Sec-
8 retary shall enter into a grant, contract, or coopera-
9 tive agreement with an organization to conduct inde-
10 pendent research that provides a national analysis of
11 the impact of Head Start programs. The Secretary
12 shall ensure that the organization shall have exper-
13 tise in program evaluation, and research, education,
14 and early childhood programs.

15 “(4) DESIGNS AND TECHNIQUES.—The Sec-
16 retary shall ensure that the research uses rigorous
17 methodological designs and techniques (based on the
18 recommendations of the expert panel), including lon-
19 gitudinal designs, control groups, nationally recog-
20 nized standardized measures, and random selection
21 and assignment, as appropriate. The Secretary may
22 provide that the research shall be conducted as a
23 single comprehensive assessment or as a group of co-
24 ordinated assessments designed to provide, when

1 taken together, a national analysis of the impact of
2 Head Start programs.

3 “(5) PROGRAMS.—The Secretary shall ensure
4 that the research focuses primarily on Head Start
5 programs that operate in the several States, the
6 Commonwealth of Puerto Rico, or the District of
7 Columbia and that do not specifically target special
8 populations.

9 “(6) ANALYSIS.—The Secretary shall ensure
10 that the organization conducting the research—

11 “(A)(i) determines if, overall, the Head
12 Start programs have impacts consistent with
13 their primary goal of increasing the social com-
14 petence of children, by increasing the everyday
15 effectiveness of the children in dealing with
16 their present environments and future respon-
17 sibilities, and increasing their school readiness;

18 “(ii) considers whether the Head Start
19 programs—

20 “(I) enhance the growth and develop-
21 ment of children in cognitive, emotional,
22 and physical health areas;

23 “(II) strengthen families as the pri-
24 mary nurturers of their children; and

1 “(III) ensure that children attain
2 school readiness; and

3 “(iii) examines—

4 “(I) the impact of the Head Start
5 programs on increasing access of children
6 to such services as educational, health, and
7 nutritional services, and linking children
8 and families to needed community services;
9 and

10 “(II) how receipt of services described
11 in subclause (I) enriches the lives of chil-
12 dren and families participating in Head
13 Start programs;

14 “(B) examines the impact of Head Start
15 programs on participants on the date the par-
16 ticipants leave Head Start programs, at the end
17 of kindergarten, and at the end of first grade,
18 by examining a variety of factors, including
19 educational achievement, referrals for special
20 education or remedial course work, and absen-
21 teeism;

22 “(C) makes use of random selection from
23 the population of all Head Start programs de-
24 scribed in paragraph (5) in selecting programs
25 for inclusion in the research; and

1 “(D) includes comparisons of individuals
2 who participate in Head Start programs with
3 control groups (including comparison groups)
4 composed of—

5 “(i) individuals who participate in
6 other early childhood programs (such as
7 preschool programs and day care); and

8 “(ii) individuals who do not partici-
9 pate in any other early childhood program.

10 “(7) CONSIDERATION OF SOURCES OF VARI-
11 ATION.—In designing the research, the Secretary
12 shall, to the extent practicable, consider addressing
13 possible sources of variation in impact of Head Start
14 programs, including variations in impact related to
15 such factors as—

16 “(A) Head Start program operations;

17 “(B) Head Start program quality;

18 “(C) the length of time a child attends a
19 Head Start program;

20 “(D) the age of the child on entering the
21 Head Start program;

22 “(E) the type of organization (such as a
23 local educational agency or a community action
24 agency) providing services for the Head Start
25 program;

1 “(F) the number of hours and days of pro-
2 gram operation of the Head Start program
3 (such as whether the program is a full-working-
4 day full-calendar-year program, a part-day pro-
5 gram or a part-year program); and

6 “(G) other characteristics and features of
7 the Head Start program (such as geographic lo-
8 cation, location in an urban or a rural service
9 area, or participant characteristics), as appro-
10 prium.

11 “(8) REPORTS.—

12 “(A) SUBMISSION OF INTERIM REPORTS.—

13 The organization shall prepare and submit to
14 the Secretary 2 interim reports on the research.
15 The first interim report shall describe the de-
16 sign of the research, and the rationale for the
17 design, including a description of how potential
18 sources of variation in impact of Head Start
19 programs have been considered in designing the
20 research. The second interim report shall de-
21 scribe the status of the research and prelimi-
22 nary findings of the research, as appropriate.

23 “(B) SUBMISSION OF FINAL REPORT.—

24 The organization shall prepare and submit to

1 the Secretary a final report containing the find-
2 ings of the research.

3 “(C) TRANSMITTAL OF REPORTS TO CON-
4 GRESS.—

5 “(i) IN GENERAL.—The Secretary
6 shall transmit, to the committees described
7 in clause (ii), the first interim report by
8 September 30, 1999, the second interim
9 report by September 30, 2001, and the
10 final report by September 30, 2003.

11 “(ii) COMMITTEES.—The committees
12 referred to in clause (i) are the Committee
13 on Education and the Workforce of the
14 House of Representatives and the Commit-
15 tee on Labor and Human Resources of the
16 Senate.

17 “(9) DEFINITION.—In this subsection, the term
18 ‘impact’, used with respect to a Head Start pro-
19 gram, means a difference in an outcome for a partic-
20 ipant in the program that would not have occurred
21 without the participation in the program.

22 “(h) QUALITY IMPROVEMENT STUDY.—

23 “(1) STUDY.—The Secretary shall conduct a
24 study regarding the use and effects of use of the

1 quality improvement funds made available under sec-
2 tion 640(a)(3) since fiscal year 1991.

3 “(2) REPORT.—The Secretary shall prepare
4 and submit to Congress not later than September
5 2000 a report containing the results of the study, in-
6 cluding—

7 “(A) the types of activities funded with the
8 quality improvement funds;

9 “(B) the extent to which the use of the
10 quality improvement funds has accomplished
11 the goals of section 640(a)(3)(B);

12 “(C) the effect of use of the quality im-
13 provement funds on teacher training, salaries,
14 benefits, recruitment, and retention; and

15 “(D) the effect of use of the quality im-
16 provement funds on the cognitive and social de-
17 velopment of children receiving services under
18 this subchapter.”.

19 **SEC. 20. REPEAL OF CONSULTATION REQUIREMENT.**

20 Section 657A of the Head Start Act (42 U.S.C.
21 9852a) is repealed.

22 **SEC. 21. CONFORMING AMENDMENTS.**

23 After consultation with the appropriate committees of
24 Congress and the Director of the Office of Management
25 and Budget, the Secretary of Health and Human Services

1 shall prepare recommended legislation containing tech-
2 nical and conforming amendments to reflect the changes
3 made by this Act.

4 **SEC. 22. REPEAL OF HEAD START TRANSITION PROJECT**
5 **ACT.**

6 The Head Start Transition Project Act (42 U.S.C.
7 9855–9855g) is repealed.

8 **SEC. 23. EFFECTIVE DATE; APPLICATION OF AMENDMENTS.**

9 (a) **EFFECTIVE DATE.**—Except as provided in sub-
10 section (b), this Act and the amendments made by this
11 Act shall take effect on the date of the enactment of this
12 Act.

13 (b) **APPLICATION OF AMENDMENTS.**—The amend-
14 ments made by this Act shall not apply with respect to
15 any fiscal year ending before October 1, 1998.