

Withdrawal/Redaction Sheet

Clinton Library

DOCUMENT NO. AND TYPE	SUBJECT/TITLE	DATE	RESTRICTION
001. list	re: Main List for HRC Roundtable [partial] (6 pages)	08/29/1996	b(6)

COLLECTION:

Clinton Presidential Records
First Lady's Office
Melanne Verveer
OA/Box Number: 17869

FOLDER TITLE:

HRC Roundtable: US Assistance to Central Europe and NIS [New Independent States]
Countires [binder]

2013-0534-S

rc1743

RESTRICTION CODES

Presidential Records Act - [44 U.S.C. 2204(a)]

- P1 National Security Classified Information [(a)(1) of the PRA]
- P2 Relating to the appointment to Federal office [(a)(2) of the PRA]
- P3 Release would violate a Federal statute [(a)(3) of the PRA]
- P4 Release would disclose trade secrets or confidential commercial or financial information [(a)(4) of the PRA]
- P5 Release would disclose confidential advice between the President and his advisors, or between such advisors [(a)(5) of the PRA]
- P6 Release would constitute a clearly unwarranted invasion of personal privacy [(a)(6) of the PRA]

C. Closed in accordance with restrictions contained in donor's deed of gift.

PRM. Personal record misfile defined in accordance with 44 U.S.C. 2201(3).

RR. Document will be reviewed upon request.

Freedom of Information Act - [5 U.S.C. 552(b)]

- b(1) National security classified information [(b)(1) of the FOIA]
- b(2) Release would disclose internal personnel rules and practices of an agency [(b)(2) of the FOIA]
- b(3) Release would violate a Federal statute [(b)(3) of the FOIA]
- b(4) Release would disclose trade secrets or confidential or financial information [(b)(4) of the FOIA]
- b(6) Release would constitute a clearly unwarranted invasion of personal privacy [(b)(6) of the FOIA]
- b(7) Release would disclose information compiled for law enforcement purposes [(b)(7) of the FOIA]
- b(8) Release would disclose information concerning the regulation of financial institutions [(b)(8) of the FOIA]
- b(9) Release would disclose geological or geophysical information concerning wells [(b)(9) of the FOIA]

**HRC
Roundtable
U.S. Assistance
to Central
Europe & NIS
Countries**

**PHOTOCOPY
PRESERVATION**

CIVIL SOCIETY/
DEMOCRACY BUILDING

PHOTOCOPY
PRESERVATION

Withdrawal/Redaction Marker

Clinton Library

DOCUMENT NO. AND TYPE	SUBJECT/TITLE	DATE	RESTRICTION
001. list	re: Main List for HRC Roundtable [partial] (6 pages)	08/29/1996	b(6)

COLLECTION:

Clinton Presidential Records
First Lady's Office
Melanne Verveer
OA/Box Number: 17869

FOLDER TITLE:

HRC Roundtable: US Assistance to Central Europe and NIS [New Independent States]
Countires [binder]

2013-0534-S

rc1743

RESTRICTION CODES

Presidential Records Act - [44 U.S.C. 2204(a)]

P1 National Security Classified Information [(a)(1) of the PRA]
P2 Relating to the appointment to Federal office [(a)(2) of the PRA]
P3 Release would violate a Federal statute [(a)(3) of the PRA]
P4 Release would disclose trade secrets or confidential commercial or financial information [(a)(4) of the PRA]
P5 Release would disclose confidential advice between the President and his advisors, or between such advisors [(a)(5) of the PRA]
P6 Release would constitute a clearly unwarranted invasion of personal privacy [(a)(6) of the PRA]

C. Closed in accordance with restrictions contained in donor's deed of gift.

PRM. Personal record misfile defined in accordance with 44 U.S.C. 2201(3).

RR. Document will be reviewed upon request.

Freedom of Information Act - [5 U.S.C. 552(b)]

b(1) National security classified information [(b)(1) of the FOIA]
b(2) Release would disclose internal personnel rules and practices of an agency [(b)(2) of the FOIA]
b(3) Release would violate a Federal statute [(b)(3) of the FOIA]
b(4) Release would disclose trade secrets or confidential or financial information [(b)(4) of the FOIA]
b(6) Release would constitute a clearly unwarranted invasion of personal privacy [(b)(6) of the FOIA]
b(7) Release would disclose information compiled for law enforcement purposes [(b)(7) of the FOIA]
b(8) Release would disclose information concerning the regulation of financial institutions [(b)(8) of the FOIA]
b(9) Release would disclose geological or geophysical information concerning wells [(b)(9) of the FOIA]

as of 8-29-96

Main List for 9-4-96 HRC Roundtable
re: U.S. Assistance to Central Europe
and the New Independent States

Atlantic Philanthropic Service Company
Mr. Joel Fleischman, President
20th Floor
521 Fifth Avenue
New York, NY 10175
PH: (212) 916-7340
Fax: (212) 922-0360
Status: no
Sub: no

a The Lynde and Harry Bradley Foundation
Mr. Michael S. Joyce, President
P.O. Box 92848
Milwaukee, WI 58202
PH: (414) 291-9915
Fax: (414) 291-9991
Status: no
Sub: Dr. Hillel G. Fradkin
DOB: [REDACTED]
SSN: [REDACTED] (b)(6) [061]

- will fax #

a Carnegie Corporation of New York
Dr. David A. Hamburg, President
437 Madison Avenue
New York, NY 10022
PH: (212) 371-3200
Fax: (212) 223-9873
Status: no
Sub: ~~Mr.~~ David Speedie, Program Chair
DOB: [REDACTED]
SSN: [REDACTED] (b)(6)

- will fax #

a The Eurasia Foundation
Mr. Rocky Staples, Acting President
1527 New Hampshire Avenue, N.W.
Washington, D.C. 20036
PH: 234-7370
Fax: 234-7377
Status: yes
DOB: [REDACTED]
SSN: [REDACTED] (b)(6)

- will fax #

a Guest: ~~Mr.~~ Sarah Carey (panelist)
PH: 429-3000
Fax: 429-3902
DOB: [REDACTED]
SSN: [REDACTED] (b)(6)

~~Chair, Eurasia Foundation~~ Chair, Eurasia Foundation

✓
Q Ford Foundation
Ms. Susan V. Berresford, President
320 East 43rd Street
New York, NY 10017
PH: (212) 573-5000
Fax: (212) 856-9330
Status: no
Sub: ~~Ms~~ Joseph Schull, Deputy Director
DOB: [REDACTED]
SSN: [REDACTED] (b)(6)

-will fax it

Q The Freedom Forum World Center
Mr. Charles Overby, President and Chief Executive Officer
1101 Wilson Boulevard
Arlington, VA 22209
PH: (703) 284-2862 or (703) 671-2654
Fax: (703) 284-3529
Status: no
Sub: ~~Ms~~ Lisa Ellis
DOB: [REDACTED]
SSN: [REDACTED] (b)(6)

-will fax it

✓
Q German Marshall Fund
Mr. Craig Kennedy, President
Suite 750
11 Dupont Circle, N.W.
Washington, D.C. 20036
PH: 745-3950 (Susan)
Fax: 265-1662
Status: yes
DOB: [REDACTED]
SSN: [REDACTED] (b)(6)

-will fax it

The Gilman Foundation
Mr. Howard Gilman, President
111 West 50th Street
New York, NY 10020-1204
PH: (212) 246-3300
Fax: (212) 262-4108 (Harry Brown)
Status: no
Sub: no

Q W. Alton Jones Foundation
Ms. Patricia Jones Edgerton, President
232 East High Street
Charlottesville, VA 22902
PH: (804) 295-2134
Fax: (804) 295-1648 (Martha Kendrick Campbell)
Status: no
Sub: ~~Ms~~ Diane Edgerton Miller, Sec.
DOB: [REDACTED] (b)(6)
SSN: [REDACTED]

W.K. Kellogg Foundation

Mr. Norman A. Brown, President and Chief Executive Officer

One Michigan Avenue East

Battle Creek, MI 49017-4058

PH: (616) 969-2115 (Mary Lynn Falbe)

Fax: (616) 968-0413

Status: no

Sub: Dr. John C. Burkhardt, Program Director, Leadership and
Higher Education

DOB:

SSN: (b)(6)

- will fax it

John S. & James L. Knight Foundation

Mr. Creed Black, President

Suite 3800

2 South Biscayne Boulevard

Miami, FL 33131

PH: (305) 539-0009

Fax: (305) 530-1534

Status: no

Sub: no

The John D. and Catherine T. MacArthur Foundation

Ms. Adele Simmons, President

Suite 1100

140 South Dearborn Street

Chicago, IL 60603-5285

PH: (312) 726-8000, ext. 419 (Rossi)

Fax: (312) 977-0200

Status: no

Sub: ~~W.~~ Kenette Benedict, Director of the Program on Peace and
International Cooperation and the Initiative in the former
Soviet Union (panelist)

DOB:

SSN: (b)(6)

- will fax it

Robert R. McCormick Tribune Foundation

Mr. Neil Creighton, President and Chief Executive Officer

Suite 770

435 North Michigan Avenue

Chicago, IL 60611

PH: (312) 222-3510 (Kristen)

Fax: (312) 222-3523

Status: yes

DOB:

SSN: (b)(6)

- will fax on Tues.

The Andrew W. Mellon Foundation

William G. Bowen, Ph.D., President

140 East 62nd Street

New York, NY 10021

PH: (212) 838-8400

Fax: (212) 223-2778

Status: no

(b)(6)

Sub: no

Joyce Mertz-Gilmore Foundation
Mr. Robert Crane, President
218 East 18th Street
New York, NY 10003
PH: (212) 475-1137
Fax: (212) 777-5226
Status: no
Sub: no

Charles Stewart Mott Foundation
Mr. William S. White, President
1200 Mott Foundation Building
Flint, MI 48502-1851
PH: (810) 238-5651
Fax: (810) 766-1753 *Jean Simi*
Status: ~~yes~~ yes

~~DOB:~~

DOB:

(b)(6)

SSN:

- will fax off

John M. Olin Foundation
The Honorable William E. Simon
22nd Floor
330 Madison Avenue
New York, NY 10017
PH: (212) 661-2670
Fax:
Status: no
Sub: no

The Pew Charitable Trusts
Ms. Rebecca Rimel, President
Suite 1700
2005 Market Street
One Commerce Square
Philadelphia, PA 19103-7017
PH: (215) 575-9050
Fax: (215) 575-4939
Status: no
Sub: no

The Rockefeller Foundation
Mr. Peter C. Goldmark, Jr., President
1133 Avenue of the Americas
New York, NY 10036
PH: (212) 869-8500
Fax: (212) 852-8277 (Dara Major)
Status: no
Sub: no

Rockefeller Brothers Foundation
Mr. Colin Campbell, President

1290 Avenue of the Americas
New York, NY 10104
PH: (212) 373-4241
Fax: (212) 315-0996

Status: no

Sub: William S. Moody, Program Officer for CEE (panelist)

DOB:

SSN: (b)(6)

Sabre Foundation, Inc.

Mr. Josiah Lee Auspitz

Suite 2-1

872 Massachusetts Avenue
Cambridge, MA 02139-3013

PH: (617) 628-6228

Fax: (617) 628-9441

Status: yes

DOB:

SSN: (b)(6)

Guest: Tania Vitvitski

Fax: (617) 868-7916

DOB:

SSN: (b)(6)

The Sarah Scaife Foundation
Mr. Richard M. Larry, President
Suite 3900

Three Mellon Bank Center

525 William Penn Place

Pittsburgh, PA 15219

PH: (412) 392-2914 (Alexis)

Fax: (412) 392-2922

Status: yes

DOB:

SSN: (b)(6)

Smith Richardson Foundation
Mr. Peter L. Richardson, President
60 Jessup Road

Westport, CT 06880

PH: (203) 222-6227 (Donna)

Fax: (203) 222-6294

Status: yes

DOB:

SSN: (b)(6)

The Soros Foundations
Mr. George Soros, President
Suite 3100

888 Seventh Avenue

New York, NY 10106

PH: 296-5380 (Karen Reynolds)

Fax: 296-5381

Status: no

- will fax

- left msg.

- will call back

- will fax

Sub: *Mr.* John Fox, Director, Open Society Institute (panelist)
DOB: [REDACTED]
SSN: (b)(6)

- will fax #

Starr Foundation
Mr. John J. Roberts, Vice Chairman and Trustee
American International Group, Inc.
70 Pine Street
New York, NY 10270
PH: (212) 770-~~6489~~ 6489
Fax: (212) 770-5253
Status: yes
DOB: [REDACTED]
SSN: (b)(6)

- fund other org. which do work
- Mr. Roberts bringing stuff
w/ him & not forgoing
it to us

Trust for Mutual Understanding
Mr. Richard S. Lanier, Director
Room 5600
30 Rockefeller Plaza
New York, NY 10112
PH: (212) 632-3405
Fax: (212) 632-3409
Status: yes
DOB: [REDACTED]
SSN: (b)(6)

The Winston Foundation for World Peace
Mr. John Tirman, Executive Director
Suite 710
1875 Connecticut Avenue, N.W.
Washington, D.C. 20009
PH: 483-4215
Fax: 483-4219
Status: yes
DOB: [REDACTED]
SSN: (b)(6)

- will fax #

SABRE FOUNDATION, INC.

Scientific Assistance Project
872 Massachusetts Avenue, Suite 2-1
Cambridge, MA 02139

telephone 617/868-3510
fax 617/868-7916
e-mail sabre@sabre.org

To: Katy Button, Office of the First Lady, The White House

From: Tania Vitvitsky, Sabre Foundation

Date: October 3, 1996

Fax: 202/456-6244

Pages: 2

Re: October 21, 1996 White House Event Suggested Invitation List

Attached please find the list organized into two sections: the 9:00 AM Bosnia and Romania Photo Event and a list of guests for the larger event. Included in the larger list are several members of the press, some having indicated an interest in attending the event.

Dr. Kemal Bakarsic, our Bosnian coordinator, will need to receive an invitation quickly (with a cc: of the invitation letter to the US Embassy in Zagreb) so that he can get a visa:

Dr. Kemal Bakarsic
Assistant Minister for Science
Ministry of Education, Science, Culture and Sports
Sarajevo
Bosnia & Herzegovina

Fax: 387 71 667-732

Dr. Bakarsic is one of the authors of an UNESCO report on higher education in Bosnia & Herzegovina. I attach the cover page for your information.

Should you have any questions about the list, please call me at your convenience.

In closing, I just wanted to add that just this morning, I had a very productive telephone conversation with Dr. Jacob Bergsland, on collaboration between Sabre and the Tuzla project. We agreed to further discussions in Washington.

Best regards and thanks for your help!

Tania Vitvitsky

- IGA → sine
heads-up re:
Buffalo mayor

Papers on Higher Education



**REPORT
ON HIGHER EDUCATION
IN
BOSNIA AND HERZEGOVINA:
HISTORICAL DEVELOPMENT,
PRESENT STATE, AND
NEEDS ASSESSMENT**

**Sreben DIZDAR
and
Bakaršić KEMAL**

1996

October 21, 1996 White House Event ---
Invitation List

I. 9:00 AM

Bosnia Photo Event:

Sven Alkalai
Ambassador
Embassy of Bosnia-Herzegovina
Washington, DC
Fax: 202-833-2061

Mr. Josiah Lee Auspitz
17 Chapel St.
Somerville, MA 02144

Dr. Kemal Bakarsic
Assistant Minister for Science
Ministry of Education, Science, Culture,
and Sports
Sarajevo
BOSNIA & HERZEGOVINA
Fax: 387 71 667-732

Mr. and Mrs. Leonard J. Baldyga
3622 North Vacation Lane
Arlington, VA 22207-3820
Fax: 703-524-2479

Sid Blumenthal
6805 6th St., N.W.
Washington, DC 20012

Beth and J. Linzee Coolidge
Dusky Foundation
101 Tremont Street, Suite 1000
Boston, MA 02108
Fax: 617-451-2398

Mr. Joseph Duffey
Office of the Director
United States Information Agency
Room 800
301 4th Street, S.W.
Washington, DC 20547

Mr. William A. Hunt
President
Solidarity Project
Dept. of History
St. Lawrence University
Canton, NY 13617
Fax: 379-5502

Anastasia Kokalianis
Financial Analyst/Donations Coord.
McGraw-Hill Companies
New York, NY
Fax: 212-512-3354

William A. Lindsay
Assistant Director, CFO
Harvard University Press
Cambridge, MA 02138-1499
Fax: 617-495-5898

Susan Monaghan
University of Illinois
2121 W. Taylor, Room 214
Chicago, IL 60612

Terence E. Monaghan
President
World Book Educational Products
2625 Butterfield Road, Suite 212 E
Oak Brook, IL 60521
Fax: 630/575-2681

Andras J. Riedlmayer
Bibliographer
Aga Khan Program for Islamic Arch.
Fogg Art Museum - Harvard Univ.
32 Quincy Street
Cambridge, MA 02138
Fax: 617-496-4889

Jeff Spurr
Cataloguer for Islamic Art
Fogg Art Museum
Harvard University
32 Quincy Street
Cambridge, MA 02138
Fax: 617/496-4889

Marian B. St. Onge Ph.D.
Director
Office of International Programs
Boston College
Chestnut Hill, MA 02167-3807
Fax: 617-552-0647

Mr. Ronald A. Ungaro
United States Information Agency
Bureau of Information
301 Fourth Street, SW
Washington DC 20547
Fax: 202-358-0329

Tania Vitvitsky
Sabre Foundation
872 Massachusetts Avenue
Suite 2-1
Cambridge, MA 02138
Fax: 617/868-7916

Romania Photo Event:

Mark D. Gearan
Director
Peace Corps
1990 K Street, NW
Washington, DC 20526

Mircea D. Geoama
Ambassador
Embassy of Romania
Washington, DC
Romania
Fax: 232-332-4852 +122

Frederick M. O'Regan
Regional Director
Peace Corps
Europe, Cent. Asia & Mediterranean
1990 K Street, NW
Washington, DC 20526
Fax: 202-606-2375

II. Guests for the Larger Event:

Mr. William Alpert
The William H. Donner Foundation
500 Fifth Avenue, Suite 1230
New York, NY 10110
Fax: 212/302-8734

Admin { J. Brian Atwood
Administrator
U.S. A.I.D.
320 21st Street
Washington, DC 20523

Mr. Kenneth Bartels
President
Paxton Properties, Inc.
685 Fifth Avenue, 17th Floor
New York, NY 10022
Fax: (212) 935-6998

Com. { William C. Bates III
Office of Rep. Anna G. Eshoo
308 Cannon
Washington DC 20515

Admin { Dr. James Billington
Librarian of Congress
Library of Congress
101 Independence Avenue, SE
Washington, DC 20540

Com. { Hon. Hank Brown
U.S. Senate
Washington, DC 20510
Fax: 202/224-2965

James V. Capua
President
William H. Donner Foundation, Inc.
500 Fifth Avenue, Suite 1230
New York, NY 10110

press { James Carroll
Boston Globe
P.O. Box 2378
Boston, MA 02107-2389
Fax: 617/523-4701

Admin { Marthana S. Cowart
Senior Advisor
USIA, Office of Public Liason
301 Fourth St., SW
Suite 601
Washington, DC 20547
Fax: 202-619-6988

Janet I. Crayne
Slavic Librarian & Bibliographer
University of Michigan
111G Hatcher Graduate Library North
Ann Arbor, MI 48109-1205
Fax: 313-763-6743

press { Paul Desruisseaux/Amy Magaro Rubin
International News
Chronicle of Higher Education
1255 Twenty-Third Street NW
Washington, D.C. 20037
Fax: (202) 296-2691

Jan Willem Dijkstra
Director of Marketing Services
Elsevier Science Publishers, B.V.
P.O. Box 211
1000 AE Amsterdam
The Netherlands
Fax: (31 20) 685 4171

Admin { Tom Dine
US AID
Bureau of East Euro. & NIS Affairs
321 21 Street
Washington, DC 20523

press { Marina Dundjerski
International News
Chronicle of Philanthropy
1255 Twenty-Third Street NW
Washington, D.C. 20037
Fax: (202) 466-2078

Mr. Dave Dunn
Dunn and Company
P.O. Box 968
75 Green Street
Clinton, MA 01510-0968
Fax: 508/368-7867

James P. Fabiani
President & Chief Operating Officer
Cassidy & Associates
700 Thirteenth Street, NW
Suite 400
Washington, DC 20005
Fax: (202) 347-0785

Admin { Barry Fulton
Bureau Chief
U.S. Information Agency
Information Bureau
301 4th Street, SW
Washington, DC 20547

Com. { Kristen Gilley
Congressman Ben Gilman's Office
U.S. House of Representatives
Washington, DC 20515
Fax: (202) 225-2541

Com. { Hon. Ben Gilman
Chairman
International Relations Committee
U.S. House of Representatives
Washington, DC 20515
Fax: (202) 225-2541

C. Boyden Gray, Esq.
Wilmer, Cutler, Pickering
2445 M Street, N.W.
Washington, DC 20037

Mr. Wade Greene
Rockefeller Family
Room 5600
30 Rockefeller Plaza *ML*
New York, NY 10112

Caroline Bailey & Michael M. Hornblow
2301 Connecticut Avenue, NW-Apt. 6A
Washington, DC 20008-1730

Admin. Mr. Reed E. Hundt
Chairman
Federal Communications Commission
1919 M Street, N.W.
Stop Code 010
Washington, DC 20554

Com. Mr. Jason E. Isaak
Legislative Assistant
Honorable Jim Kolbe
205 Cannon Building
Washington, DC 20515
Fax: 202-225-0378

Fred Kellogg
2027 Q Street, N.W.
Washington, DC 20009

Com. Hon. Edward Kennedy
US Senate #315
Washington, DC 20510
Fax: 202/224-2417

Cong. Senator John F. Kerry
U.S. Senate
421 Russell/ Senate Office Bldg.
Washington, DC 20510-2102

Cong. Kay Atkinson King Ph.D.
Employment and Housing Subcommittee
Government Operations Committee
B-349A Rayburn H.O.B.
1526 Longworth Building
Washington, DC 20515

Cong. Hon. Jim Kolbe
U.S. House of Representatives
Washington, DC 20515

Cong. Annette Lantos
Staff Director
Congressional Human Rights Caucus
1526 LHOB
Washington, DC 20515

Com. Hon. Tom Lantos
U.S. House of Representatives
Washington, DC 20515

Com. Hon. James Leach
U.S. House of Representatives
Washington, DC 20515

press Anthony Lewis
The New York Times
2 Faneuil Hall Marketplace
Boston, MA 02109
Fax: (617) 227-0856

Anne Marie Marans
2901 Garfield Terracc, NW
Washington, DC 20008

J. Eugene Marans, Esq.
Cleary, Gottlieb, Steen & Hamilton
1725 N St., N.W.
Washington, DC 20036
Fax: 202/429-0946 or 202/429-0942

Daniel Matuszewski
Executive Director
IREX
1616 H Street, NW
Washington, DC 20006
Fax: 202-628-8189

Guy Mayo
Chief Executive Officer
Project Bosnia
One Kendall Sq.
Bldg. 200, 4th floor
Cambridge, MA 02139
Fax: 617/621-8686

Ms. Anne D. Neal
3333 Prospect Street, NW
Washington, DC 20007

Laura Neal
Cassidy & Associates
700 Thirteenth Street, NW
Suite 400
Washington, DC 20005
Fax: 202-347-0785

Mr. Martin F. Oppenheimer
Koonce Securities, Inc.
6550 Rock Spring Drive
Suite 620
Bethesda, MD 20817
Fax: 301/897-9794

Jaroslav Pelikan
Sterling Professor of History
Yale University
P.O. Box 208324
New Haven, CT 06520-8324
Fax: 203-248-7402

Com. Hon. Thomas E. Petri
US House of Representatives
Rayburn House office Bldg.
Washington, DC 20515
Fax: 202-225-2356

Com. Mr. Carter Pilcher
Office of Senator Hank Brown
Hart Senate Office Building
Room 716
Washington, DC 20510
Fax: (202) 224-2965

Press Evelyn Powers
USA Today
1000 Wilson Blvd
14th floor
Arlington, VI 22229
Fax: 703/247-3282

John R. Price Jr.
General Manager, Government Affairs
Chemical Bank
270 Park Avenue
New York, NY 10017-2070
Fax: 212-270-5158

Prof. Richard E. Quandt
Department of Economics
Princeton University
Princeton, NJ 08544-1017
Fax: (609) 258-6419

Admin. Kevin Rafferty
OFR Program
Agency for Int'l Development
Office of PVC
7th Floor, SA-8
Washington, DC 20523-0804
Fax: 703-351-0228

Mr. Calvin Reid
News Editor
Publishers Weekly
249 West 17th Street
New York, NY 10011
Fax: 212-242-7216

Admin. Bill Reinckens
Office of Public Liason
USIA
301 4th Street, SW
Washington, DC 20547
202/619-4370

Com. Hon. Hal Rogers
U.S. House of Representatives
Washington, DC 20515

Mr. James Rowe
VP for Government, Community & P.A.
Harvard University
Massachusetts Hall
Cambridge, MA 02138

Dr. Irvin C. Schick
Senior Research Scientist
Bolt Beranek & Newman Inc.
49 Marion St.
#7-D
Brookline, MA 02146
Fax: 617-873-3457

Com. { Andrew K. Semmel
Legislative Assistant to Sen. Lugar
United States Senate
306 Hart Senate Office Building
Washington, DC 20510

Admin { Stan Silverman
Comptroller
USIA
301 4th Street, SW
Washington, DC 20541

Com. { Rep. Chris Smith
U.S. House of Representatives
Washington, DC 20515
Fax: (202) 225-7768

Admin { Paul Smith
Deputy Area Director
USIA, Room 868
301 Fourth Street, SW
Washington DC 20547

Com. { Ms. Nancy Stetson
Office of Senator Kerry
421 Russell Senate Office Building
U.S. Senate
Washington, DC 20510-2102

Ms. Marcia Townley
30 Rockefeller Plaza
Room 5600
New York, NY 10112
Fax: 212-649-5675

Ann Truxaw
Gifts in Kind Program
US Peace Corps
1990 K Street, NW
Washington, DC 20526
Fax: 202-606-1371

Com. { Trina Vargo
Office of Senator Edward Kennedy
US Senate #315
Washington, DC 20510
Fax: 202/224-2417

Prof. Sidney Verba
Director
Harvard University Library
Wadsworth House
Harvard University
Cambridge, MA 02138
Fax: 617-495-0370

Com. { David Wagner
Office of Rep. Chris Smith
U.S. House of Representatives
Washington, DC 20515
Fax: (202) 225-7768

Peter J. Wallison, Esq.
Gibson, Dunn & Crutcher
1050 Connecticut Ave., N.W.
Washington, DC 20036

Mr. J. Jackson Walter
P.O. Box 188
Waterford, VA 22190

Susan Mabe Walter
Vice President
General Electric Foundation
1331 Pennsylvania Avenue, NW
Suite 8005
Washington, DC 20004

Hon. John C. Whitehead
AEA Investors, Inc.
65 East 55th St., 27th fl.
New York, NY 10022

Ms. Deborah E. Wiley
Vice Chmn.
John Wiley & Sons Inc
605 Third Avenue
New York, NY 10158
Fax: 212 983-0529

(87)

34 Admin + con.

THE WHITE HOUSE

WASHINGTON

Meeting with U.S. Foundation Leaders on Assistance to Central and Eastern Europe and the NIS

September 4, 1996

AGENDA

- 10:30-10:45 **Opening Remarks**
First Lady Hillary Rodham Clinton
- 10:45-11:00 **Policy Overview: The Current State of U.S. Relations with Central and Eastern Europe and the New Independent States**
Deputy Secretary of State Strobe Talbott
- 11:00-11:30 **Public-Private Cooperation: Current Experience and Future Opportunities**
Panel:
Kennette Benedict, Director, Program in Peace and International Cooperation and the Initiative in the Former Soviet Union, The John D. and Catherine T. MacArthur Foundation;
Sarah Carey, Chair, Board of Trustees, The Eurasia Foundation;
John Fox, Director, Open Society Institute (Soros Foundation);
William Moody, Program Officer for Central and Eastern Europe, Rockefeller Brothers Fund
- 11:30-12:45 **Developing Cooperative Strategies: Discussion of Ways and Means**
Ambassador Richard Morningstar, Special Advisor to the President and Secretary of State on U.S. Assistance to the NIS, and James Holmes, Coordinator, U.S. Assistance to Central and Eastern Europe, co-moderators

Discussion will center on how the public and private sectors can leverage each other's programs to enhance sustainability and encourage the development of indigenous capabilities in areas such as independent media/free flow of information, health and social services, rule of law/crime and corruption, small business development and education. Specific mechanisms for coordination and cooperation will also be discussed, along with other topics which participants wish to raise.
- 12:45-1:00 **Conclusions and Follow-up**

THE WHITE HOUSE

WASHINGTON

**Meeting with U.S. Foundation Leaders
on Assistance to Central and Eastern Europe and the NIS**

List of Attendees

***Further information provided by each foundation follows under the corresponding tabs.

TAB

- A - **Bradley Foundation** (no information available)
Hillel Fradkin, Vice President for Academic, Cultural and International Programs
- B - **Carnegie Corporation of New York**
David Speedie, Program Chair, Preventing Deadly Conflict Program
- C - **Eurasia Foundation**
Rocky Staples, Acting President
Sarah Carey, Chair, Board of Trustees
- D - **Ford Foundation**
Joseph Schull, Deputy Director
- E - **Freedom Forum World Center**
Lisa Ellis, Executive Director, International Programs
- F - **German Marshall Fund**
Marianne Ginsburg, Program Officer
- G - **W. Alton Jones Foundation**
Diane Edgerton Miller, Secretary, Board of Trustees
- H - **W.K. Kellogg Foundation**
John C. Burkhardt, Program Director, Leadership and Higher Education
- I - **John D. and Catherine T. MacArthur Foundation**
Kennette Benedict, Director, Program on Peace and International Cooperation and the Initiative in the Former Soviet Union
- J - **Robert R. McCormick Tribune Foundation**
Neil Creighton, President and CEO

- K - **Charles Stewart Mott Foundation**
William S. White, President
- L - **Rockefeller Brothers Foundation**
William S. Moody, Program Officer for CEE
- M - **Sabre Foundation**
Josiah Lee Auspitz, Director
Tania Vitvitski, Director, CEE and NIS Programs
- N - **The Sarah Scaife Foundation**
Richard M. Larry, President
- O - **Smith Richardson Foundation**
Peter L. Richardson, President
- P - **The Soros Foundations**
John Fox, Director, Open Society Institute
- Q - **Starr Foundation**
John Roberts, Vice Chairman and Trustee
- R - **Trust for Mutual Understanding**
Richard Lanier, Director
- S - **The Winston Foundation for World Peace**
John Tirman, Executive Director

Administration Representatives

- **First Lady Hillary Rodham Clinton**
- **Melanne Verveer**
Deputy Chief of Staff, Office of the First Lady
- **Strobe Talbott**
Deputy Secretary of State
- **Ambassador Richard Morningstar**
Special Advisor on U.S. Assistance to the NIS
Department of State
- **James Holmes**
Coordinator for Central and Eastern Europe
Department of State
- **Thomas Dine**
Assistant Administrator for Europe and the NIS
United States Agency for International Development
- **Dan Fried**
Senior Director, Central and Eastern Europe
National Security Council

- **Steve Pifer**
Director, Russia/Ukraine/Eurasian Affairs
National Security Council
- **Barbara Turner**
Deputy Asst. Administrator for Central and Eastern Europe
United States Agency for International Development
- **Paul R. Smith**
Deputy Director for East European and NIS affairs
United States Information Agency
- **Carol Doerflein**
Director, Democratic Initiatives
Department of State
- **Christa Capozzola**
Special Counselor
Department of State
- **Michael Hurley**
Democracy Programs Officer
Department of State

Clinton Presidential Records Digital Records Marker

This is not a presidential record. This is used as an administrative marker by the William J. Clinton Presidential Library Staff.

This marker identifies the place of a tabbed divider. Given our digitization capabilities, we are sometimes unable to adequately scan such dividers. The title from the original document is indicated below.

Divider Title: _____ A

Clinton Presidential Records Digital Records Marker

This is not a presidential record. This is used as an administrative marker by the William J. Clinton Presidential Library Staff.

This marker identifies the place of a tabbed divider. Given our digitization capabilities, we are sometimes unable to adequately scan such dividers. The title from the original document is indicated below.

Divider Title: _____ **B**

PREVENTING DEADLY CONFLICT

In the post-Cold War world, ethnic, nationalist, religious, and territorial enmities both within and between states present new and formidable challenges to nations and to multilateral institutions. Deadly conflict can occur in a wide range of circumstances, including those where the hatreds and fears of groups are exploited in violent ways by political opportunists and those where the potential for uncontrolled possession of nuclear, chemical, and biological weapons menaces the lives of millions.

Under its program on Preventing Deadly Conflict, the Corporation supports independent research and discussion among scholars, policymakers, and informed members of the public to examine the interstate and intrastate conflicts of the post-Cold War era and to advance ideas for their prevention and more rapid resolution in the future. Fundamental issues include the origins of conflicts, the conditions that prevent or encourage their deadly outbreak, the conflicts that are most likely to escalate into violence, and the functional requirements for an effective system of prevention. This work is carried out under the subprogram, *preventing mass intergroup violence*, in close cooperation with the Carnegie Commission on Preventing Deadly Conflict, an operating program of the foundation.

The Corporation continues to examine ways of *strengthening democratic institutions* in the former Soviet Union and Eastern Europe, where especially ominous threats to international stability loom in the regions' ethnic and nationalist conflicts.

Support is given to creating and undergirding democratic institutions, building elements of a civil society in the former Soviet bloc, and rendering Western responses more effective against the threat of disintegration or internal destabilization in the new democracies.

The Corporation's subprogram on *cooperative security and nonproliferation* supports research and the interaction of scholars and policymakers toward developing a new international security strategy based on principles of cooperation rather than competition, integration rather than isolation, and transparency rather than secrecy. A primary emphasis is placed on the exploration of prospects for more robust efforts by the United States and other nations to curb the proliferation of advanced weaponry and weapons technology that threaten to raise the stakes dangerously in regional or intrastate conflicts.

PREVENTING MASS INTERGROUP VIOLENCE

Carnegie Commission on Preventing Deadly Conflict

c/o Carnegie Corporation of New York, 2400 N Street, N.W., Sixth Floor, Washington, DC 20037-1153

One-year appropriation of \$2,700,000 administered by the officers of the Corporation

Contact: Jane E. Holl, Executive Director
(202) 429-7979

Approximately seventy actual or emerging ethnic, nationalist, territorial, and religious conflicts exist throughout the world today. In their intensity and number, they have the potential to threaten world peace and have left international organizations struggling to find effective ways of responding.

To advance new ideas toward the prevention and resolution of deadly strife, the Corporation in 1994 established the Carnegie Commission on Preventing Deadly Conflict. Over a three-year period, the commission members — sixteen international scholars and policy practitioners — are pursuing three principal avenues of inquiry. First, they are analyzing the nature of deadly conflict within or between states and considering why and in what instances outside help is needed to deal with it. Second, they are considering how to structure that help effectively, given the blend of political, economic, military, and social tools that are at the disposal of the international community. Finally, they are identifying the roles that international institutions, regional organizations, individual states, and ad hoc coalitions can play in preventing mass violence.

Corporation president David A. Hamburg and former U.S. secretary of state Cyrus R. Vance cochair the commission. Political scientist Jane E. Holl is executive director. A final report will be issued in 1997 and will be followed by a range of dissemination activities. This appropriation supports the commission during 1995-96.

International Foundation for Socio-Economic and Political Studies

49, Leningradsky prospekt, Moscow, Russia 125468

Two-year grant of \$212,000 toward a project on problems of Russian security

Contact: Georgy Shakhnazarov, Director,
Centre for the Study of Global Problems
(011-7) 095-943-9821

Founded in 1991, the International Foundation for Socio-Economic and Political Studies, known as the Gorbachev Foundation, conducts research and training on a variety of domestic and international issues. This grant supports two strands of a project on problems of Russian security, led by Georgy Shakhnazarov, director of the foundation's Centre for the Study of Global Problems.

One strand will address the panoply of ideas on Russian national interests. In seminars in Moscow and in Russia's regions, the foundation's scholars will, in cooperation with regional and international experts, examine the implications of Russia's national interest as defined by the official regime, political parties and movements, and ethnic, religious, and social groups. They will assess which definitions best reflect the needs of the public and explore the linkage between specific Russian interests and global issues such as human rights.

A second strand will address the prevention and resolution of interethnic conflict in Russia. Scholars from Moscow's research institutes will determine how group identities, value orientations, and internal motivations are being shaped by Russia's political, economic, and social transitions in ways that might promote or thwart violent conflict. Their work will focus on Omsk, Bashkir, Tatar, and Kabardian, three regions whose degree of interethnic tension ranges from low to violent.

Reports from both parts of the project will be published in Russian and English.

Brown University

Thomas J. Watson Jr. Institute for
International Studies, Box 1948, 2 Stimson
Avenue, Providence, RI 02912

*Two-year grant of \$200,000 for a research
project on relations among Russia, Ukraine,
Belarus, Georgia, and Kazakhstan*

Contact: P. Terrence Hopmann, Director,
Center for Foreign Policy Development
(401) 863-3465

Four years after the collapse of the Soviet Union, Russia's relations with the other successor states are ambiguous. The outcome of these relations will do much to determine whether peace or violent conflict will be the dominant scenario in the post-Soviet sphere.

This grant supports a study, by the Center for Foreign Policy Development at the Watson Institute for International Studies at Brown University, of Russia's intentions and policies toward Belarus, Georgia, Kazakhstan, and Ukraine. A team of Brown scholars, in collaboration with colleagues in the former Soviet Union, will assess military, economic, ethnopolitical, and environmental threats to security in the region and consider what these threats imply for Russia and its neighbors. Four scenarios are envisioned: cooperative independence, in which the states continue to move apart peacefully; cooperative reintegration, a voluntary reestablishment of linkages among the states; coercive reintegration, Russia's use of force to reintegrate other states under its control; and chaotic disintegration, involving widespread instability and violence.

Project staff members led by center director P. Terrence Hopmann will identify policy options that regional leaders, states, and multilateral institutions might adopt to help shape a more stable and peaceful future. A final report published in English and Russian will be distributed to policymakers and scholars in the five countries and in the United States and Europe.

Center for Post-Soviet Studies

2 Wisconsin Circle, Suite 410, Chevy Chase,
MD 20815

*Ten-month grant of \$250,000 for a project on
Central Asia in the post-cold war era*

Contact: Roald Z. Sagdeev, Senior Associate
(301) 652-8181

Central Asia, a region with fifty million inhabitants, is the scene of the deadliest conflict to date in post-Soviet history: the civil war in Tajikistan. Conflicts are also germinating in Kazakhstan and Kyrgyzstan. At issue are not only interethnic tensions but the struggle for control over natural resources, notably the Caspian Sea's oil reserves, and domestic problems, such as Uzbekistan's authoritarian rule and harsh suppression of internal dissent. With Corporation funds, the Center for Post-Soviet Studies' project in Central Asia has organized seminars and working group meetings to analyze these conflicts and their implications for regional and Western security.

Under this renewal grant, the center is working with policymakers and conflict resolution specialists to devise and implement measures that might avert violent conflict in the region. It is strengthening its contacts with institutes and relevant ministries in Central Asia and Russia and planning a monthly bulletin, *Central Asian Update*, to help U.S. journalists, opinion leaders, and policymakers better understand developments in the region. Other activities include seminars for international organizations involved in conflict resolution, meetings with U.S. congressional staff members, and retreats with principals from conflicts in the region. Roald Z. Sagdeev, senior associate of the center, professor of physics at the University of Maryland, and director emeritus of the Moscow Space Research Institute, leads the project.

Search for Common Ground

1601 Connecticut Avenue, N.W., Suite 200,
Washington, DC 20009

*One-year grant of \$50,000 toward a project on
conflict resolution and negotiation in
Macedonia*

Contact: Eran Fraenkel, Executive Director,
Search for Common Ground in Macedonia
(202) 265-4300

Search for Common Ground (SCG) is a nongovernmental organization based in Washington, D.C., that attempts to implement preventive strategies in conflicts around the world. In 1993 it established a field operation in Skopje known as Search for Common Ground in Macedonia. The aim is to increase the former Yugoslav republic's capacity to resolve its ethnic disputes peacefully.

Eran Fraenkel, a consultant on the history, language, and ethnography of the former Yugoslavia, directs the initiative, for which this grant renews support. A fellowship program operated in partnership with the New York University Center for War, Peace, and the News Media brings together journalists from three newspapers publishing in Macedonian, Turkish, and Albanian and engages them in collaborative cross-ethnic investigative reporting exercises. SCG is also introducing conflict resolution studies into Macedonian schools and is commissioning a children's television series concerning ways to deal with conflict. A primer on conflict resolution was published in 1995 in Macedonian and Albanian. SCG continues to hold meetings in Skopje and Washington to encourage information sharing among agencies and groups involved in conflict resolution in Macedonia.

Support also comes from the National Endowment for Democracy, the Swedish International Development Agency, and the Winston Foundation.

Center for Strategic and International Studies

1800 K Street, N.W., Washington, DC 20006

*One-year grant of \$50,000 toward a project on
U.S. policies toward Europe*

Contact: Director, Simon Serfaty, Director,
European Studies (202) 775-3110

In 1995, with Corporation support, the Center for Strategic and International Studies undertook an analysis of the transition of Europe to a closer union of states. The project, led by Simon Serfaty, director of European studies at the center, is intended to promote broad bipartisan discussion of the United States' interest and policy toward the European Union (EU).

Areas of focus include the security role of the EU and how an increased role might affect U.S. security interests in Eastern Europe; the question of whether future transformation of the EU should take place simultaneously with the expansion of NATO; and lessons from cooperation among European states that might apply to other regions of the world. In addition to holding meetings of academic experts and North American and European policymakers, the center convenes working groups on such topics as transatlantic defense cooperation, telecommunications, and relations between the EU and Asia. The center commissions papers on selected working group topics. Some of the papers are revised for publication as in-house policy briefs or as books.

Also funding the project are the European Commission and the German Marshall Fund.

Project on Ethnic Relations

One Palmer Square, Suite 435, Princeton, NJ
08542-3718

*Two-year grant of \$1,200,000 toward a project
on ethnic conflict in eastern Europe*

Contact: Allen H. Kassof, President
(609) 683-5666

The Project on Ethnic Relations, founded in 1991 with Corporation support, is a permanent nongovernmental organization working with leaders in eastern, central, and southeastern Europe to prevent or resolve violent interethnic conflict. Through its regional offices, it conducts mediation and research, mainly concerning Hungarian minorities in Romania, Slovakia, Ukraine, and Serbia. The relationship of Hungarians to the majority populations in these countries is fraught with tension. The project, which has been led from the start by Allen H. Kassof, convenes roundtable discussions among representatives of contending parties and experts to discuss ways of improving mutual understanding and interethnic cooperation.

Since 1993 the project has worked to prevent the spread of violence in the Balkans. In 1995 it hosted a meeting in Belgrade, the first time that Albanian leaders in Kosovo agreed to meet with representatives of the Serb governing party. Efforts are under way to continue the dialogue. Other discussions are proceeding in Bulgaria to address the plight of the Roma, or Gypsy minorities, in the region. An advisory council is preparing a report on strategies for Roma-majority cooperation, which will be disseminated to members of the Organization on Security and Cooperation in Europe. This renewal grant joins support from other foundations to continue this work, which includes publication of a quarterly bulletin, an electronic mail network linking specialists, and creation of a new regional center in Budapest.

George Washington University
President's Office, Rice Hall, NW,
Washington, DC 20052

*One-year grant of \$225,000 toward a study to
develop new organizational strategies for the
prevention of internal conflict in eastern and
central Europe and the former Soviet Union*

Contact: Antonia Handler Chayes, Senior
Advisor (617) 354-5444

With Corporation support, the Consensus Building Institute of Cambridge, Massachusetts, conducted a study of international and regional organizations and procedures in conflict prevention and resolution in eastern Europe and in the Soviet successor states. The research has resulted in a book, *Preventing Conflict in the Post-Communist World: Mobilizing International and Regional Organizations*, coauthored by principal investigators Abram Chayes and Antonia Handler Chayes, to be published by the Brookings Institution in 1996. They conclude that, despite international organizations' broad range of activities to prevent interethnic conflict, successes have been limited.

This renewal grant supports the development of a "policy-oriented theoretical model" that will describe how these organizations might improve on their individual and collective records in preventing conflicts in the region. The Chayeses are drawing on such sources of success as low-key, early interventions in threatening conflicts and a consensus decision-making process among member states. They are also analyzing the growing opportunities for nongovernmental organizations to cooperate with international and regional organizations. In addition to synthesizing their findings in a second book, the Chayeses will organize lectures and discussions on related issues at the Forum on Conflict Prevention and International Organizations, held under the auspices of the Elliott School of International Affairs at George Washington University.

Partners for Democratic Change

823 Ulloa Street, San Francisco, CA 94127

*One-year grant of \$50,000 toward a project to develop ethnic conciliation commissions in central and eastern Europe*Contact: Raymond Shonholtz, President
(415) 665-0652

Partners for Democratic Change was established in 1989 to pursue grassroots solutions to ethnic, national minority, and religious conflicts in eastern Europe and Russia. Raymond Shonholtz is president.

Since 1993 Partners has created local ethnic conciliation commissions in Bulgaria, the Czech Republic, Slovakia, and Hungary. The commissions, modeled on community-based conflict resolution centers in the United States, comprise local leaders representing both the majority population and minority groups. These leaders receive training from the Partners field office in communication, negotiation, and the mediation of disputes, especially those generated by ethnic conflict. Issues addressed include inequality in the allocation of social services, discrimination in housing and employment, and police brutality.

With renewed support, Partners is expanding the commissions' activities in the four countries and helping them to achieve long-term sustainability. Partners will undertake a public education campaign to make the role of the commissions more widely recognized, and the commissions will offer training in dispute resolution to those who work most closely with minority groups: social workers, police officers, teachers, and nongovernmental leaders. Funding is also provided by the John D. and Catherine T. MacArthur Foundation and the U.S. Information Agency.

Aspen Institute Berlin

Inselstrasse 10, D-14129 Berlin, Germany

*One-year grant of \$100,000 toward the International Commission on the Balkans*Contact: David Anderson, Director
(011-49) 30-803-9041

In 1995 the Aspen Institute and the Carnegie Endowment for International Peace, both operating in Washington, D.C., created the International Commission on the Balkans. Its mandate is to examine the roots and dynamics of interrelated conflicts in the region and formulate long-term policies that outsiders — especially European and North American governments — might consider in order to create the foundations of lasting peace.

The commission, based at the Aspen Institute Berlin, has six members: former Belgian prime minister Leo Tindemans, who serves as chair; former U.S. presidential counsel Lloyd Cutler; Polish parliamentarian Bronislaw Geremek; former British parliamentarian John Roper; *Die Zeit* publisher Theo Sommer; and former French minister for social and health affairs Simone Veil. Through study missions to the Balkans, meetings with governmental and nongovernmental leaders, and discussions with Western governments and international organizations involved in the region, they are preparing a final report, to be issued in 1996. The report will analyze the origins and current status of eight actual and potential conflicts, involving Albania, Bosnia, Croatia, Greece, Macedonia, and Serbia. It will identify ways to reduce the risk of violence and suggest guiding principles for outside governmental actors. The recommendations will be publicized through publications, press briefings, and seminars.

David Anderson, director of the Aspen Institute Berlin, serves as commissioner *ex officio*. This grant joins support from other foundations.

Financial Services Volunteer Corps

425 Lexington Avenue, Twelfth Floor, New York, NY 10017-3909

One-year grant of \$125,000 for a program on conflict resolution in Europe and the former Soviet Union

Contact: Herbert S. Okun, Executive Director
(212) 692-1217

The Financial Services Volunteer Corps is a not-for-profit organization that channels the expertise of business people in the United States to countries in eastern and central Europe and the former Soviet Union that are moving to a market economy. In 1992 it used a Corporation grant to establish the Russian-American Bankers Forum, a collaborative effort with the U.S. Federal Reserve System to expedite reform and strengthen the capacity of Russia's banking and financial system.

The corps also works toward conflict resolution in Europe. Cochair Cyrus R. Vance and executive director Herbert S. Okun have responded to a request by United Nations Secretary General Boutros Boutros-Ghali and the U.N. Security Council to mediate in territorial disputes between Serbia and Croatia and between Greece and the former Yugoslav Republic of Macedonia. Their work on the latter resulted in the September 1995 signing of a landmark agreement between the two parties — a lifting of the Greek embargo against Yugoslav Macedonia and the normalization of relations.

Under this grant, Vance and Okun are continuing the work. Okun is also speaking at meetings on mediation and preventive diplomacy.

Stanford University

Center for International Security and Arms Control, 320 Galvez Street, Stanford, CA 94305-6165

Two-year grant of \$550,000 for a project on ethnic conflict in the former Soviet Union

Contact: Gail W. Lapidus, Senior Fellow
(415) 723-2435

Ethnic and nationalist conflicts in the former Soviet Union are not unique to the Russian republic of Chechnya. The civil war in Tajikistan has claimed 20,000 lives, Armenia and Azerbaijan have waged interstate conflict, and competition for control of the Crimean peninsula has soured Russian-Ukrainian relations.

This grant renews support for Stanford University's research program on conflict management in the successor states. Founded in 1994 at Stanford's Center for International Security and Arms Control, the program is based on the premise that the sources of current conflicts, while partly historical and partly the legacy of Soviet nationality policy, are triggered or exacerbated by struggles among elites for political power and the distribution of resources.

Starting in 1995, the center convened two off-the-record meetings of the national security advisors to the presidents of Georgia, Armenia, and Azerbaijan. The meetings are expected to result in the formation of a trilateral working group to explore such regional issues as cooperation in the use of energy resources and in the handling of refugees. Under a similar project in Kazakhstan, Kyrgyzstan, and Uzbekistan, experts from Stanford will travel to the region to participate in discussions of economic and environmental concerns. One aim of the visits is to identify midcareer specialists who might attend relevant seminars at Stanford. Gail W. Lapidus, a senior fellow at the center, directs the project.

University of Maryland, College Park
Center for International Development and
Conflict Management, 0145 Tydings Hall,
College Park, MD 20742

*One-year grant of \$125,000 toward a project
on conflict resolution in the Transcaucasus and
Central Asia*

Contact: Ernest J. Wilson, Director, Center for
International Development and Conflict
Management (301) 314-7711

The multidisciplinary Center for International Development and Conflict Management at the University of Maryland analyzes intrastate and interstate conflicts and promotes their peaceful resolution. With Corporation funds, the center launched its Partners in Conflict project in 1995. Under the project, eight scholars and journalists representing antagonistic communities in Armenia, Azerbaijan, and Georgia attended a semester-long training program at the university in conflict resolution, democracy, and human rights.

This grant supports the creation of conflict management centers and related projects in each of the three states. Center staff members will offer technical advice in creating the centers, develop and maintain a resource library to be made available electronically to the centers and to other regional partners in the Transcaucasus, and provide further training in conflict management skills and techniques. Project participants from the three states will identify the conflict management initiatives most needed there and will work with Western colleagues to adapt training and other materials to local needs and regional cultures. They will also produce course materials and syllabi for universities and conduct seminars in local communities.

Codirecting the project are Ernest J. Wilson, director of the center, and Edward Kaufman, a scholar of conflict resolution. Support also comes from the Winston Foundation.

STRENGTHENING DEMOCRATIC INSTITUTIONS

Harvard University

Russian Research Center, Archibald Cary Coolidge Hall, 1737 Cambridge Street, Cambridge, MA 02138

One-year grant of \$250,000 toward a study of elections in Russia

Contact: Timothy J. Colton, Director, Russian Research Center (011-44-1) 865-516-662

In December 1995, Russian voters — the third largest electorate in the world — chose deputies to both houses of the Federal Assembly, and in June 1996 they will elect the nation's president. Both elections will shape the nation's commitment to democracy and a market economy and will affect policies on privatization, crime, NATO expansion, and relations in the post-Soviet region. They will also shed light on the fundamental principles and attitudes of citizens who are still learning how to take charge of their own future.

With this grant, Timothy J. Colton, director of the Russian Research Center at Harvard University, and William Zimmerman, program director of the Center for Political Studies of the University of Michigan, are studying the two elections. By examining data gathered through pre- and post-election surveys, they will explain why voters make particular choices and how their choices are influenced by external factors, including the mass media. They will also outline trends in Russia's party organizations, civil society, political economy, and foreign policy. A research group based at the Institute of Sociology of the Russian Academy of Sciences is serving as the study's primary Russian partner.

The research will result in two books, whose working titles are *The Russian Voter* and *Markets, Democracy, and Russian Foreign Policy*.

CNA Corporation

Institute for Public Research, 4401 Ford Avenue, P.O. Box 16268, Alexandria, VA 22302-0268

Seven-month grant of \$150,000 for a project to provide technical assistance for local economic development in Russia

Contact: John D. Mayer, Director, Public Policy and Resources (703) 824-2380

Since 1993 the Corporation has supported a technical assistance program by the Institute for Public Research, a division of the CNA Corporation (CNAC), to aid local economic development in Russia. The program addresses one of the primary social problems in the St. Petersburg area: a growing, displaced, and potentially oppositionist community of unemployed military personnel. The aim is to retrain demobilized naval officers and encourage them to develop business initiatives to benefit the local economy.

Through the program, CNAC is paired with St. Petersburg's AVAKS Center, a private school that teaches general business practice and managerial skills to former members of the military. AVAKS faculty members consist of professors from St. Petersburg State University and other local institutions, and American business practitioners and educators. They offer retired officers and their families intensive seminars in marketing, financial planning, accounting, and management.

This final grant is enabling AVAKS to make a transition to an all-Russian staff and become self-supporting. In addition, the curriculum is being refined to include seminars on marketing and case studies on business practices. John D. Mayer, director of public policy and resources at the institute, manages the project in the United States.

Carnegie Endowment for International Peace
2400 N Street, NW, Washington, DC 20037

Two-year grant of \$575,000 toward the center for Russian and Eurasian programs in Moscow

Contact: Stephen Sestanovich, Vice-President,
Russian and Eurasian Affairs
(202) 862-7900

The Carnegie Endowment for International Peace's Moscow Center was founded with Corporation support in 1993. The center enables Russian scholars and policymakers, together with Western colleagues, to debate issues in Russian politics and foreign policy.

The work of the center is focused on eight program areas, among them politics and society in transition, interethnic issues, security and national identity, and nuclear nonproliferation. The core activity in each program is a seminar series, cochaired by a Russian associate of the center and a counterpart from the Endowment. The center also enables informed Russians to interact with high-level U.S. administration figures who visit Moscow. These activities are contributing to Russia's development of new modes of political discourse and new approaches to security questions, and to improved interaction and cooperation among Russian and U.S. policymakers and scholars.

With renewed support, the center is continuing this work. It is also launching a Russian policy journal, hosting debates among leaders of political parties, and monitoring Russia's June 1996 presidential elections. Stephen Sestanovich, the Endowment's vice president for Russian and Eurasian affairs, oversees the center, which receives added funding from other foundations.

Stanford University

Center for International Security and Arms Control, 320 Galvez Street, Stanford, CA 94305-6165

One-year grant of \$440,000 toward a project on industry restructuring and the political economy in Russia

Contact: David J. Holloway or Michael M. May, Codirectors (415) 723-1737 or (415) 723-9733

Since 1990, researchers at Stanford University's Center for International Security and Arms Control have received Corporation support to study and assist efforts to convert part of the Soviet, now Russian, defense industry to peacetime uses. Specialists in corporate organization, law, finance, and employee ownership have worked with six Russian defense plants seeking to privatize their factories and function in a market economy. The researchers have developed models that can be applied to a wide spectrum of military producers. The models include employee-owned joint stock enterprises, employee-owned holding companies, and joint ventures with Western companies.

This grant supports a continuation of the studies. In addition, the researchers are analyzing employment histories and transitions, especially from the state to the private sector, of some 5,000 workers in Russia, Bulgaria, the Czech Republic, Hungary, Poland, and Slovakia. The aim is to determine the probability of labor market restructuring in transition economies. They are also assessing new high-technology enterprises and convening workshops for those that are engaged in cooperative ventures with high-tech companies in the United States.

Center codirectors David J. Holloway and Michael M. May oversee the work, which also receives funding from the Eurasia Foundation.

Duke University

Terry Sanford Institute of Public Policy, Box
90241, Durham, NC 27708-0241

*Two-year grant of \$250,000 toward a project
on television and democracy in the former
Soviet Union conducted by the Commission on
Radio and Television Policy*

Contact: Ellen Mickiewicz, Director,
Commission on Radio and Television Policy
(919) 613-7330

Responsible, independent reporting — central to democratic societies — is becoming increasingly common in the Soviet successor states. Yet guidelines to foster objectivity and sensitivity in news coverage are needed there, especially for journalists who cover interethnic tensions and other controversial issues.

The Commission on Radio and Television Policy, founded by former president Jimmy Carter in 1990 and now a joint project of the Carter Center in Atlanta and the DeWitt Wallace Center for Communications and Journalism at Duke University, helps nations around the world develop media policies that promote democratic principles and problem solving. Its fifty members meet annually to consider media issues and to adopt recommendations based on analyses by working groups.

This grant supports a media fellows program that will bring ten television reporters, producers, and editors from the successor states to Duke for three weeks each. They will attend seminars on the role of the media in covering elections and interethnic conflict, the use and misuse of public opinion polls, and comparative restrictions on free speech. Corporation funds will also contribute to the publication of a guidebook on television and democracy, covering material on comparative principles and paths toward independent media.

Ellen Mickiewicz, a professor of public policy at Duke, heads the program, which receives additional funding from public and private sources.

Human Rights Watch

485 Fifth Avenue, New York, NY 10017-6104

*Two-year grant of \$100,000 toward activities in
the former Soviet Union*

Contact: Holly Cartner, Director, Human
Rights Watch/Helsinki (212) 972-8400

Violations of civil and political rights in the Soviet successor states serve to undermine the foundations of a sound democratic and civil society there. Both the conflict in Chechnya and ethnic-based violence elsewhere in Russia are hampering the nation's ability to promote human rights in adjacent regions, as it is obligated to do under the 1975 Helsinki accords. In that pact, the signatories — the Soviet Union, the United States, Canada, and the countries of Eastern and Western Europe — agreed to respect the human rights and basic freedoms of all of their citizens.

Human Rights Watch is both the founder and the U.S. member organization of the International Helsinki Federation for Human Rights. It has been instrumental in encouraging Russia to develop its own institutions of civil society and to influence human rights practices beyond its borders. The Moscow office, established in 1991, is a resource for human rights investigations throughout the Soviet successor states and for local human rights organizations, the media, and the diplomatic community.

With renewed funding, Human Rights Watch is evaluating human rights conditions in Uzbekistan. It is also investigating the status of prisoners in Russia, of refugees and internally displaced persons in southern Russia, and of women in Tajikistan. Supervising the work is Holly Cartner, director of Human Rights Watch/Helsinki. Support also comes from other foundations.

International Research and Exchanges Board
1616 H Street, NW, Washington, DC 20006

Two-year grant of \$1,300,000 toward support

Contact: Daniel C. Matuszewski, President
(202) 628-8188

Once a joint project of the American Council of Learned Societies and the Social Science Research Council, the International Research and Exchanges Board (IREX) became an independent organization in 1991. Its initial role was to promote cooperation among scholars from the United States, the Soviet Union, and Eastern Europe. Following the collapse of the Soviet empire, it launched initiatives to assist in the reconstruction of the successor states. Today IREX administers research, training, and collaboration projects from its main office and its sixteen field offices. Daniel C. Matuszewski is president.

This renewal grant supports IREX's work in four areas. It is offering seminars on the management of ethnic and regional conflicts and is helping scholars promote economic and political development as a precursor to greater stability. In addition, it is creating sites that provide noncommercial users with Internet access and that furnish training, support, and electronic publishing. It is also, in the attempt to develop a scholarly infrastructure, developing university curricula to meet research and training needs and holding seminars on ways to create research institutions and universities. Finally, it is strengthening the ability of its field offices to implement innovative programs and search for new resources.

Further support comes from other private foundations and from federal agencies.

Foundation for a Civil Society

1270 Avenue of the Americas, Suite 609, New York, NY 10020

Two-year grant of \$100,000 toward a project on conflict prevention in states building a civil and democratic society

Contact: Wendy W. Luers, President
(212) 332-2890

The Foundation for a Civil Society, led by Wendy W. Luers, is an independent organization that promotes democracy in societies emerging from political and social oppression. Its Project on Justice in Times of Transition, launched in 1991 to assist the shift from communism to democracy in Eastern and Central Europe, now also focuses on countries moving from civil conflict to peace.

The project brings governmental and nongovernmental leaders of countries in transition together with their counterparts from countries that have undergone similar transitions. Through conferences, workshops, and consultations, participants address such topics as civil/military relations, the demobilization of combatants, reconciliation and confidence-building in negotiations, and cooperation in resolving political and economic problems. Among the project's successes to date are the establishment of a truth and reconciliation commission in South Africa, a conflict resolution center in El Salvador, and a network of governmental and nongovernmental representatives working to improve the accountability of security services in post-Communist Europe.

With this grant, the foundation is exploring prospects for initiatives in Northern Ireland, the Middle East, Guatemala, Cambodia, Vietnam, and Bosnia. Additional support comes from the Charles Stewart Mott, Joyce Mertz-Gilmore, and Winston foundations.

Harvard University

John F. Kennedy School of Government, 79
John F. Kennedy Street, Cambridge, MA
02138

*One-year grant of \$575,000 for programs with
Russian military personnel and policymakers on
foreign and security policies*

Contact: Robert D. Blackwill, Lecturer in
Public Policy (617) 495-5863

This grant renews support to Harvard University's John F. Kennedy School of Government for programs that promote dialogue between high government officials of Russia and the United States. The programs are chaired by former ambassador Robert D. Blackwill, now a lecturer at the Kennedy School.

One program helps members of the Russian parliament, or Duma, better understand governance in a democracy. The program combines an academic curriculum at Harvard with travel to Washington, D.C., for interaction between the Russian participants and their counterparts in the U.S. Congress. Lecture topics for 1996 include congressional oversight of the federal budget, relations between the legislative and executive branches, the formation of political parties, foreign policy and international trade, and the role of parliaments in conflict prevention. A second program engages general officers of the Russian Federation at a time when morale in the armed forces is low and resources are limited. The 1996 session will bring together generals from the United States and Russia for discussions of the two countries' security interests, especially in Eurasia.

Also in 1996, the Kennedy School is launching a program for senior staff serving members of the Duma. A week-long seminar in Moscow will give an overview of the everyday functioning of Congress and will focus on the staffing of congressional committees, the Congressional Budget Office, and the Congressional Research Service.

Institute of USA and Canada Studies

Russian Academy of Sciences, 2/3 Khlebny
per., Moscow. 11814, Russia

*One-year grant of \$75,000 for support of a
project on international security and
democratization in Russia*

Contact: Sergei M. Rogov, Director
(011-7-095) 291-1166

A major aim of the Institute of USA and Canada Studies of the Russian Academy of Sciences is to increase the contribution that nongovernmental analysts make to the development of Russian security policy. Under the direction of Sergei M. Rogov, institute scholars consult with policymakers from the Duma, the presidential administration, the national security council, and the foreign and defense ministries.

With this grant, a core group of scholars from the institute are focusing on civil-military relations and arms control. The centerpiece of their work will be four conferences, on civil-military relations in a democratic society, the challenges of military reform, Russian-NATO security cooperation, and the maintenance and preservation of arms control regimes. Reports on each conference will be distributed to government officials, parliamentarians, journalists, and academics, with the aim of stimulating debate and encouraging constructive options for Russian military reform, defense industry restructuring, and stable civil-military relations. The reports will also be circulated to U.S. and other foreign experts.

In addition, the scholars are conducting research, training graduate students, and exchanging information with researchers from other former Soviet republics, Europe, and the United States. A biweekly newsletter on Russian foreign and defense policies will be produced for executive and legislative officials, leaders of public opinion, and academic researchers in Russia and abroad.

Harvard University

Center for International Affairs, 1737
Cambridge Street, Cambridge, MA
02138-3099

*One-year grant of \$60,000 for support of the
Harvard Russian Institute of International
Affairs in Moscow*

Contact: Michael C. Desch, Assistant Director,
John M. Olin Institute for Strategic Studies
(617) 495-4432

Although the collapse of the Soviet Union has led to intellectual freedom in Russia, social scientists there still face daunting challenges. Foremost is the financial crisis that plagues all of Russian academia. Social scientists must work at two or three jobs to make ends meet, and many have had to abandon scholarship altogether. Moreover, because universities and research institutes can no longer afford to subscribe to or purchase Western publications, it is increasingly difficult for Russian scholars to maintain contacts with Western colleagues.

A Corporation grant is funding the Harvard Russian Institute of International Affairs, which works to address these problems. Operating since 1994 as the Moscow branch of Harvard's John M. Olin Institute for Strategic Studies, the institute provides stipends to young Russian scholars of international relations, enabling them to develop research skills and work independently of state support. Each year it recruits seven to nine Russian fellows, most of them young diplomats or postdoctoral students of international affairs, who take a course on Western research methodologies and meet periodically to discuss and critique each other's work. The institute also promotes interaction between Western and Russian scholars by hosting seminars, conferences, and roundtables that are open to the public.

The Harvard Russian Institute is overseen by Michael C. Desch, assistant director and senior research associate of the Olin Institute.

Lawyers Committee for Human Rights

330 Seventh Avenue, Tenth Floor, New York,
NY 10001

*Two-year grant of \$100,000 toward monitoring
and analysis of legal reform in Russia*

Contact: Antti Korkeakivi, Consultant
(212) 629-6170

The 1993 Russian constitution includes language that protects the rights of individuals and establishes the precedence of international human rights law over national law. The challenge for lawyers and human rights advocates in Russia is to bridge the gap between theory and practice.

The Lawyers Committee for Human Rights has monitored and analyzed the Soviet legal system since 1987. In addition to publishing reports, it works with lawyers, human rights advocates, and local nongovernmental organizations (NGOs) to promote Russian compliance with international human rights agreements. With renewed support, the committee is analyzing new state-of-emergency legislation, which could allow the Russian government to scale back human rights standards during political crises. A report on the work will be sent to the Council of Europe, which Russia joined in February 1996 and which regularly assesses members' human rights records. The committee is also producing a study of Russia's refugee law, with the goal of enabling local NGOs to press officials to comply with international standards. To increase access to the judicial system in a country where lawyers are scarce and expensive and where legal aid does not exist, the committee is exploring the possibility that Russian lawyers working with U.S. firms in Moscow could provide *pro bono* services.

Finnish lawyer and journalist Antti Korkeakivi is in charge of the work in Russia. Additional support comes from other foundations.

STRENGTHENING DEMOCRATIC INSTITUTIONS

the Aspen Institute

P.O. Box 222, Queenstown, MD 21658

One-year grant of \$950,000 toward support of the international activities of the Congressional Program

Contact: Dick Clark, Director, Congressional Program (202) 736-5825

In 1986 Dick Clark, former U.S. senator and a senior fellow at the Aspen Institute, used Corporation support to launch a series of bipartisan conferences and smaller meetings for congressional leaders on relations between the United States and the Soviet Union. Since the end of the Cold War, the project has evolved to include U.S. policy toward the successor states and the new democracies of eastern Europe. The aim of the project is to help members of Congress bridge the gap between the scholarly analysis of foreign policy issues and the political realities of dealing with these issues as an elected official.

To date, seventeen five-day conferences have been attended by ninety-four members of Congress and by parliamentarians from Russia, Ukraine, and other European nations. Several of the project's core participants have subsequently convened study groups and have become increasingly engaged in committee hearings and floor debates about topics addressed by the conferences. In 1995, as part of a second series of annual conferences, members of Congress and the Russian Duma met in Madrid to discuss U.S.-Russian relations in the context of current domestic policies in both countries and the need to establish communication and cooperation across party lines in the Duma.

This grant continues Corporation funding during 1996, in support of a conference on U.S. relations with Russia, Ukraine, and the states of eastern Europe and a second Congress-Duma conference.

COOPERATIVE SECURITY AND NONPROLIFERATION

Carnegie Endowment for International Peace
2400 N Street, NW, Washington, DC
20037-1153

*Two-year grant of \$400,000 toward a project
on nonproliferation*

Contact: Leonard S. Spector, Director, Nuclear
Non-Proliferation Project (202) 862-7954

Created in 1984 and directed by Leonard S. Spector, the Nuclear Non-Proliferation Project of the Carnegie Endowment for International Peace is the preeminent nongovernmental source of information and analysis on the spread of nuclear weapons and technology. This grant renews support for four of the project's core activities.

The project's annual global proliferation survey, *Tracking Nuclear Proliferation*, spotlights declared and suspected nuclear programs around the world. Its full text, including charts and maps, is being placed on line. Project staff members are continuing to produce, jointly with the Monterey Institute of International Studies, *Soviet Nuclear Successor States: Nuclear Weapons and Sensitive Export Status Report*, a semiannual update on the production, deployment, and dismantling of nuclear weapons in Russia, Ukraine, Kazakhstan, and Belarus. The project is also adding its Nuclear Non-Proliferation Network, an on-line database shared by seventy-five member institutions in ten countries, to the World Wide Web. The project's annual international conference, the largest gathering of nonproliferation specialists in the world, is expected to bring together more than 300 representatives of governments, academia, and nongovernmental organizations in 1997.

Additional support comes from the Ford Foundation.

Institute for EastWest Studies
700 Broadway, New York, NY 10003

*Fourteen-month grant of \$250,000 toward a
project on subregional security and cooperation*

Contact: Oleksandr Pavliuk, Program
Associate (011-482-2) 268-595

Several subregional organizations emerged in Eastern and Central Europe in the early 1990s, including the Council of Baltic Sea States, the Carpathian Euroregion Group, and the Black Sea Zone for Economic Cooperation. All these groups have confined their agenda to economic, financial, and cultural cooperation in their respective regions. None has yet emerged as a forum for addressing issues of arms control and security.

The Institute for EastWest Studies, with offices in Poland, Slovakia, and the Czech Republic, was founded in 1981 to advance the goal of a secure, democratic, and integrated Europe. Its approach, that of promoting "strategic dialogue," is based on the premise that back-channel discussions are indispensable in resolving differences between, and promoting cooperation among, states. With this grant, the institute is examining the capacity of subregional organizations to enhance European security. Through consultations, field surveys, and meetings with policymakers and academics in European countries, staff members will explore the implications of these organizations' expansion, assess the potential for broadening their mandates to include political and security issues, and clarify their relationship with Europe's regional structures.

Directing the work from the institute's Warsaw office is historian Oleksandr Pavliuk. A final report will be distributed and discussed at the November 1996 summit of the Organization on Security and Cooperation in Europe, to be held in Lisbon.

Monterey Institute of International Studies
Center for Nonproliferation Studies, 425 Van
Buren Street, Monterey, CA 93940

One-year grant of \$70,000 toward research and education on the proliferation of weapons of mass destruction

Contact: William C. Potter, Director, Center for Nonproliferation Studies (408) 647-4154

The Center for Nonproliferation Studies at the Monterey Institute of International Studies was established with Corporation funds in 1991. Directed by William C. Potter, a professor of international policy studies, the center conducts research, training, and public outreach to strengthen nuclear safeguards and promote international commitment to nonproliferation. A major focus of attention is the danger of nuclear proliferation in the Soviet successor states.

This grant is enabling the center to absorb and continue two activities of the recently disbanded Program of Science and International Security of the American Association for the Advancement of Science (AAAS). The first activity is a summer orientation program for twelve to fifteen early- to midcareer government officials, parliamentary staffers, academics, journalists, and members of nongovernmental organizations from the post-Soviet states. The participants come to Washington, D.C., for two weeks of lectures and seminars on the formulation and implementation of U.S. arms control and nonproliferation policy.

The second activity is a project to promote Russia's implementation of the Chemical Weapons Convention. Russian and U.S. experts, officials, and scientists will attend a workshop in Russia to discuss how chemical weapons might be destroyed in ways that are responsive to concerns about safety, health, the environment, and proliferation. Findings and recommendations will be incorporated in a published report.

Atlantic Council of the United States
910 Seventeenth Street, NW, Suite 1000,
Washington, DC 20006

One-year grant of \$150,000 toward programs on Ukrainian-American relations and the reduction of the risks of nuclear proliferation

Contact: Andrew J. Goodpaster, Cochair
(202) 463-7226

The Atlantic Council of the United States, founded in 1961, works to advance U.S. global interests through analysis, prescription, and public education. This grant renews support for two of its projects, which are also funded by other foundations and the Defense Nuclear Agency, an arm of the U.S. Department of Defense.

The Further Reins on Nuclear Arms project is led by council cochair Andrew J. Goodpaster. Central to its strategy are consultations between council delegations, consisting of members of the project's steering group and its senior staff, and government and military leaders, academicians, and journalists from Europe, Asia, and the Soviet successor states. The consultations, which cover national security, economic growth, and international politics, encourage participants to rethink nuclear strategies and develop other measures to ensure mutual security. The consultations and related conferences held by the council will result in a series of publications.

The Future of Ukrainian-American Relations project, also headed by Goodpaster, sponsors semiannual exchanges in Kiev and Washington for policymakers from both countries. Among the aims are sharpening U.S. interests in and policies toward Ukraine, introducing Ukrainian leaders to alternative economic and military policies, and encouraging further development of Ukraine's nongovernmental sector. Policy recommendations are distributed to governmental and nongovernmental leaders in Ukraine and the United States.

University of Georgia Research Foundation
204 Baldwin Hall, Athens, GA 30602-7411

One-year grant of \$100,000 toward a project on export control enforcement in the former Soviet Union

Contact: Gary K. Bertsch, Codirector, Center for International Trade and Security
(706) 542-2985

The Center for International Trade and Security at the University of Georgia seeks to reduce the likelihood of weapons proliferation from the Soviet successor states by promoting the implementation of export controls there. Under a project begun in 1990, the center encourages the states to comply with existing nonproliferation export control regimes, to enact legislation consistent with international norms and agreements, and to establish systems to implement and enforce these laws.

Model export control centers have been established in Moscow and Minsk, and training programs for governmental officials and nongovernmental experts have been held at the center and in the successor states. The center promotes export control laws and systems through conferences, workshops, and reports. It publishes an annual series of *NIS Nonproliferation Export Control Reports* on Russia and also issues a quarterly newsletter detailing the progress of export control development. The project now also includes the alerting of weapons producers and exporters to their responsibilities. With renewed support, the center, codirected by Gary K. Bertsch and Igor Khripunov, is continuing this work and producing export control reports on Belarus, Kazakhstan, and Ukraine. Additional support comes from other foundations and the U.S. Department of Energy.

National Academy of Sciences
2101 Constitution Avenue, Washington, DC 20418

One-year grant of \$300,000 toward support of the Committee on International Security and Arms Control

Contact: Jo L. Husbands, Director, Committee on International Security and Arms Control
(202) 334-2811

Created in 1980, the Committee on International Security and Arms Control (CISAC) of the National Academy of Sciences draws together the highest scientific and technical talent to address security and defense issues. CISAC has received Corporation support for two types of projects. It has engaged scientists and policy analysts in the United States and their counterparts in other countries in discussions of ways to develop ideas that promote cooperative approaches to security problems. In addition, it has analyzed these problems and published its results and recommendations as policy reports. Jo L. Husbands is director.

This grant supports a continuation of CISAC's efforts to promote a cooperative security relationship with the Soviet Union through dialogue with a counterpart group at the Russian Academy of Sciences. CISAC is also establishing dialogues with Chinese scientists and policy experts on nonproliferation issues and is exploring ways to create similar discussions in Ukraine. A major focus of CISAC's policy dialogues and analyses is the encouragement of responsible policies on plutonium. It promotes and disseminates the recommendations of its 1994 study, *Management and the Disposition of Excess Weapons Plutonium*.

Funding also comes from the John D. and Catherine T. MacArthur Foundation.

Clinton Presidential Records Digital Records Marker

This is not a presidential record. This is used as an administrative marker by the William J. Clinton Presidential Library Staff.

This marker identifies the place of a tabbed divider. Given our digitization capabilities, we are sometimes unable to adequately scan such dividers. The title from the original document is indicated below.

Divider Title: _____ C

THE EURASIA FOUNDATION

The Eurasia Foundation, established in 1993 with a major grant from the US Agency for International Development, promotes democracy and market economies in the twelve countries of the New Independent States (NIS) of the former Soviet Union. The Foundation, working through offices in eight NIS cities and Washington, DC, provides grants to US-NIS partnerships and directly to NIS organizations. Since it was established, the Foundation has awarded over 1400 small grants, more than 70% of which were made directly to NIS organizations through the Foundation's field offices. The Foundation awards grants totaling approximately \$17 million per year.

Eurasia Foundation grants are awarded in three programmatic areas:

- **Economic Reform:** to facilitate the transition to market economies, this programmatic focus includes small business development, business education and management training, economics education, agribusiness and business policy advice and research.
- **Governmental Reform and the Non-Profit Sector:** to support greater citizen involvement in civic decision-making, the Foundation supports grants aimed at NGO development, public administration reform, public policy advice and research, legal reform and conflict resolution.
- **Media and Communications:** to improve the flow of information available to citizens of NIS countries, the Foundation supports programs in the print and broadcast media and improving access to the Internet.

In addition to its grantmaking program, the Foundation takes the lead in mobilizing private and government resources to address critical needs not being met by other assistance programs. The Foundation currently manages three special initiatives which leverage significant amounts of private and government support:

- **The Small Business Lending Program:** Working through local banking intermediaries, the Foundation provides small loans (up to \$100,000) to entrepreneurs on more favorable terms than are available from commercial lenders. The program not only provides much needed capital to the fledgling private sector, but also involves intensive and hands-on training for bank staff in credit analysis. The program currently operates in Ukraine and Armenia.
- **The Economics Education and Research Consortium (EERC):** The EERC was created to improve economic policy making through programs aimed at raising the level of the economics profession in Russia and Ukraine. In Ukraine, the EERC supports the development of a Master in Economics Program at the University of Kiev Mohyla Academy. In Russia, the EERC supports small research grants and a series of complementary activities which help build a professional community of Russian economists. Consortium members include: the Eurasia Foundation, the Open Society Institute (Soros), the Ford Foundation, the World Bank and the Pew Charitable Trusts.
- **The Media Viability Fund:** The Media Viability Fund is a joint effort of the Eurasia Foundation and the Media Development Loan Fund, with critical support from USAID. It aims to strengthen the independent media through two mechanisms: targeted, low cost loans to newspapers and other media organizations for the acquisition of equipment, and small grants for technical support, improved access to information and management training.

For more information on Eurasia Foundation programs, please contact Elizabeth Powers at 202-234-7370 (ext. 16) or visit the Foundation's home page at <<http://www.eurasia.org>>

Clinton Presidential Records Digital Records Marker

This is not a presidential record. This is used as an administrative marker by the William J. Clinton Presidential Library Staff.

This marker identifies the place of a tabbed divider. Given our digitization capabilities, we are sometimes unable to adequately scan such dividers. The title from the original document is indicated below.

Divider Title: _____ **D**

The Ford Foundation in Russia and Eastern Europe

The Ford Foundation is a private, non-profit philanthropic institution dedicated to international peace and the advancement of human welfare throughout the world. Under the policy guidance of a Board of Trustees, the Foundation grants and lends funds to governmental and non-governmental institutions for educational, cultural, research, training, developmental, and community-oriented efforts that hold promise of producing significant advances in the fields of its program interests. The Foundation is not driven by a particular theory or ideology of economic, political, and social development. Rather, it is guided by a core set of values: a belief in democracy and human rights, support for world peace and the alleviation of poverty, and a commitment to promote pluralism and equality of opportunity and to eradicate discrimination based on race, ethnicity, or gender.

Established in 1936 by the American industrialist Henry Ford and his son Edsel, the Foundation began as a small philanthropy that contributed to charitable and educational institutions in their home state of Michigan. In 1950, following settlement of the founders' estates, the Foundation was transformed into a national philanthropy with substantial assets. It opened its first overseas office in India in 1950 and subsequently developed an extensive international program of giving. Today, in addition to its New York headquarters, the Foundation has offices in 17 countries in Asia, the Middle East, Africa and Latin America, and grantmaking extends to over 50 countries worldwide. In January 1996, a field office was opened in Moscow to direct Foundation programming in Russia, and planning is underway for a new field office to be opened in Hanoi, Vietnam.

Grantmaking is conducted by a Program Division which currently encompasses seven broad program areas: Urban Poverty, Rural Poverty and Resources, Rights and Social Justice, Governance and Public Policy, Education and Culture, International Affairs, and Reproductive Health and Population. Program priorities in particular regions and countries usually are defined more narrowly in an evolving fashion which reflects local needs and opportunities as well as available resources.

During the post-World War II period, the Foundation began to sponsor a range of activities involving the Soviet Union and Eastern Europe, particularly in the area of educational and cultural exchange. Between 1950 and 1988 the Foundation spent some \$60 million to improve Western understanding of the region, analyze key issues in East-West relations, and to promote freedom of expression, cultural pluralism, and respect for human rights.

After 1989, the Foundation expanded and refocused its work in response to events taking place in Eastern Europe and the former Soviet Union. Where previously Foundation activities were largely limited to support of intermediary Western organizations with an interest in the region, grantmaking now shifted to direct support of indigenous organizations engaged in the transformation process in these societies. Currently, the Foundation is making grants directly in Russia, Poland, Hungary, and the Czech and

Slovak Republics, and it also supports some regional activities that extend across a wider geographic area. Between 1989 and 1996, the Foundation has spent over \$40 million on projects and activities in the region.

Three broad goals currently provide a thematic focus for our work: (1) to promote economic reform and development and to support efforts to address the social dimensions of building market-based economies; (2) to advance the reform of political and legal institutions, broaden public access to justice, and promote the integration of international human rights standards in domestic law and practice; and (3) to strengthen advanced research and training in the social sciences. The Foundation also continues to provide some support for enhancing U.S. and Western capacity to understand events in the region. Our grantmaking supports research, policy analysis, training and capacity-building, and institutional development. Increasingly, the Foundation is seeking to work in a sustained fashion with a defined range of partner institutions in countries of interest, primarily at the non-governmental level, in order to build their capacity and facilitate their consolidation as enduring civil society actors.

The Foundation's grantmaking in Russia is now conducted out of a Moscow field office and program guidance is exercised by a Representative and two Program Officers. Grantmaking in Eastern Europe is conducted out of the New York headquarters under the direction of a program unit responsible for developing projects, evaluating grant applications, and recommending grants. Staff in New York and Moscow share a common set of program interests and collaborate closely on regional activities which link Russia and Eastern Europe.

Clinton Presidential Records Digital Records Marker

This is not a presidential record. This is used as an administrative marker by the William J. Clinton Presidential Library Staff.

This marker identifies the place of a tabbed divider. Given our digitization capabilities, we are sometimes unable to adequately scan such dividers. The title from the original document is indicated below.

Divider Title: _____ E

**THE FREEDOM FORUM
THE FREEDOM FORUM WORLD CENTER
1101 WILSON BLVD.
ARLINGTON, VA. 22209
(703) 528-0800**

The Freedom Forum is the largest media foundation in the United States and fosters free press, free speech and free spirit worldwide.

The foundation's international programs focus on:

- organizing international forums on global media issues;
- developing and managing a network of news and journalism libraries, ten of which are located in Central and Eastern Europe and Russia;
- administering fellowship programs that bring international journalists, including those from Central and Eastern Europe and the former Soviet Union, to the USA for study and internships; and
- training international journalists through partnerships with other media organizations.

The Freedom Forum's European Center in London, United Kingdom serves as the hub of the foundation's initiatives in Europe. Other international offices include an Asian Center in Hong Kong and a Latin American Center in Buenos Aires, Argentina.

Clinton Presidential Records Digital Records Marker

This is not a presidential record. This is used as an administrative marker by the William J. Clinton Presidential Library Staff.

This marker identifies the place of a tabbed divider. Given our digitization capabilities, we are sometimes unable to adequately scan such dividers. The title from the original document is indicated below.

Divider Title: _____ **F**

Program Area

Reform in Central and Eastern Europe

In 1989, as possibilities for democratic practices and processes multiplied in Central and Eastern Europe, the Fund integrated several of these nations into its programs. The Fund supports work in Albania, Bulgaria, the Czech Republic, Hungary, Poland, Romania and Slovakia under two programs: Political Development and Environmental Reform.

Political Development

This program seeks to contribute to the development of political pluralism. Grants encourage public participation in local and national decisionmaking processes; promote cooperation between governmental and nongovernmental organizations (NGOs), networks and institutions; enhance the capability of NGOs to educate or to advocate issues; and strengthen the news reporting of the local and national independent press.

In 1992 the GMF began to administer a special project under this program, the United States Democracy Fund for Central and Eastern Europe, with funds from the United States Agency for International Development.* The project is particularly concerned with supporting individuals and organizations engaged in defending the rights of minorities and ethnic groups.

The GMF funds projects in this program that support training, leadership and organizational development and network building.

Environmental Reform

This program makes grants directly and through the Environmental Partnership for Central Europe, a Fund project supported by a consortium of foundations. Both programs encourage environmental reform and public participation. Direct grants support projects with a national and regional outreach, and local pilot projects if these are not eligible for support from the Partnership. The Environmental Partnership focuses principally on local efforts.

Projects help NGOs develop strategies to address environmental problems; assist in the transfer of environmental management tools to environmental professionals; enhance communication between different levels of government, industry and NGOs; and strengthen environmental media and community education.

Funding is given for fellowships, conferences, workshops and organizational development.

* On the following pages, grants partly or totally funded by the U.S. Agency for International Development are labeled "USIDF."

Clinton Presidential Records Digital Records Marker

This is not a presidential record. This is used as an administrative marker by the William J. Clinton Presidential Library Staff.

This marker identifies the place of a tabbed divider. Given our digitization capabilities, we are sometimes unable to adequately scan such dividers. The title from the original document is indicated below.

Divider Title: _____ **G**

W. ALTON JONES FOUNDATION

232 EAST HIGH STREET

CHARLOTTESVILLE, VIRGINIA 22902-5178

The goal of the W. Alton Jones Sustainable World Program is to build a sustainable society by developing new ways for humanity to interact responsibly with the planet's ecological systems. The Foundation divides this program into four areas: maintaining biodiversity, promoting environmental economics, eliminating systemic contamination, and promoting renewable energy and addressing climate change. This program does not operate in Central Europe, but does undertake grant making in Russia. In particular, the Foundation has concentrated its efforts on addressing industrial and military pollution in the former Soviet Union and facilitating the protection of biodiversity in Siberia. The Foundation has placed added emphasis on improving electronic communication in the former Soviet Union to ensure the ability of grassroots advocates throughout the region to build common cause, to seek expertise beyond their immediate locality, and to benefit from other experiences.

Other areas of Foundation concentration include –

Maintain Biodiversity:

- In three watersheds:
 - Amazon
 - Coastal Louisiana
 - Pantanal and the Paraná-Paraguay River watershed
- In three forest ecosystems:
 - Pacific Northwest
(including Southwestern Canada)
 - Irian Jaya
 - Siberia
- Through international agreements and treaties related to:
 - Biodiversity
 - Forests
- Promote wise policies in the multilateral development banks
- Promote the sustainable use of biological resources

Promote Economics for a Sustainable Planet:

- Create models which value biodiversity, balance the economy with the environment and assess the full cost of human activity on the planet
- Promote the understanding and economic value of biodiversity
- Balance economic and environmental needs
- Promote sustainable trade policies through international agreements, with an emphasis on the Western Hemisphere

Develop new sources of energy usage and address the related climate changes:

- Promote renewable energy in developing countries
- Develop renewable urban energy policy in Brazil and Russia, Ukraine and the other Newly Independent States
- Promote a hydrogen economy (transportation and stationary sources)
- Support multinational climate treaties

Eliminate Systemic Contamination:

- In Aquifers
- In three areas affecting the health of children:
 - Pesticides and endocrine disrupters
 - Air pollution
 - Lead poisoning
- In Eastern Europe, Russia, and the other Newly Independent States

Environmental Law and Media:

Promote and develop activities that complement the foundation's areas of environmental grantmaking

The goal of the W. Alton Jones Secure World Program is to build a secure world, free from the nuclear threat. The Foundation divides its work in this program into four areas: ensuring common security, eliminating nuclear weapons, preventing the massive release of radioactive materials, and assessing the full costs of being a nuclear state. This program does not conduct grantmaking in Central Europe; however, grantmaking is done in Western Europe, and in Russia and the other Newly Independent States. In these regions, among others, the Foundation seeks to promote common security and confidence-building measures, to facilitate further nuclear weapon reductions, to prevent the proliferation of weapons of mass destruction, and to prevent widespread nuclear contamination (as the result of nuclear accidents, hostile or terrorist uses of nuclear materials, storage and transport of plutonium, or unsafe disposition of nuclear waste).

Areas in which the Foundation concentrates are:

Common Security:

- Global strategy
- Specific regions
 - Former Soviet Union
 - Middle East, including Iran
 - Northeast Asia (Korea, China, Japan)
 - South Asia (India, Pakistan, China)
 - Europe (Conference on Security and Cooperation in Europe)

Eliminate Nuclear Weapons:

- Stop development, production and testing
- Prevent proliferation
- Prevent use
- Dismantle existing weapons

Prevent Massive Release of Radioactive Material:

- Eliminate plutonium
- Prevent nuclear accidents
- Dispose of nuclear waste
- Prevent hostile use of radiation

Assess the Full Costs of Being a Nuclear State:

- Economic
- Societal

Clinton Presidential Records Digital Records Marker

This is not a presidential record. This is used as an administrative marker by the William J. Clinton Presidential Library Staff.

This marker identifies the place of a tabbed divider. Given our digitization capabilities, we are sometimes unable to adequately scan such dividers. The title from the original document is indicated below.

Divider Title: _____ **H**

W.K. Kellogg Foundation Support for the Salzburg Seminar

The Kellogg Foundation regards the Salzburg Seminar as a powerful tool for strengthening and building new networks between its grantees and other geographic regions of the world, particularly Eastern Europe.

The Salzburg Seminar was founded by three Harvard students, following World War II, to provide an international forum to promote dialogue and understanding in a neutral setting. The seminars, held at Schloss Leopoldskron, a 17th-century estate outside of Salzburg, have evolved into highly regarded educational experiences. Sessions are usually limited to 55 fellows (from 25 or more countries) with government, business, media, nonprofit, and academic backgrounds.

The Kellogg Foundation has supported the Salzburg Seminar since the mid-1960s, and since 1991, has provided more than \$5.2 million to fund a total of 16 seminars. Due partly to Foundation support, the Seminar has increased its operations from a few weeks per year to a year-round academic program. Seminar topics have included: improving management for nonprofit organization leaders; studying new global issues in philanthropy and volunteerism; and, promoting the understanding and practice of philanthropy in the United States, Eastern and Western Europe, and the former Soviet Union.

In the Foundation's experience, the seminars provide fertile ground for new insights and collaboration between people who might not otherwise meet. These linkages, be they in health, agriculture, or youth development, help leverage the Foundation's grantmaking in exciting, yet cost-effective ways. For seminar attendees from Kellogg projects, the Salzburg experience extends the impact of Foundation grantmaking to a new dimension.

Geographically, the Kellogg Foundation will continue to focus its grantmaking on the United States, Latin America and the Caribbean, and southern Africa. Yet through special opportunities such as the Salzburg Seminar, it is committed to helping leaders from Central and Eastern Europe gain new skills and insights about social issues and the great potential for a strong nonprofit sector.

W.K. Kellogg Foundation Strategy for Worldwide Involvement

The Kellogg Foundation concentrates its grantmaking in the United States, Latin America and the Caribbean, and southern Africa. However, through fellowships and other educational programs, the Foundation may occasionally extend support to other areas of the world as well. In such instances, Foundation grants are limited to funding endeavors which directly support these networks and their related activities.

Clinton Presidential Records Digital Records Marker

This is not a presidential record. This is used as an administrative marker by the William J. Clinton Presidential Library Staff.

This marker identifies the place of a tabbed divider. Given our digitization capabilities, we are sometimes unable to adequately scan such dividers. The title from the original document is indicated below.

Divider Title: _____ I

John D. and Catherine T. MacArthur Foundation

Initiative in the Newly Independent States of the Former Soviet Union

About the Initiative

The MacArthur Foundation in 1992 established a grantmaking program in the independent states of the former Soviet Union, including Armenia, Azerbaijan, Belarus, Estonia, Georgia, Kazakhstan, Kyrgyzstan, Latvia, Lithuania, Moldova, Russia, Tajikistan, Turkmenistan, Ukraine, and Uzbekistan.

The MacArthur Foundation Initiative seeks to:

- Support independent research and creative approaches to pressing societal issues
- Promote the role of the scholar-practitioner in building civil society
- Enhance the skills and capacities of scholars and practitioners
- Sustain and encourage links between scholars and practitioners in the independent states of the region; and support interaction between those individuals and their colleagues abroad

About the Foundation

The MacArthur Foundation is a non-profit charitable foundation, established by John D. and Catherine T. MacArthur in 1978. The Foundation is based in Chicago, Illinois, United States. In addition to the Initiative in the Independent States of the Former Soviet Union, the Foundation has established grantmaking programs in a number of substantive areas: health, education, environment, population, international peace and cooperation, individual creativity, mass communications, and in Chicago and Palm Beach County, Florida, the arts and community development.

PROGRAM AREAS

The MacArthur Foundation Initiative concentrates on four issue areas:

Law and Society

Development of legal culture; public understanding of the role of legal procedures to protect citizens' rights; legal frameworks for economic and political reform; separation of

government powers; constitutional and judicial practices; law enforcement; social welfare; legal basis for the development of a non-profit, non-governmental sector; civilian oversight of defense and foreign policy; legal basis of economic, political, and security policies between the independent states of the former Soviet Union

Human Rights

- Enhancement of civil liberties, the study of discrimination and stereotyping; the rights of women and minorities, the impact of economic changes on women and minorities; supporting the equal participation in society of women and minorities; women's reproductive rights; ethnic conflict and its resolution; and mechanisms for monitoring human rights violations

Energy and the Environment

Creative approaches to efficient energy use and conservation; economic and environmental impacts of energy production and use; renewable and alternative energy sources; the preservation of ecosystems; the preservation of biodiversity; legal and economic aspects of environmental protection and resource management; promoting sustainable development

Development of Independent Mass Media

Fostering plural viewpoints; promoting non-commercial, public interest programming; protecting the rights and freedoms of the mass media and media professionals; broadening public understanding of media rights, legislation, and regulation; strengthening communication and cooperation of mass media enterprises throughout the independent states; developing strategies for the sound management of media enterprises

APPLYING FOR GRANTS

Eligibility

Grants are made to individuals, groups, and organizations within the territories of Armenia, Azerbaijan, Belarus, Estonia, Georgia, Kazakhstan, Kyrgyzstan, Latvia, Lithuania, Moldova, Russia, Tajikistan, Turkmenistan, Ukraine, and Uzbekistan. Women and emerging specialists are especially encouraged to apply.

The Foundation cannot support for-profit business activities. Grants are not permitted to support political parties, or political activities.

Review Process

The initiative relies on peer review of proposals and convenes international advisory committees to ensure fair and thorough consideration of each proposal. The Foundation regrets that it is unable to respond to inquiries about the status of a proposal during the review process.

Grantmaking Activities

The Initiative is comprised of three categories of grants:

- Research and Writing Grants for Individuals
- Foreign Travel Grants
- Special Project Grants

RESEARCH AND WRITING GRANTS FOR INDIVIDUALS

These grants support research and writing projects which have practical applications for policy making and society. Interdisciplinary analysis is encouraged as well as historical approaches with demonstrated contemporary relevance.

Individuals researchers may request funding for a period up to 18 months. Grant funds may cover stipend, research materials, research-related travel, publication subsidy, and equipment necessary for the execution of the project.

How to Apply

The Research and Writing program is an annual competition. Application forms are available from the Moscow and the Chicago offices. Applications are accepted through either office. Deadlines for this year can be found at the end of this brochure.

The competition is open to individuals, including scholars, journalists, policy analysts and other practitioners. Eligible applicants must be citizens and current residents in one of the states of the former Soviet Union during the proposed grant period.

Applicants must submit, in either English or Russian, five copies of the following materials:

1. An application form to be obtained from the MacArthur Foundation's Moscow or Chicago office

2. A budget detailing project expenses
3. Two letters of reference from colleagues familiar with the applicant's work and the specific project proposal
4. A curriculum vitae including a list of publications
5. A sample of previous writing

Reporting

Grantees will be expected to submit reports to the Moscow office on the progress of the research and to account for the use of funds spent in support of the project. Detailed information on reporting requirements will be included in the grant agreement.

FOREIGN TRAVEL GRANTS

These grants are designed to facilitate travel abroad for individuals from any of the Independent States who are invited to give a paper at a conference or to participate in a workshop relevant to their profession. The Foundation encourages applications from those who have not previously had opportunities to travel abroad for professional and scientific purposes.

Applications will be accepted from all regions of the former Soviet Union for conferences in the program areas of the Initiative. Applications from groups will not be accepted. Conference participants must apply on their own behalf.

Grants will contribute towards round-trip transportation and related travel costs. No funds will be awarded to support the travel of family members.

Please consult the insert to this brochure for deadlines.

HOW TO APPLY

Applicants must submit four copies of the following materials, in either English or Russian:

1. A one-page letter of request, addressing the need to attend the conference or workshop and the topic of the presentation
2. An official invitation from the conference hosts or organizers which states the dates,

location, and subject matter of the conference and explicitly invites the applicant to participate as a speaker or panelist (Invitations sent by telex or electronic mail will not be accepted)

3. A one-page budget estimating the costs of conference participation and disclosing all sources of financial support for the travel other than the MacArthur Foundation (all costs must be estimated in U.S. dollars) costs must be submitted in US dollars

4. A curriculum vitae

5. An abstract of the paper to be presented

Reporting

Foreign travel grantees are required to submit a report on their conference participation and an accounting of their expenditures.

SPECIAL PROJECT GRANTS

Special project grants are awarded to support groups or organizations engaged in research and practical work in the areas of priority interest to the Initiative. The MacArthur Foundation will identify prospective projects and organizations for grant support and invite submissions of proposals. The Foundation is unable to accept unsolicited proposals for special project funding.

CONTACT INFORMATION

Contact:

Tatiana D. Zhdanova, Ph.D., Director Alice L. Hill, Associate Director
Moscow Office
MacArthur Foundation
Khlebnyi Pereulok 8, Suite 2
121069 Moscow Russia
Telephone: (7 095) 290-5088
FAX: (503) 956-6358 (international satellite)
(7 095) 956-6358 (within NIS)
E-mail: macarthur@glas.apc.org

Andrew C. Kuchins, Ph.D.
Senior Program Officer
MacArthur Foundation
140 South Dearborn Street, Suite 1100 Chicago, IL 60603
TEL: (312) 726-8000
FAX: (312) 917-0200

All material submitted becomes the property of the Foundation. When appropriate, the Foundation may submit inquiries or proposals to outside review.

Access by Internet: Complete information about the Foundation is available on the Internet. The Foundation's gopher URL is: <gopher://gopher.macfdn.org:3016/>

APPLICATION DEADLINES

Research and Writing Grants for Individuals

Applications for the 1996 Research and Writing competition will be available in early March and may be obtained from the Moscow or Chicago offices. The deadline for submission is June 15, 1996. Decisions about grant awards will be announced in March 1997. Deadlines for future competitions will be announced in early 1997.

Foreign Travel Grants

Applications must be received by:	For travel beginning after:
June 1, 1996	September 1, 1996
September 1, 1996	December 1, 1996
December 1, 1996	March 1, 1997
March 1, 1997	June 1, 1997

Clinton Presidential Records Digital Records Marker

This is not a presidential record. This is used as an administrative marker by the William J. Clinton Presidential Library Staff.

This marker identifies the place of a tabbed divider. Given our digitization capabilities, we are sometimes unable to adequately scan such dividers. The title from the original document is indicated below.

Divider Title: _____ **J**

Subject: McCormick Tribune Foundation Grants to Central/Eastern Europe

Date: September 3, 1996

In the past two years, The McCormick Tribune Foundation has made two grants in the subject area:

1994: \$25, 000 to The American Council on Education for the Business-Higher Education Forum to devise and conduct a two year training program in telecommunications policy, management, and issues in Hungary, The Czech Republic, and Poland. (U.S. State Department provided a grant of \$537,000 to same project)

1995: \$60,000 to Kemal Kurspahic, correspondent and former editor of the Sarajevo daily, *Oslobodjenje*, for the study of American journalism and to enhance his ability to develop professionally and contribute to his newspaper.

Clinton Presidential Records Digital Records Marker

This is not a presidential record. This is used as an administrative marker by the William J. Clinton Presidential Library Staff.

This marker identifies the place of a tabbed divider. Given our digitization capabilities, we are sometimes unable to adequately scan such dividers. The title from the original document is indicated below.

Divider Title: _____ **K**

INTRODUCTION

The Charles Stewart Mott Foundation, established in Flint, Michigan, U.S.A., in 1926, is a private, philanthropic organization that supports programs for charitable purposes throughout the United States and, on a limited geographic basis, internationally. It is among the 15 largest foundations in the United States with assets of \$1.48 billion.

Its grantmaking was \$59.9 million in 1995. About 20 percent of its grants are international in scope. In 1995, the Foundation made grants totaling \$5.8 million for projects in Central and

Eastern Europe, Russia and Ukraine.

In support of its Civil Society program, the Foundation opened a field office in Prague in 1994 to help gain a better understanding of the issues affecting the region, as well as to become a more tangible and approachable resource for individuals and organizations working in those countries. The primary geographic focus of the Foundation's interest in the region is Bulgaria, Czech Republic, Hungary, Poland, Romania, Russia, Slovak Republic and Ukraine.

The Foundation seeks to strengthen emerging civil societies in the region in their transitions to

GRANTMAKING OBJECTIVES

Central/Eastern Europe, Russia and the Republics

The Mott Foundation has three current grantmaking objectives in this area:

1. The Foundation seeks to develop appropriate legal frameworks and political and economic structures to ensure and sustain democracy and the market economy through:
 - Training and technical assistance to the public sector particularly related to rule-of-law structures and systems.
 - Training and technical assistance in business and management skills for new entrepreneurs of small- and medium-sized enterprises.
2. The Foundation seeks to help prevent conflicts in the region through training and technical assistance in alternative dispute resolution methods by:
 - Building capacity and sustainability of indigenous organizations engaged in training and mediating conflicts or potential disputes.
 - Supporting training and application of conflict management and consensus-building methods.
3. The Foundation seeks to strengthen the effectiveness of the nonprofit sector as a whole through:
 - Encouraging the expansion of in-region financial resources to ensure the long-term sustainability of the nonprofit sector.
 - Building the operational and management capacities of nonprofit organizations, particularly those providing sectorwide services.
 - Assisting in the development of policies, laws and regulations that recognize and protect the legal status of nonprofits and encourage the growth of charitable giving and volunteerism.
 - Building the capacity of nonprofit organizations to effect positive change in select issue areas, focusing first on the environment and energy.

In all three objectives, the Foundation's principle aim is to build capacity and leadership within local communities and regions, and at the national level.

open, democratic and pluralistic societies, and to market economics by strengthening the nonprofit sector and providing technical assistance and training to the public and private sectors. The Foundation is also interested in efforts that encourage interaction among these sectors.

The Central/Eastern Europe, Russia and the Republics program area is part of the Foundation's Civil Society program, one of four major programs in which it organizes its grantmaking. The others are Environment, Flint (the Foundation's home community) and Poverty.

The Civil Society program is home to most of the Foundation's international grantmaking and also includes activity in South Africa and the United States. In addition, the Foundation reserves a Special Initiatives area to support unique opportunities that address emerging civil society issues outside of its priority geographic areas.

GRANTMAKING PROCESS

General information queries can be made to the Foundation's field office in Prague. Letters of inquiry and/or formal proposals can be sent either to the Foundation's home office in Flint or to the field office in Prague.

Although proposals may be submitted at any time, we strongly encourage applicants to submit their proposals during the first quarter of the year for which funding is requested. Applicants should be aware that grant funds for any given year are determined by September 1 of that year. Both multi- and single-year proposals are acceptable, as are those for shorter periods.

We observe strict conflict of interest rules for both staff and Trustees. Therefore, all applications must follow the Foundation's standard processing procedures.

Proposals are reviewed by program staff for initial recommendation of denial or approval. The Proposal Review Committee, composed of senior management, makes the final recommen-

dation. The proposal may then be approved by the President under delegated authority or referred to the Board of Trustees, which meets quarterly, for further action.

The entire review process takes up to four months from the time the proposal is received. **Therefore, proposals should be submitted at least four months prior to the start of the proposed grant period.**

The Foundation observes the following limitations:

- No grants are made to individuals;
- Grants for capital development and endowment are rarely made;
- Support for research is provided only when it is instrumental for planning, implementing or evaluating grantmaking activities or for strengthening public policy relevant to the Foundation's objectives;
- No support is extended for religious activities or programs that serve, or appear to serve, specific religious groups or denominations; and
- Grants normally are not made for local projects that are not part of a regional or national plan or Foundation-planned network of grants, and that do not have clear and significant implications for other communities.

APPLICATION PROCEDURES

Foreign applicants are urged to submit either a letter of inquiry or a brief proposal and not prepare a lengthy, detailed proposal exclusively for the Mott Foundation unless advised to do so by Foundation staff. All letters and proposals must be in English, and must be submitted by a bona fide charitable, educational or governmental organization. Two copies of the letter and/or proposal are required.

Videotapes are discouraged. They should be attempted as a method of application **only if**

the Foundation requests them. Tapes will not be returned.

The Foundation has no formal application form. However, proposals should contain the following:

1. A cover letter detailing the amount of money requested and the grant period and signed by the person ultimately responsible for signing grant contracts on behalf of grant applicant.
2. The project description, including an explanation of why the project is needed, who will be served and what will be accomplished during a specific period of time.
3. Information on the feasibility and sustainability of the proposed grant activity.
4. Information on lasting benefits to the organization, program participants, the community or other organizations working in the field.
5. An appropriate plan for evaluation, reporting and dissemination.
6. A documented line-item budget and projected sources of funds for the proposed grant period.
7. Information about the organization seeking funds, including its staff, board of directors, legal classification, and history and accomplishments.

Organizations whose proposals are to be recommended for approval also will need to complete these additional requirements:

U.S. government regulations require the Foundation to collect from all foreign applicants except governmental units an affi-

davit and public support schedule to determine equivalence as a tax-exempt institution under U.S. law before a grant can be made. The Foundation's program staff will provide the forms as well as assistance in filling them out. All submitted materials, such as articles and bylaws, must be in English.

Because we believe in the importance of accountability for the nonprofit community, an applicant will be required to submit copies of its published annual report and its audited financial statements (if available) before any grant is made.

Proposals should be clearly marked **GRANT PROPOSAL** and addressed either to the Prague office at the address below or to:

Office of Proposal Entry
Charles Stewart Mott Foundation
1200 Mott Foundation Building
Flint, MI 48502-1851
U.S.A.

Prague-Based Staff

Jon R. Blyth, Program Director
Clare Brooks, Associate Program Officer
— Central/Eastern Europe
Shannon Lawder, Associate Program Officer
— Russia and Ukraine
Jikra Yousifová, Office Administrator
Lenka Zemanová, Office Manager

Flint-Based Staff

Jeanette Mansour, Program Officer



CHARLES STEWART
MOTT FOUNDATION

Central/Eastern Europe Field Office

Žitná 6/8 • 120 00 Prague 2 • Czech Republic • Telephone: 42-22-499-3180, 42-22-499-3181 • Fax: 42-22-499-3183

U.S. Office

1200 Mott Foundation Building • Flint, MI 48502-1851 • U.S.A.

CHARLES STEWART MOTT FOUNDATION
1993-1996 Grants
for Central/Eastern Europe, Russia and the Republics

Note: This listing includes all grants made from 1993 through July 1996. For grant programs starting prior to 1993, total funding since program inception is shown. The years shown identify the start and end of the grant period. Abbreviations used include CEE for Central/Eastern Europe, FSU for Former Soviet Union, and NGO for nongovernmental organization. Grants listed for an individual country must be combined with selected grants under multi-country headings to obtain the complete scope of the Foundation's support for a country.

MULTI-COUNTRY/CROSS REGIONS

Political/Economic Structures

- American Bar Association Fund for Justice and Education, Washington, DC** \$50,000
 Central and East European Law Initiative-Commercial Law Project (1 grant, 1993-95)
To support a fund to engage American legal expertise to benefit the development of market economies and economic reforms in Central Europe.
- American Council on Education, Washington, DC** \$35,000
 Summit on What Works: Building Capacity in CEE (1 grant, 1993)
To support a conference in Prague in November 1993 to examine current management training and economic education programs being conducted in CEE.
- Appalachian State University, Boone, North Carolina** \$5,000
 International Conference with Central Europeans (1 grant, 1995)
To support an international conference in April 1995 on the Carpathian Euroregion to link American and Central European specialists in community and economic development.
- Atlantic Council of the United States, Washington, DC** \$50,000
 Project: Marshall Plan Project (1 grant, 1993-96)
To assess the lessons and applicability of the Marshall Plan used to help revive Europe after World War II as a current reference for Central Europe and the FSU.
- Center for Strategic & International Studies, Washington, DC** \$50,000
 New European States Project (1 grant, 1994-96)
To support an assessment of the political issues facing the countries of the former Yugoslavia and Czechoslovakia for the benefit of American policymakers.
- Foundation for a Civil Society, Ltd., New York, New York** \$105,000
 Project on Justice in Times of Transition (2 grants, 1992-96)
To support conferences, workshops, meetings, and special consultations to address human and civil rights issues in the emerging democracies of CEE, FSU, and South Africa.
- International Foundation for Election Systems, Washington, DC** \$95,000
 Association of Election Administrators of CEE (3 grants, 1993-96)
To provide support for administrative costs, conference expenses, and special projects for an organization that promotes professionalism and provides technical assistance and training in the field of election administration to officials in CEE.
- Shorebank Advisory Services, Inc., Chicago, Illinois** \$50,000
 "How To" Manual on Microenterprise Programs for Central Europe (1 grant, 1993-95)
To publish a revised manual highlighting model microenterprise lending programs in the U.S. and emerging model programs in Central Europe.

Grants Listing - CEE/FSU (August 1996)
Page 2

Stichting East-West Parliamentary Practice Project. Amsterdam, Netherlands \$423,860
General Purposes (4 grants, 1990-97*)
(*Includes 1990-94 support of \$173,860 for this project awarded to the European Cooperation Fund)
To provide technical assistance to newly elected parliamentarians in Central Europe and Russia on a range of legislative, constitutional, and rule-of-law structures and issues.

Conflict Resolution

Conflict Resolution, Research and Resource Institute, Tacoma, Washington \$175,000
Institutionalization of Conflict Resolution Processes in Russia/Poland (1 grant, 1996-99)
To provide training and supportive services to build the capacity and institutionalize the processes of mediation and public involvement in at least six Russia cities as well as in Poland.

Foundation on Inter-Ethnic Relations. The Hague, Netherlands \$150,000
General Purposes (1 grant, 1995-98)
To support research, policy analyses, consultations, mediations, and country-specific activities related to conflict prevention and resolution for ethnic/minority populations in CEE, as assistance to the Organization for Security and Cooperation in Europe.

National Peace Foundation, Washington, DC \$50,000
Conflict Resolution Assessment Project (1 grant, 1994-95)
To assess the status and impact of conflict resolution projects in emerging democracies in CEE, FSU, and South Africa.

Partners for Democratic Change, San Francisco, California \$375,000
Ethnic Conciliation Commissions in Central Europe (2 grants, 1992-97)
To establish and assist commissions in several CEE countries develop as effective resources for preventing and resolving conflicts based upon ethnic, national, and related differences.

Partners for Democratic Change, San Francisco, California \$1,100,000
Conflict Management Centers in CEE and Russia (5 grants, 1991-96*)
(*Includes general purposes support of \$300,000 for 1996, which is aligned with the \$800,000 project support granted for conflict management centers for 1991-95)
To support the establishment of conflict resolution centers in a number of CEE countries for advancing training in conflict resolution, and to assist the centers to become independent.

Nonprofit Sector

Charities Evaluation Services, London, England \$98,545
Assessment of Nonprofit Resource Centers in CEE (1 grant, 1995-96)
To evaluate the value and effectiveness of a network of national nonprofit sector resource centers, and to assist the centers with planning and ongoing self-assessment.

Environmental Law Institute, Washington, DC \$375,000
Environmental Program for Central and Eastern Europe (2 grants, 1991-97)
To provide technical assistance to organizations and individuals to advance the environmental law profession and strengthening environmental public policy in a number of CEE countries. Russia. and Ukraine.

European Anti-Poverty Network, Brussels, Belgium \$20,000
Central/Eastern Europe NGO Support for Networking Seminar (1 grant, 1996)
To support travel and networking for bringing together NGOs in CEE active in anti-poverty programming with counterpart groups in Western Europe.

Grants Listing - CEE/FSU (August 1996)

Page 3

European Cooperation Fund, Brussels, Belgium Dissemination of Eastern Europe Funders Guide (1 grant, 1993) <i>To disseminate copies of the International Guide to Funders Interested in CEE to the 200 cooperating libraries of the Foundation Center in the U.S.</i>	\$7,000
European Foundation Centre, Brussels, Belgium Membership Support for Eastern European Foundations (4 grants, 1991-97*) <i>(*Includes grants prior to 1995 for this program awarded to European Cooperation Fund) To pay for special guest memberships for selected CEE foundations in the European Foundation Centre, which serves as an information and networking resource for European foundations and international foundations with grantmaking interests in the region.</i>	\$454,000
European Foundation Centre, Brussels, Belgium Orpheus Programme Civil Society Mutual Support Project (2 grants, 1994-98*) <i>(*Includes support awarded prior to 1995 for this program to European Cooperation Fund) To provide networking and services to build the capacity of national resource and support centers for advancing the independent sector in CEE.</i>	\$500,000
Institute for East-West Studies, Inc., New York, New York Fund for the Development of the Carpathian Euroregion (4 grants, 1994-97) <i>To establish and support a regional foundation with five country offices that provides funding for building the capacity of NGOs and local governments in the Carpathian Euroregion of Poland, Slovak Republic, Ukraine, Hungary, and Romania.</i>	\$2,086,241
International Center for Not-for-Profit Law, Washington, DC Conference on Regulating the Nonprofit Sector in Central Europe (1 grant, 1994) <i>To support a conference in Romania in May 1994 on the legal and regulatory environment for nonprofit organizations in Central Europe.</i>	\$25,000
International Institute for Energy Conservation, Inc., Washington, DC Implementing Energy Efficiency in Central and Eastern Europe (2 grants, 1993-97) <i>To support regional and in-country activities toward rapidly increasing energy efficiency in CEE, including working with the multilateral development banks (MDBs).</i>	\$250,000
Johns Hopkins University, Baltimore, Maryland Eastern European Nonprofit Sector Training Program (4 grants, 1991-97) <i>To provide training and technical assistance for emerging nonprofit sector leaders in CEE, through training-of-trainers, internships, and in-country workshops, and in collaboration with in-country partners.</i>	\$750,000
Oxford Brookes University, Headington Oxford, England Poland and Belarussia: A Comparative Study of Voluntary Sectors (1 grant, 1994-95) <i>To complete a comparative study of the activities, capabilities, and roles of the nonprofit sectors in Poland and Belarussia at national and local levels.</i>	\$25,000
Tides Foundation, San Francisco, California Environment and Democracy Campaign - Central Europe Work (1 grant, 1993-94) <i>To support a series of organizing and leadership development training and exchanges designed to strengthen grassroots environmental action in Central Europe.</i>	\$25,000
University of Minnesota Foundation, Minneapolis, Minnesota Strengthening Business Contributions to CEE Sustainable Development (1 grant, 1996-97) <i>To develop an understanding of how private sector investment in CEE impacts upon the environment, toward identifying beneficial public policies for encouraging environmentally sustainable business development.</i>	\$150,000

Grants Listing - CEE/FSU (August 1996)

Page 4

Special Projects

Council of Europe, Strasbourg, France

\$100,000

Social Exclusion and Human Dignity Program (1 grant, 1995-97)

To support research and policy analyses on poverty and social exclusion throughout Europe, and especially within the emerging Central and Eastern European democracies.

National Civic League, Denver, Colorado

\$106,267

Emerging Leaders Forum (1 grant, 1994-95)

To support a training program in community leadership for emerging leaders from the public, private, and nonprofit sectors in Katowice, Poland and L'viv and Rzeszow, Ukraine.

Prince of Wales Business Leaders Forum, London, England

\$100,000

Partnership Capacity Building in Central Europe (1 grant, 1994-96)

To train representatives from the public, private, and nonprofit sectors from selected communities in Central Europe in partnership building techniques, toward fostering cross-sector community-based collaborations.

Grants Listing - CEE/FSU (August 1996)

Page 5

FORMER SOVIET UNIONMulti-CountryNonprofit Sector

Center for Citizen Initiatives, San Francisco, California

\$330,000

Environmental Program (5 grants, 1989-96)

To support the matching of resources and interests among American and citizens and organizations in the FSU to address critical environmental and energy issues.

Institute for Democracy in Eastern Europe - Warsaw, Poland

\$45,000

Center for Pluralism Network Expansion to Russia and Ukraine (1 grant, 1995-96)

To assist emerging NGOs in Russia and Ukraine through information services and field-based networking and regional meetings.

ISAR, Washington, DC

\$120,000

General Purposes (5 grants, 1989-97)

To support joint efforts between Americans and citizens and NGOs in the FSU to strengthen the nonprofit sector and promote citizen initiatives.

ISAR, Washington, DC

\$200,000

Information Clearinghouse (2 grants, 1994-97)

To provide information and networking resources to environmental NGOs and local government organizations throughout Russia and other former Soviet republics.

Sacred Earth Network, Petersham, Massachusetts

\$120,000

Environmental Telecommunications for the Independent States (2 grants, 1994-96)

To improve electronic communications, information, and networking among environmental groups in the former Soviet republics and links with international resources.

Socio-Ecological Union, Moscow

\$100,700

General Purposes (2 grants, 1994-97)

To provide core support for the largest nongovernmental environmental organization working in and providing services to numerous local NGOs throughout the FSU.

United Way International, Alexandria, Virginia

\$150,000

Independent States Nonprofit Sector Development Program (2 grants, 1993-95)

*To support a nonprofit sector development program in Russia, Belarus, and Ukraine, including the opening of United Way offices in Moscow and Minsk.*Special Projects

Center for Citizen Initiatives, San Francisco, California

\$1,005,000

General Purposes (8 grants, 1989-97)

To provide institutional support for a lead organization in fostering exchanges and joint ventures between Americans and citizens and organizations of Russia and other former Soviet republics.

Grants Listing - CEE/FSU (August 1996)

Page 6

RussiaPolitical/Economic Structures

- Atlantic Council of the United States, Washington, DC \$100,000
Future of Russian-American Relations in a Pluralistic World (1 grant, 1994-96)
To support dialogue between Russian and American government officials and NGO community leaders on political, economic, and military security reforms.
- Center for Citizen Initiatives, San Francisco, California \$300,000
Russian Initiative for Self-Employment (2 grants, 1994-97)
To provide entrepreneurship training, access to microloans, and business incubator support for unemployed and underemployed Russian women in the St. Petersburg area.
- Committee on American-Russian Relations, Washington, DC \$70,000
Russian Legal Reform Project (2 grants, 1991-93)
To retrain Russian jurists to develop and implement legal practices that ensure important rights including individual, property, and citizen engagement in private enterprise.
- George Washington University, Washington, DC \$25,000
Assessment of American Assistance to Russia (1 grant, 1995-96)
To support an overview and assessment of American foreign aid directed to help Russia during its economic and democratic transition.
- Lawyers Alliance for Nuclear Arms Control, Inc., Washington, DC \$27,370
Lawmaking for Democracy Project (1 grant, 1994-95)
To support the visit of eight Russians representing the State Duma to the U.S. to learn about the concepts and operating principles of decentralization and federalism.
- Lawyers Alliance for Nuclear Arms Control, Inc., Washington, DC \$24,973
Federalism in Russia - Volga Regions (1 grant, 1995-96)
To support training and technical assistance activities on democratic governance issues for oblast/regional officials in Samara, Saratov, and Volgograd, Russia.
- Research and Publishing Center for Political Studies in Russia, Moscow \$50,000
Civilian Control of Military Institutions in Russia (1 grant, 1996-98)
To conduct research and analysis followed by educational seminars aimed at encouraging civilian control of military institutions in Russia.
- Togliatti Russian-American Cultural Center, Togliatti, Russia \$20,000
General Purposes (2 grants, 1994-97*)
(*Includes \$5,000 awarded in 1994 to the Russia/USA Society for this program)
To support community and economic development initiatives and other activities benefiting the citizens of Togliatti, Russia, a sister city of Flint, Michigan.
- Southeastern Institute for Law & Commerce, Nashville, Tennessee \$125,000
Trial by Jury Training Program (2 grants, 1994-96)
To train Russian criminal defense lawyers in techniques and principles of a fair jury trial, a concept being reintroduced into the Russian legal system.

Grants Listing - CEE/FSU (August 1996)

Page 7

Nonprofit Sector

- ASI Center, Moscow** \$29,913
 Creating a Network of Regional Affiliates in Russia (1 grant, 1996-97)
To enable an organization specializing in data collection for NGOs to expand information services throughout Russia through creating a network of regional affiliates.
- Center for Citizen Initiatives, San Francisco, California** \$395,000
 Russian Nonprofit Management Training Program (3 grants, 1994-97)
To provide training, internships in the U.S., and small grants to selected Russian NGO leaders for the purpose of building the capacity of Russian nonprofit organizations.
- Center for Post-Soviet Studies, Chevy Chase, Maryland** \$80,000
 Environmental and Health Databank on Russia (1 grant, 1995-96)
To create a comprehensive and easily usable data base on environmental and health conditions in Russia.
- Charities Aid Foundation, London, England** \$280,000
 Russia Office (3 grants, 1994-98)
To provide support for research, information and legal services, training, and other support for Russian NGOs and for advancing the independent sector in Russia.
- Interlegal USA, Inc., New York, New York** \$10,000
 Russian Nonprofit Sector Conference (1 grant, 1993)
To support a two-day conference in Moscow on the Russian nonprofit sector involving over 150 Russian and western leaders from government, business, and voluntary sectors.
- International Society for Ecological Economics, Solomons, Maryland** \$11,469
 Russian Ecological Economics Project (1 grant, 1993-94)
To support the translation into Russian of prominent literature on ecological economics for distribution to scientists, economists, and policymakers.
- St. Xenia Hospital Foundation, Inc., Orlando, Florida** \$50,000
 St. Petersburg Charitable Society (1 grant, 1994-95)
To support the planning for the development of a community foundation-like entity in St. Petersburg, Russia.
- United Way International, Alexandria, Virginia** \$50,000
 United Way Moscow (1 grant, 1996*)
 (*\$150,000 previously awarded to grantee for 1993-95 development work in FSU)
To support the nonprofit sector in Russia and raise funds and in-kind support for social service organizations, toward advancing the concept of philanthropy.
- University of Wisconsin-Eau Claire, Eau Claire, Wisconsin** \$6,000
 Women, Politics, Environmental Action-Symposium (1 grant, 1994)
To support a symposium to bring together 200 women from Russia and the U.S. to launch a two-year environmental policy planning and training project.

Special Projects

- Center for Citizen Initiatives, San Francisco, California** \$265,000
 Urban Gardening Project (4 grants, 1992-97)
To provide education, technical assistance, and seeds to Russian farmers and gardeners in cooperation with western seed companies and other agricultural interests.
- Environmental Research Institute of Michigan, Ann Arbor, Michigan** \$20,000
 ICAST Fellowship Program for Russian Theoretical Physicists (1 grant, 1993-94)
To provide support for world-class Russian physicists in quantum field theory from the Russia Academy of Sciences to conduct research in the U.S. for several months.

Grants Listing - CEE/FSU (August 1996)

Page 8

UkrainePolitical/Economic Structures

Former Members of Congress, Inc., Washington, DC \$50,000
Parliamentary Democracy in Ukraine (1 grant, 1996-97)
To provide technical assistance and training through interns and expert advisors to Ukraine's Rada (parliament) on a number of important needs.

Institute for East-West Studies, Inc., New York, New York \$56,300
Ukraine Action Fund (2 grants, 1995-96)
To establish and support a fund to enable the institute to provide rapid response and longer-term technical assistance to Ukraine, its president, and ministers.

International Executive Service Corps, Stamford, Connecticut \$100,000
Public Administration Training for Government Managers (2 grants, 1994-97)
To provide training and technical assistance to 50 senior Ukrainian public administrators on local governance issues based on democratic models.

U.S. Ukraine Foundation, Washington, D.C. \$150,000
Pylyp Orlyk Institute for Democracy (1 grant, 1996-97)
To support a leading Ukrainian public policy organization providing information and assistance to improve democracy at national and local government levels.

Conflict Resolution

IDR Associates, Washington, DC \$141,318
Enhancing Democratic Decisionmaking in Ukraine (1 grant, 1996-97)
To provide training in collaboration with a Ukrainian partner to leaders in Odessa to facilitate dialogue and resolve disputes between government, business, and environmental interests.

Search for Common Ground, Washington, DC \$49,850
Dialogue on Privatization Project (1 grant, 1996)
To partner with Donetsk Regional Mediation Group in Ukraine to create problem-solving processes in two model factories in order to mediate disputes and improve planning.

Nonprofit Sector

Eurasia Foundation, Washington, DC \$142,500
Strengthening NGO Resource Centers in Ukraine (1 grant, 1996-98)
To strengthen the Ukrainian NGO infrastructure through support for six regional resource centers and minigrants for smaller NGOs located outside the major Ukrainian cities.

Other FSU RepublicsConflict Resolution

Charities Aid Foundation, London, England \$200,000
Conflict Resolution Conference for Moldova (2 grants, 1994-97)
To support ongoing efforts to bring peace to the conflict between Moldova and the breakaway region of Transnistria, with use of Northern Ireland facilitators and mediators.

Conflict Management Group, Cambridge, Massachusetts \$60,000
Joint Georgian and South Ossetian Dialogue (2 grants, 1995-96)
To provide dispute resolution assistance to representatives in the region toward negotiating peace in a seven-year war between Georgia and South Ossetia.

Grants Listing - CEE/FSU (August 1996)

Page 9

Kettering Foundation, Dayton, Ohio \$300,000
Tajikistan Conflict Resolution Training Project (1 grant, 1994-96)
To support training in conflict resolution and political dialogue to bring peace to Tajikistan, a pivotal Central Asian republic currently embroiled in violent ethnic conflict.

National Peace Foundation, Washington, DC \$140,000
Conflict Resolution Training Project in the Former Soviet Union (3 grants, 1991-94)
To train Russian and Armenian government officials, educators, and graduate students in conflict resolution principles, consensus building, and shared decisionmaking.

National Peace Foundation, Washington, DC \$100,000
Transcaucasus Women's Dialogue (1 grant, 1995-97)
To support a dialogue among women professionals and civic leaders in Armenia, Azerbaijan, and Georgia toward the resolution of conflicts in the Transcaucasus region.

Nonprofit Sector

Estonian Foundation Centre, Tallinn \$105,000
General Purposes (3 grants, 1993-97)
To support core expenses of an organization that provides leadership and information, training, and other services for NGOs in Estonia.

Grants Listing - CEE/FSU (August 1996)

Page 10

VISEGRAD COUNTRIES
(Poland, Czech Republic, Slovak Republic, Hungary)

Multi-Country

Political/Economic Structures

Central Europe Institute, Kosice, Slovak Republic
Business in the Community Program (1 grant, 1994-95) \$75,000
To assist five communities in the Czech and Slovak republics create chambers of commerce for enabling business enterprises to advance both their goals and community well-being.

Nonprofit Sector

Foundation for a Civil Society, Ltd., New York, New York
General Purposes (4 grants, 1992-97) \$375,000
To support an organization with a number of projects for advancing an open and democratic society and a free-market economy in the Czech and Slovak republics.

German Marshall Fund of the United States, Washington, DC
Environmental Partnership for Central Europe (6 grants, 1991-97) \$1,125,000
To build the capacity of groups to improve environmental policies and practices in Central Europe through country offices in Poland, Hungary, and the Czech and Slovak republics that assist and regrant funds to local NGOs and carry out related environmental activities.

German Marshall Fund of the United States, Washington, DC
Assessment of the Environmental Partnership for Central Europe (1 grant, 1996-97) \$25,000
To support an outside assessment of a program, which over six years has spent over \$6 million and made over 1,000 grants to build environmental infrastructure and address environmental issues in Central Europe.

Olga Havel Foundation, New York, New York
General Purposes (1 grant, 1995-97) \$15,000
To strengthen the aim of a philanthropy established to give humanitarian assistance to those in need and who face chronic, long-term health problems in the Czech and Slovak republics.

Tides Foundation, San Francisco, California
Civil Society Development Program (1 grant, 1994-96) \$200,000
To implement a two-year, train-the-trainers program for nonprofit sector leaders in Hungary and Poland, with emphasis placed on training 16 individuals through a full-time effort.

United Way International, Alexandria, Virginia
Central/Eastern Europe United Way Development Program (1 grant, 1995-96) \$300,000
To support efforts to establish and expand United Way activities to build in-country capacity for increasing philanthropic initiatives in Hungary, Poland, and Slovak Republic.

Special Projects

American Council on Education, Washington, DC
Business-Higher Education Telecommunications Training Program (1 grant, 1995-96) \$49,000
To provide training for policymakers, regulators, and academics in support of building the telecommunications infrastructure in the Czech Republic, Hungary, and Poland.

Grants Listing - CEE/FSU (August 1996)

Page 11

PolandPolitical/Economic Structures

American Trust for Agriculture in Poland, McLean, Virginia \$150,000
Women in Rural Enterprise Development (1 grant, 1994-96)
To provide training, technical assistance, and appropriate access to microloans for women in rural areas who wish to start or expand business enterprises.

Policy Education Center on Assistance to Transition, Warsaw \$280,000
General Purposes (2 grants, 1993, 1996-99*)
(*Includes 1993 grant support of \$80,000 to the Institute for East-West Studies for establishing the center)
To provide core support for an independent policy research and information center in Warsaw specializing on issues and impacts associated with western assistance to CEE.

Sister Cities of Flint, Inc., Flint, Michigan \$28,760
Flint-Kielce, Poland Municipal Training Program (1 grant, 1993-95)
To support a six-week training/internship program for nine Polish students and faculty in municipal governing techniques in Michigan, with follow-on training of others in Poland.

University of Michigan, Ann Arbor, Michigan \$68,300
Research on Development of Market Economies (2 grants, 1994-98)
To support a collaborative economic research and information project with scholars at Jagiellonian University in Krakow, Poland, and the Polish Central Statistical Office.

Conflict Resolution

RESOLVE, Inc., Washington, DC \$75,000
Mediation of Local Environmental Conflicts in Poland (1 grant, 1995-97)
To support two pilot projects in environmental conflict resolution in Poland with an emphasis on mediation techniques.

Nonprofit Sector

American Committee for Aid to Poland, McLean, Virginia \$50,000
General Purposes (1 grant, 1993-95)
To establish and nurture linkages between U.S. nonprofits and Polish NGOs with the aim of ceasing operations once these linkages were effectively operating.

Civil Society Development Foundation-Poland, Warsaw \$100,000
General Purposes (1 grant, 1996-98)
To support a new Polish-based training organization for NGOs formed as the result of a two-year training-of-trainers program administered by the Tides Foundation with previous Mott support.

Forum of Polish Foundations, Warsaw \$80,000
General Purposes (4 grants, 1993-96)
To support core expenses of an organization that provides leadership and information, training, and other services for foundations in Poland.

Institute for Sustainable Communities, Montpelier, Vermont \$150,000
Community Environmental Action Project in Poland (1 grant, 1994-96)
In collaboration with the Institute for Sustainable Development in Warsaw, to promote democratically based environmental action in mid-size communities in Poland.

Grants Listing - CEE/FSU (August 1996)

Page 12

Institute for Sustainable Development, Warsaw

\$120,000

General Purposes (1 grant, 1995-97)

*To support activities to advance development in Poland that are both economically and environmentally sound through building bridges between the environmental, scientific, business, government, economy, and social interest communities.***Polish Children and Youth Foundation, Warsaw**

\$325,000

Local Youth Partners: Building NGO Capacity (3 grants, 1993-97*)

(*Includes 2 grants totaling \$225,000 awarded in 1993 to the International Youth Foundation for the early work of the Polish Children and Youth Foundation)

*To support the work of a leading Polish philanthropic organization in building the capacity of NGOs serving children and youth through training, seed grants, and other services.***Polish Ecological Club - National Board, Krakow**

\$35,000

Central and Eastern Europe Bankwatch Network (1 grant, 1995-96)

*To support a network of environmental NGOs in seven CEE nations established to influence environmental policies and actions undertaken by MDBs.***Polish Foundation for Energy Efficiency, Warsaw**

\$95,000

Integrated Resource Planning Project (2 grants, 1993-97)

*To support information and technical services for building in-country capacity in energy efficiency, especially in integrated resource planning, in Poland.***Support Office for the Movement of Self-Help Initiatives (BORIS), Warsaw**

\$40,000

Open Society Network Project (1 grant, 1995-96)

*To strengthen information and technical assistance services to NGOs in the human services field through five regional service centers in Poland and a national information center.***Special Projects****Foundation in Support of Local Democracy, Warsaw**

\$50,000

Kielce DIALOG Program (1 grant, 1996-98)

*To strengthen and expand a community-based model for increasing citizen involvement and linkages with local government to identify and address community needs.***Krakow Development Forum, Krakow**

\$50,000

General Purposes (1 grant, 1996-97)

*To support a new forum established by government, business, academic, and NGO leaders to work collaboratively on the economic and social development needs of the Krakow, Poland region.***Polish Higher Education-Business Forum, Warsaw**

\$75,000

General Purposes (2 grants, 1993-97)

*To improve relations and collaborations between Polish universities and the business community.***Culture Centre of Podkowa Lesna, Podkowa Lesna**

\$10,000

Podkowa - An Open Community (1 grant, 1996-97)

To support an exemplary community center that advances local traditions of multiethnic tolerance and assistance to refugees and provides for the needs of all in the community, especially young people.

Grants Listing - CEE/FSU (August 1996)

Page 13

Czech RepublicPolitical/Economic Structures

Center for Democracy and Free Enterprise, Prague
Legal Resource Center (1 grant, 1995-96) \$37,872
To provide educational and training programs and information services intended to advance both the legal profession and the application of rule-of-law in the Czech Republic.

International Executive Service Corps, Stamford, Connecticut
Technical and Managerial Assistance Program (1 grant, 1995-98) \$225,000
To provide volunteer executive assistance to newly privatized business enterprises as they restructure to meet demands of the market economy.

Nonprofit Sector

Civic Forum Foundation, Prague
General Purposes (2 grants, 1994-97) \$48,000
To provide core support for a leading philanthropy engaged in advancing educational, cultural, and historic preservation in the Czech and Slovak republics.

Energy Efficiency Center, Prague
Integrated Resource Planning Project (3 grants, 1993-96) \$94,000
To build capacity in the Czech Republic for energy efficiency by promoting integrated resource planning within the utility industry and demand-side energy conservation.

Information Center for Foundations, Prague
General Purposes (4 grants, 1993-96) \$226,000
To provide core support for an organization that provides leadership and information, training, and other services for NGOs in the Czech Republic.

Institute for Environmental Policy, Prague
General Purposes (1 grant, 1996-98) \$90,000
To advance environmentally sustainable development in the Czech Republic, through working with government, business, academia, and environmental community sectors.

Special Projects

Czech Helsinki Committee, Prague
Helsinki Counseling Centre for Refugees (1 grant, 1995-96) \$5,000
To support civic education as part of a larger counseling program to help Bosnian refugee families integrate into the Czech democratic society.

Slovak RepublicPolitical/Economic Structures

Association for Regional Development, Rimavska Sobota
Business Development Projects (1 grant, 1996-98) \$18,990
To support business enterprise development in one of the most depressed areas of the Slovak Republic, including business skills training for Romas.

Center for Democracy, Washington, DC
Conference on Judicial Training for the Slovak Republic (1 grant, 1996) \$50,000
To support a training conference in support of establishing an independent judiciary for 70 Slovak jurists from all levels of the court system, including the Constitutional Court.

Grants Listing - CEE/FSU (August 1996)

Page 14

Regional Advisory and Information Center, Povazska Bystrica \$25,000
Micro Loan Fund Project (1 grant, 1996-97)
To support a new fund in northwestern Slovakia for making micro loans to small businesses, coupled with management training for entrepreneurs.

Stevens Institute of Technology, Hoboken, New Jersey \$70,035
Entrepreneurial Business Development Program in the Slovak Republic (1 grant, 1996)
To provide technical assistance for accelerating the development of new small businesses in the Slovak Republic.

Nonprofit Sector

Center for Environmental Public Advocacy, Poniky \$60,000
General Purposes (3 grants, 1993-97)
To provide training and technical assistance to build the capacity of Slovak environmental organizations and to strengthen the Slovak independent sector.

Healthy City Banska Bystrica Foundation, Banska Bystrica \$90,000
General Purposes (1 grant, 1995-97)
To provide seed funding for a new community foundation established into central Slovak Republic with matching funds from the city government and additional support from community interests.

Slovak Academic Information Agency, Bratislava \$198,000
Third Sector Training and Information Project (4 grants, 1993-96)
To provide core support for an organization that provides leadership and information, training, and other services for the independent sector and NGOs in the Slovak Republic.

Support Center, Washington, DC \$86,580
Slovak Nonprofit Technical Assistance and Training Project (2 grants, 1993-94)
To provide technical assistance to nonprofit groups on organizational and financial management and build in-country capacity to continue with such assistance.

Special Projects

Wide Open School Foundation, Ziar nad Hronom \$10,000
Roma Community Project (1 grant, 1996-97)
To support a preschool center for needy families, especially Romany, in northeast Slovakia, with a community-based approach to education.

HungaryConflict Resolution

Reflex Environmental Protection Society, Gyor, Hungary \$25,000
Collaborative Local Decisionmaking in the Szigetkoz (1 grant, 1994-95)
To work with local government, citizens, and technical experts to assess the impacts and generate solutions for problems caused by the diversion of the Danube River in the region.

Nonprofit Sector

Civil Society Development Foundation-Hungary, Budapest \$100,000
General Purposes (1 grant, 1996-98)
To support a new Hungarian-based training organization for NGOs formed as the result of a two-year training-of-trainers program administered by the Tides Foundation with previous Mott support.

Grants Listing - CEE/FSU (August 1996)

Page 15

- Communication Exchange and Training Foundation, Budapest** \$21,460
Community Foundation Development Pilot Project (1 grant, 1995-96)
To support a planning activity for developing a model for advancing philanthropy at the community level, toward developing community foundations in Hungary.
- E.L.T.E. Nature Conservation Club, Budapest** \$8,000
Greenway Energy Working Group Conference (1 grant, 1994)
To support a four-day conference as part of the ongoing work of Central/Eastern European NGOs to discuss and formulate improved energy policies.
- Environmental Management and Law Association, Budapest** \$100,000
General Purposes (2 grants, 1994-97)
To advance the development and application of law and public policy as important tools in the management of environmental and economic development in Hungary.
- Hungarian Association for Educational, Scientific, and Cultural Foundations, Budapest** \$19,500
General Purposes (1 grant, 1995-96)
To provide core support for a membership organization providing services to more than 90 education, science, and cultural nonprofit organizations in Hungary.
- Hungarian Chamber of Nonprofit Human Services, Budapest** \$20,000
General Purposes (1 grant, 1995-96)
To provide core support for a membership organization providing services to more than 140 nonprofit human service organizations in Hungary.
- Hungarian Foundation Centre, Budapest** \$30,000
General Purposes (2 grants, 1994-96)
To provide core support for an organization that provides information, training, and other services for foundations in Hungary.
- Hungarian Foundation for Self-Reliance, Budapest** \$310,000
General Purposes (6 grants, 1991-96)
To provide core support for a leading Hungarian foundation that provides technical assistance, seed grants, and loans to interests primarily associated with ethnic minorities and low-income communities.
- Independent Ecological Center, Budapest** \$50,000
Community Environmental Action Program (1 grant, 1994-95)
To continue demonstration work on community-based environmental decisionmaking and action in several Hungarian communities.
- Independent Ecological Center, Budapest** \$100,000
General Purposes (2 grants, 1992-97)
To support an important Hungarian environmental organization which is a regional model for strengthening civil society and environmental protection.
- Kentucky Coalition, Inc., London, Kentucky** \$35,000
Community Organizing Project (2 grants, 1993-95)
To provide training and technical assistance on community organizing and NGO management for environmental and NGO leaders, principally in Hungary and Poland.
- Nonprofit Foundation, Budapest** \$60,000
General Purposes (1 grant, 1994-96)
To support the publication of Kurazi, a newsletter that provides information on the nonprofit sector in Hungary to people active in the sector and to the general public.

Grants Listing - CEE/FSU (August 1996)

Page 16

Nonprofit Information and Training Center Foundation, Budapest \$100,000
General Purposes (2 grants, 1994-96)
To provide core support for an organization that provides leadership and information, training, and other services for the independent sector and NGOs in Hungary.

United Way - ESE Social Center Foundation, Pecs \$10,000
General Purposes (1 grant, 1996)
To support a community-based organization in central Hungary that provides social services and employment training and jobs, and represents a model for other communities in the region.

Special Projects

Budapest School of Politics, Budapest, Hungary \$66,226
Leadership for Democracy Program (1 grant, 1995-96)
To provide training for 30 community leaders from three Hungarian cities toward building collaborations among the private, governmental, and voluntary sectors.

Michigan State University, East Lansing, Michigan \$37,312
Leadership for Democracy Program (1 grant, 1994-95)
To support the planning of a leadership development program for Hungarian community leaders (see above implementation grant to the Budapest School of Politics).

Soros Foundation-Hungary, New York, New York \$322,000
Community Education Project in Hungary (4 grants, 1992-97)
To support a partnership program with the grantee to establish a network of pilot community schools and advance the concept of community education in Hungary.

SOUTHEAST EUROPE/BALKANSMulti-CountryPolitical/Economic Structures

Institute for East-West Studies, Inc., New York, New York \$73,236
Project: Legislative Issues Service (1 grant, 1996)
To support a training and assistance program through the institute's European Studies Center for the legislative research staffs for Bulgarian and Romanian parliamentarians.

Nonprofit Sector

International Center for Not-for-Profit Law, Washington, DC \$8,100
Legal Delegation to Bulgaria and Romania (1 grant, 1993)
To cover the costs of a five-person delegation of legal experts to travel to Bulgaria and Romania to provide technical assistance on the development of charity law.

Union of Bulgarian Foundations, Sofia, Bulgaria \$31,360
Balkan NGO Empowering Program (1 grant, 1995-96)
To develop a regional network among not-for-profit leaders and organizations in the Balkans, including cross-country internships in Albania, Bulgaria, and Romania.

Special Projects

Aspen Institute Berlin, Berlin, Germany \$100,000
International Commission on the Balkans (1 grant, 1995-96)
To support an international commission to study current disputes in the Balkans and develop policy recommendations for U.S., Western European, and Canadian governments.

BulgariaPolitical/Economic Structures

Institute for East-West Studies, Inc., New York, New York \$35,000
Bulgarian Privatization Funds Technical Assistance Project (1 grant, 1996)
To assist the Bulgarian Center for Mass Privatization in attracting and managing investment funds in support of the transferring of approximately \$1.5 billion of assets into private hands.

Nonprofit Sector

ACCESS Association, Sofia \$30,000
General Purposes (1 grant, 1995-97)
To support the exchange of information among NGOs engaged in promoting democratic and multicultural reform in Bulgaria and the Balkan region.

Center for the Study of Democracy, Sofia \$165,000
Nonprofit Research and Advocacy Project (3 grants, 1993-96)
To support a nonprofit research and law project toward enhancing the understanding of the nonprofit sector and improving the legal and regulatory framework for the sector.

Delphi International, Washington, DC \$50,000
NGO Training and Community Organizing (1 grant, 1995-96)
To support the training of Bulgarian community organizers and efforts to strengthen NGOs in several Bulgarian communities.

Grants Listing - CEE/FSU (August 1996)

Page 18

Nova Zagora Community Foundation, Nova Zagora \$20,540
Romany Elementary School Project (1 grant, 1996-97)
To support the primary project of a new community foundation established in a city in south central Bulgaria with a high population of low-income Romany.

Open Society Fund-Sofia, Sofia \$1,012,500
Bulgaria Community Foundation Program (2 grants, 1994-97)
To support the development of community-based foundations in six Bulgarian cities, with grant funds used for training, technical assistance, evaluation, and regranting.

Union of Bulgarian Foundations, Sofia \$123,500
General Purposes (4 grants, 1993-96)
To support a membership organization of charitable foundations and associations dedicated to the promotion of philanthropy and the nonprofit sector in Bulgaria.

Union of Bulgarian Foundations, Sofia \$15,000
Emerging of the Third Sector in Bulgaria Project (1 grant, 1995)
To support research to define and describe the range of organizations and activities, as well as financial data, comprising the nonprofit sector in Bulgaria.

Romania**Nonprofit Sector**

Assistance Center for Non-Governmental Organizations, Bucharest \$50,000
General Purposes (1 grant, 1995-97)
To support a new organization formed to provide assistance to improve citizen participation and build the capacity of grassroots organizations in small communities in Romania.

Opportunity Associates - Romania, Bucharest \$19,375
Training for a Sustainable Future for Romanian NGOs (1 grant, 1995-96)
To addresses the critical need for developing local training capacity for strengthening NGOs by supporting a training-of-trainers program for 100 representatives from major groups.

Princess Margarita of Romania Foundation, New York, New York \$70,000
General Purposes (1 grant 1994-96)
To support a leading Romanian philanthropy with national and community-based programs benefiting children, the arts, and the least advantaged in Romania.

Regional Environmental Center for CEE, Budapest, Hungary \$16,533
Feasibility Study on Energy Efficiency in Romania (1 grant, 1995-96)
To support an investigation of energy issues in Romania, toward the aim of identifying options for energy efficiency initiatives and opportunities for stimulating such initiatives.

Former Yugoslavia, Albania, Macedonia**Conflict Resolution**

Croatian Democracy Project, Washington, DC \$75,000
Centers for Ethnic Reconciliation (1 grant, 1995-96)
To support the development of two community centers to promote ethnic reconciliation between Muslim and Croat populations and others in Bosnia-Herzegovina.

Grants Listing - CEE/FSU (August 1996)

Page 19

Parents, Teachers & Students for Social Responsibility, Moretown, Vermont \$70,000
Citizen Peacemaking in Bosnia (1 grant, 1995-96)

To support the creation of community centers in Sarajevo and Banja Luka, Bosnia, to encourage and promote local citizen peace building among Croats, Muslims, and Serbs.

Nonprofit Sector

Center for Development of Nonprofit Organizations, Zagreb \$10,000

General Purposes (1 grant, 1995-96)

To support a new organization established to provide leadership and services for NGOs in Croatia.

JRB/bm

8/21/96

Clinton Presidential Records Digital Records Marker

This is not a presidential record. This is used as an administrative marker by the William J. Clinton Presidential Library Staff.

This marker identifies the place of a tabbed divider. Given our digitization capabilities, we are sometimes unable to adequately scan such dividers. The title from the original document is indicated below.

Divider Title: _____ **L**

ROCKEFELLER BROTHERS FUND

1290 AVENUE OF THE AMERICAS
NEW YORK, NEW YORK 10104-0233

The Rockefeller Brothers Fund has been active in East Central Europe since the early 1980s. Prior to the historic changes of 1989, the Fund's grantmaking focused on issues of sustainable resource use and world security. Since 1989, when rebuilding civil society in the region became a priority, the RBF has also focused on strengthening the nonprofit sector in East Central Europe.

With a primary emphasis on Poland, the Czech Republic, Slovakia, and Hungary, the RBF seeks to promote greater acceptance and understanding of the importance of sustainable resource use; to encourage progress in consolidating democracy and strengthening civil society; to contribute to increased self-sufficiency for promising nonprofit organizations; and to encourage greater interchange and cooperation among those four nations and their neighbors to the east and south. The Fund is particularly interested in supporting initiatives that embrace holistic or integrative approaches to change; that attempt to create an enabling environment for the nonprofit sector and sustainable resource use; and that help to strengthen the institutional capacity of nongovernmental organizations.

Grantmaking in the area of world security has been temporarily suspended, pending the completion of a special two-year Project on World Security, a comprehensive effort to review and consider new approaches to international security relations at the end of the twentieth century. New grantmaking guidelines for the Fund's security program, which includes East Central Europe, will be announced in early 1998.

RBF GRANTS RELATING TO EAST CENTRAL EUROPE (Cumulative List as of May 31, 1996)

SECURITY

AFS International (R,FSU)	\$323,000 (1984-87)*
Alerdinck Foundation (R,FSU)	\$90,000 (1987-92)*
American Center for International Leadership (R,FSU)	\$340,000 (1988-90)*
... (see also AFS International)	
American Council of Learned Societies (IREX) (P,Ro)	\$227,000 (1982-91)*
Center for Foreign Journalists (R)	\$34,000 (1990)*
Central Connecticut State University (P)	\$50,000 (1991)
Central and East European Publishing Project (R)	\$120,000 (1990-92)
Charitable Fund "Carpathian Euroregion" (R,U)	\$140,000 (1995-96)
Czechoslovak Management Center Foundation (C,S)	\$450,000 (1991-96)*
(now Czech Management Center Foundation)	
Eisenhower Exchange Fellowships (C,S)	\$50,000 (1992)
European Cooperation Fund (R)	\$125,000 (1986-91)*
Fondation pour une Entraide Intellectuelle Europeenne (R)	\$75,000 (1988-90)
Friends of WWB/USA (P)	\$225,000 (1992-95)
Fund for Private Assistance in International Development (R)	\$180,000 (1988-90)
Government of the Republic of Hungary (H)	\$25,000 (1991)
Harvard University: Kennedy School of Government (R,FSU)	\$400,000 (1990-95)*
Harvard University Graduate School of Business Administration (R)	\$200,000 (1992-94)
Hudson Institute (Baltics)	\$100,000 (1991-92)
Hungarian-American Enterprise Fund (H)	\$75,000 (1993)
Institute for EastWest Studies (R,FSU)	\$1,973,000 (1983-97)*
(formerly Institute for East-West Security Studies)	

* Multiple grants given
 (C) Czech Republic
 (FSU) Former Soviet Union
 (H) Hungary
 (P) Poland
 (R) East Central Europe (regional)
 (Ro) Romania
 (S) Slovakia
 (U) Ukraine

Institute for Human Sciences (R)	\$650,000 (1990-97)*
Institute of Public Administration and Local Government (U)	\$25,000 (1993)
Institute for Soviet-American Relations (FSU)	\$45,000 (1986-88)
International Executive Service Corps (P)	\$75,000 (1989-90)
International House (R,FSU)	\$140,000 (1988-95)*
International Institute for Applied Systems Analysis (R)	\$24,550 (1993)
International Management Center (H)	\$150,000 (1994-95)
(see also University of Pittsburgh and Hungarian-American Enterprise Fund)	
International Marketing Institute (Ro)	\$1,000 (1990)
Junior Achievement (P)	\$75,000 (1991-92)
Miroslaw Dzielski Institute of Industry and Commerce (P)	\$100,000 (1990-92)
National Forum Foundation (R)	\$150,000 (1990-92)
Portland State University Foundation (P)	\$10,000 (1991)
University of Pittsburgh (H)	\$225,000 (1988-91)*
Woodrow Wilson International Center for Scholars (R,FSU)	\$290,000 (1984-95)*
Subtotal:	\$7,162,550

SUSTAINABLE RESOURCE USE

American Latvian Association in the United States (Latvia)	\$55,000 (1991-92)
American Trust for Polish Agriculture (P)	\$1,125,000 (1987-92)*
Beneficial to the Public Fund (S)	\$120,000 (1995-97)
Biocultural Association (H)	\$50,000 (1989-90)*
Budapest University of Economic Sciences (H)	\$30,000 (1990)
Center for Clean Air Policy (C)	\$115,000 (1993-95)
Center for Environmental Public Advocacy (S)	\$10,000 (1993)
Center for International Environmental Law (R)	\$25,000 (1993)
Centre for Environmental Studies (H)	\$150,000 (1994-96)

-
- * Multiple grants given
- (C) Czech Republic
- (FSU) Former Soviet Union
- (H) Hungary
- (P) Poland
- (R) East Central Europe (regional)
- (Ro) Romania
- (S) Slovakia
- (U) Ukraine

Colorado Outward Bound School (FSU,H)	\$75,000 (1988-90)
East European Environmental Research Foundation (H)	\$40,000 (1990)
Eastern European Independent Environmental Foundation (H) (Independent Ecological Center)	\$75,000 (1990-95)*
Economic Perspectives (P)	\$165,000 (1984-87)*
Environmental Law Institute (R,FSU)	\$240,000 (1988-95)*
Environmental Management and Law Association (H)	\$150,000 (1994-96)
European Natural Heritage Fund (Euronature) (R)	\$225,000 (1995-97)
Federal Committee for the Environment of the Czech and Slovak Republic (C,S)	\$21,500 (1992)
Foundation for a Civil Society (C) (see also nonprofit sector listing for this foundation)	\$120,000 (1996-97)*
Foundation for the Development of Polish Agriculture (P) (see also American Trust for Polish Agriculture and Economic Perspectives)	\$100,000 (1995-96)
Friends of the Earth (France) (R)	\$120,000 (1994-95)
German Marshall Fund of the United States (R)	\$1,170,000 (1991-97)*
Goncol Foundation (H,Ro)	\$40,000 (1993-94)
Green Library (P)	\$75,000 (1988-90)
Greenways-Zelene Stezky (C)	\$90,000 (1994-96)
Hungarian Academy of Sciences (H)	\$20,000 (1989-90)
Institute for Environmental Policy (C)	\$370,000 (1992-98)*
Institute for Environmental Protection of Ukraine (U)	\$25,000 (1993)
Institute of Sociology (C,S)	\$150,000 (1990-92)
Institute for Sustainable Communities (H,R) (see also Vermont Law School)	\$175,000 (1992-95)*
Institute for Sustainable Development (P)	\$500,000 (1991-97)*
Institute for Transportation and Development Policy (R)	\$50,000 (1994-95)
International Foundation for Development Alternatives (H)	\$17,500 (1990)

-
- * Multiple grants given
 (C) Czech Republic
 (FSU) Former Soviet Union
 (H) Hungary
 (P) Poland
 (R) East Central Europe (regional)
 (Ro) Romania
 (S) Slovakia
 (U) Ukraine

International Institute for Energy Conservation (R)	\$300,000 (1993-95)
International Network of Resource Information Centers (R,FSU)	\$225,000 (1986-91)*
International Union for the Conservation of Nature and Natural Resources (R)	\$206,000 (1985-89)*
Kentucky Coalition (R)	\$17,500 (1993)
Michael Fields Agricultural Institute (R,FSU)	\$150,000 (1991-93)
Ministry of Agriculture of Czechoslovakia (C,S)	\$53,000 (1990-92)*
National Academy of Sciences (R,FSU)	\$135,000 (1986-91)*
Palacky University (C)	\$9,000 (1993)
Panos Institute (H,R)	\$50,000 (1990)
Policy Sciences Center (R)	\$65,000 (1989-90)*
Polish Ecological Club (R)	\$180,000 (1995-97)
Project for Public Spaces (C)	\$200,000 (1994-95)*
Quebec-Labrador Foundation (R)	\$375,000 (1986-96)*
Resources Development Foundation (P,H)	\$150,000 (1993-96)*
Rutgers University (P)	\$135,000 (1990-92)
Sabre Foundation (R)	\$15,000 (1988)
University Corporation for Atmospheric Research (FSU)	\$16,500 (1987)
University of Minnesota Foundation (R)	\$180,000 (1988-92)*
Vermont Law School (R)	\$25,000 (1991)
Veronica (C)	\$165,000 (1995-97)
Wharton Econometric Forecasting (P)	\$10,000 (1982)
Winrock International Institute for Agricultural Development (R)	\$19,500 (1992)
World Wildlife Fund and the Conservation Foundation (R)	\$90,000 (1990-95)*
Subtotal:	\$8,465,500

NONPROFIT SECTOR

American Committee for Aid to Poland (P)	\$107,000 (1990-94)*
--	----------------------

-
- * Multiple grants given
 (C) Czech Republic
 (FSU) Former Soviet Union
 (H) Hungary
 (P) Poland
 (R) East Central Europe (regional)
 (Ro) Romania
 (S) Slovakia
 (U) Ukraine

American Council for Voluntary Action (R)	\$90,000 (1991-93)*
Case Western Reserve University (Ro)	\$40,000 (1992-93)
Catholic University (P)	\$15,000 (1993)
Committee of Good Will (Olga Havel Foundation) (C)	\$30,000 (1992-94)
Council on Foundations (R)	\$197,000 (1990-93)*
Foundation for Central European Center for Behavioral Economics (P)	\$42,500 (1995)
Foundation for a Civil Society (C,S) (formerly Charter 77 Foundation-New York)	\$369,000 (1990-96)*
Foundation for Educational Programs (C)	\$25,000 (1995)
Foundation for Social Innovations (FSU)	\$25,000 (1991)
Hungarian Foundation for Self-Reliance (Autonomia Alapitvány) (H)	\$660,000 (1990-96)*
Information Center for Foundations (C)	\$90,000 (1994-95)*
International Youth Foundation (P)	\$150,000 (1993-95)
Interphil USA (R)	\$35,000 (1989)
Johns Hopkins University (P)	\$415,000 (1987-96)*
Nonprofit Foundation (H)	\$48,000 (1995-96)
Nonprofit Information and Training Center (H)	\$34,000 (1994)
Research Project on Nonprofit Organizations (H)	\$25,000 (1993)
Research Project on the State of the Voluntary Sector in Czechoslovakia, Hungary, and Poland (R)	\$116,165 (1992-93)*
Slovak Academic Information Agency (S)	\$100,000 (1993-95)*
Support Office for the Movement of Self-Help Initiatives (P)	\$156,000 (1995-97)*
Tides Foundation (R)	\$223,500 (1993-96)*
Vaclav Havel Foundation for the Revival of Prague Castle (C)	<u>\$15,000 (1993)</u>
Subtotal:	\$3,008,165

TOTAL:

\$18,636,215

(includes forward commitments for 1996-98)

-
- * Multiple grants given
 (C) Czech Republic
 (FSU) Former Soviet Union
 (H) Hungary
 (P) Poland
 (R) East Central Europe (regional)
 (Ro) Romania
 (S) Slovakia
 (U) Ukraine

Clinton Presidential Records Digital Records Marker

This is not a presidential record. This is used as an administrative marker by the William J. Clinton Presidential Library Staff.

This marker identifies the place of a tabbed divider. Given our digitization capabilities, we are sometimes unable to adequately scan such dividers. The title from the original document is indicated below.

Divider Title: _____ **M**

SABRE FOUNDATION, INC.

Scientific Assistance Project
872 Massachusetts Avenue, Suite 2-1
Cambridge, MA 02139

telephone 617/868-3510
fax 617/868-7916
e-mail sabre@sabre.org

SCIENTIFIC ASSISTANCE PROJECT: Current Activities and Initiatives

Sabre Foundation, Inc. is a tax-exempt 501(c)(3) charitable organization registered as a Private Voluntary Organization (PVO) with the U.S. Agency for International Development (AID). Sabre's Scientific Assistance Project specializes in providing college, secondary and elementary level educational materials to institutions and individuals in Eastern Europe, the former Soviet Union, and selected countries in other regions of the world.

Since 1986, Sabre Foundation has donated over 2 million new books and journals to its on-going programs in Belarus, Bulgaria, Croatia, Czech Republic, Estonia, Hungary, Kazakstan, Kyrgyz Republic, Latvia, Lithuania, Poland, Slovakia, Russian Federation, Ukraine and Uzbekistan. New Sabre programs are in the process of being established in Bosnia & Herzegovina, Ghana, Grenada, South Africa, and the West Bank and Gaza. Sabre has also worked both independently and with other organizations to send books to Albania, Armenia, Azerbaijan, Cuba, former East Germany, Eritrea, Ethiopia, Georgia, Kenya, Nepal, People's Republic of China, Romania, Slovenia, Tajikistan, Turkmenistan, Uganda, and (in cooperation with the Peace Corps) to twenty countries in Africa and Asia.

The book shipments help fill the needs of scholars, students, scientists, researchers, medical professionals, lawmakers and fledgling entrepreneurs. Almost 200 American and European publishers have contributed new texts in areas such as: business and economics; English language and literature; science and engineering; computer science; medicine, nursing, and health care; law and government; and political and social sciences. Sabre also administers a purchase program for reduced-cost books and journal subscriptions, and places private libraries and special collections in institutions abroad.

In each country, a designated non-profit partner organization, or affiliate, is responsible for title selection, identification of recipient institutions, and country-wide distribution. From inventory lists provided by Sabre, partners are able to select titles and quantities. The books and journals are then packed at Sabre's warehouse and sent in 40' ocean freight containers (holding approximately 20,000 books) to partner organizations for distribution. On-going programs receive between one and three containers per year, depending on the size of the program and available funding.

Sabre's "Virtual Reference Center" manages a number of Internet and digital-based technical assistance and training projects. These include: a pilot document delivery service in collaboration with the Prague Institute of Advanced Studies; a pilot project exploring the feasibility of a low-cost and portable digital camera/CD-ROM recordable module for preserving archival materials; and a series of Internet training programs focused on "training of trainers", World Wide Web "Homepage" development and accessing legal materials on the Internet and other on-line services. Training sessions are provided at Sabre's Cambridge office and, as funding becomes available, at a number of sites overseas.

Additional information is available on the Internet via Sabre's World-Wide-Web site:
<http://www.sabre.org/>

SABRE FOUNDATION, INC.

Technical Assistance Project
872 Massachusetts Avenue, Suite 2-1
Cambridge, MA 02139

telephone 617/868-3510
fax 617/868-7916
e-mail sabre@sabre.org

Virtual Reference Center --- Activities Update

July 1996

- Sabre is developing a **World Wide Web** inter-active resource site on book donation programs and agencies world-wide. The site will cover subject specialization, geographical focus and contact information.
- The *Ukraine: FAQ Plus* archive has greatly expanded its subject range from Business/Commerce, Culture, and Publications to Economics, Law, Language and History. The archive is currently sponsoring World Wide Web "Homepages" for a number of institutions including the Ukrainian Legal Foundation in Kyiv, Ukraine.

URL for *Ukraine: FAQ Plus*: <http://www.std.com/sabre/UKRAINE.html>

- **Pilot Document Delivery Service.** With a grant from the Andrew W. Mellon Foundation, Sabre and Prague-based "Plantnet" are implementing a pilot document delivery service in Eastern Europe. "Plantnet", a project of the Prague Institute of Advanced Studies, includes scientists working in plant biology, agriculture, environment, medicine and ecology. Documents will be ordered via the Internet and sent to the pilot sites over the Internet, by fax, or mail.
- **CD-ROM Project for Digital Preservation.** With a grant from the Ukrainian Studies Fund, Sabre staff are exploring the feasibility of a low-cost and portable digital camera/CD-ROM recordable module that can be used for preserving archival materials. This pilot project focuses on research, technical advising, hardware and software purchase, and content indexing.
- **Training on Internet Resources and Tools.** Sabre continues to provide Internet training for overseas clients at the Sabre office in Cambridge. Such services are being expanded to include in-country training abroad as funding becomes available. Several examples follow:

Internet Training in Ukraine. Sabre is assisting the Lviv State University in initiating a "training of Internet trainers" program at the University. Specific activities include: development of training modules for students, faculty, researchers and administrators; compilation and translation into Ukrainian of training materials on Internet usage; development of manuals for the "training of trainers"; on-going technical support; and purchase of specific computer hardware components and software. Funding has been provided by USAID through the Eurasia Foundation.

U.S.- Based Internet Training. Sabre, in collaboration with the Computer Center at the University of Kiev-Mohyla Academy, is developing a U.S. based "training of Internet trainers" module tailored to specific user groups. In this project, participants will take professional training development courses, specific Internet-oriented courses and visit sites involved in Internet technologies, services and training. This training module will allow participants to experience first-hand the benefits of public access to information and the integration of the Internet in all spheres of a market society.

Training on Law Resources on the Internet. As part of a larger library development and technical assistance project with the Ukrainian Legal Foundation (funded by US AID through ARD/Checchi), Sabre is conducting Kyiv-based training workshops on accessing legal materials available on the Internet.

SABRE FOUNDATION, INC.

Rule of Law Project
872 Massachusetts Avenue, Suite 2-1
Cambridge, MA 02139

telephone 617/868-3510
fax 617/868-7916
e-mail sabre@sabre.org

UKRAINIAN LEGAL LIBRARY RULE-OF-LAW PROJECT

The Sabre Foundation is assisting the Ukrainian Legal Foundation (ULF) in the development of a national law library in Ukraine through the expansion of the library's collection, adoption of an appropriate cataloging system, the extension of a computer network linking law libraries in Ukraine, and training on Internet-based resources. Sabre is a non-profit organization specializing in book donation programs in the former Soviet Union and Eastern Europe. The law library development program is funded through the Rule of Law Program of the United States Agency for International Development (US AID) administered by ARD/Checchi.

The library, the first specialized legal library in Ukraine, is intended to meet the needs of scholars, law students, lawyers, judges, members of parliament and government and their staffs as well as the general public.

Sabre is soliciting materials from sources including publishers, law firms, libraries, universities, individuals and bar associations. Priorities include hornbooks, treatises, federal statutes and regulations; international, comparative and constitutional law; commercial law; human rights law and European Community law; restatements and uniform laws; and reference works. To date, Sabre Foundation has sent the ULF 1,602 books, journals and other law-related materials.

A library consultant is advising the ULF on the adaptation of an appropriate cataloging system. The Soviet cataloging system subdivided legal materials into four categories, as opposed to the more than one-hundred categories under the Library of Congress system. The new catalog will be computerized to allow for inter-library loan between libraries across the country and can serve as the basis for a new national cataloging system. Libraries will also have access to the database of legal terminology being prepared by the Ukrainian Legal Foundation.

As part of the project, Sabre is conducting trainings for librarians, law students and legal researchers in accessing legal materials via the Internet and other computer networks. Information obtained through these electronic means is often more current and more easily obtained than in printed form.

Sabre has ongoing book donation programs in fifteen countries of Eastern Europe, former Soviet Union, and other parts of the world. Other Sabre programs include technical assistance and training on Internet on-line resources. The Ukrainian Legal Foundation was established in 1992 to pursue projects in institution building that promote the establishment of the rule of law in Ukraine.

If you would like to more information or to contribute materials, contact Rebecca Schneider.
e-mail: sabre@sabre.org

July, 1996

Clinton Presidential Records Digital Records Marker

This is not a presidential record. This is used as an administrative marker by the William J. Clinton Presidential Library Staff.

This marker identifies the place of a tabbed divider. Given our digitization capabilities, we are sometimes unable to adequately scan such dividers. The title from the original document is indicated below.

Divider Title: _____ N

RICHARD M. LARRY
THREE MELLON BANK CENTER
525 WILLIAM PENN PLACE, SUITE 3900
PITTSBURGH, PENNSYLVANIA 15219-1708
(412) 392-2913

September 3, 1996

VIA FAX

Ms. Katy Button
Office of the First Lady
The White House
Washington, D. C.

Dear Ms. Button:

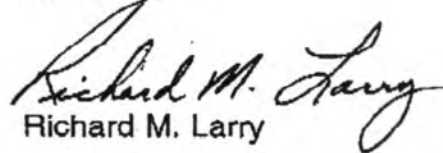
Concurrent with the disintegration of the USSR, and the opening of Central and Eastern Europe, under Presidents Reagan and Bush, the Sarah Scaife Foundation and the Carthage Foundation began funding of private sector groups working in these regions to:

- 1) Train leadership groups in preparing for free and open elections. And to:
 - a) establish democratic institutions
 - b) develop political party structures
 - c) conduct electoral campaigns
 - d) establish polling procedures to assure open and honest voting.
- 2) Begin exchange programs between U.S. and FSU-E-C.E. scholars and universities to:
 - a) encourage these newly independent countries to adopt constitutions that incorporate the rule of law, balance of governmental powers, property rights, market systems, limited government powers, freedom of expression, human rights and other basic prerequisites to a free and open society, and to preclude "the tyranny of the majority."
- 3) Included in 2) above were economists, political scientists, legal scholars and U.S. business people. The latter work with the smaller, newly established businesses to provide advice and council to these new entrepreneurs.

Ms. Katy Button
Office of the First Lady
The White House
September 3, 1996
Page Two

Our goal is to provide existing and emerging leaders the information and education to overcome their lack of experience with democratic tradition. And to take the long view in this effort.

Sincerely,


Richard M. Larry

Clinton Presidential Records Digital Records Marker

This is not a presidential record. This is used as an administrative marker by the William J. Clinton Presidential Library Staff.

This marker identifies the place of a tabbed divider. Given our digitization capabilities, we are sometimes unable to adequately scan such dividers. The title from the original document is indicated below.

Divider Title: _____ **O**

Smith Richardson Foundation, Inc.**Grant Making to Promote Democracy
In Eastern and Central Europe and the Former Soviet Union**

Policy: In Eastern and Central Europe and the states of the former Soviet Union, the Smith Richardson Foundation has supported projects (1) that evaluate the effectiveness of U.S. democracy assistance programs and (2) that identify and disseminate the lessons learned in the course of reforms. SRF does not attempt to engage in humanitarian assistance, technical assistance, training/exchange programs, capacity building, or civil society projects in the former Communist states. Instead, the Foundation has sought to support projects that help to shape U.S. assistance policies and that inform policy makers in the United States and post-Communist countries about the state and effectiveness of reforms undertaken by individual countries.

Smith Richardson Foundation projects: Although the SRF Governors have identified democracy promotion as a priority research area, it has been one where limited resources have been designated. Below are brief sketches of the principal democracy promotion projects supported by the Foundation:

- *Democracy Resource Center:* Two years ago, the National Endowment for Democracy established a think tank -- the International Forum for Democratic Studies -- dedicated to examining issues related to the promotion and consolidation of democracy. Shortly after the opening of the Forum, SRF provided about \$200,000 in each of two years to create the Democracy Resource Center. The DRC was composed of a library and an electronic database and internet site that serves as a major resource for democracy activists abroad and for U.S. institutions that support democratic development. The DRC makes available information about building democratic institutions and provides a database of democratic activist organizations around the world. It provides a forum for exchanging lessons learned by such activists in their efforts to promote and consolidate democracy.
- *Reform Roundtable:* In July 1996, the Foundation approved about \$90,000 to support the International Center for Economic Growth's Reform Roundtable. The project involves the creation of a network of economic think tanks in Eastern and Central Europe and the former Soviet Union that produces reports on the nature and success of individual economic reforms. The ICEG works closely with each think tank to identify and design the studies to be undertaken and provides modest financial support for research and publication costs. Once a report has been produced, it is translated and disseminated throughout the network of ICEG-supported think tanks, thus informing policy debates not only in the country where the report was produced but also in other countries facing similar problems. Reports are also made available to policy makers in Western countries and international financial institutions who need to evaluate the course of economic reforms in the post-communist states.
- *Surveys of Political and Economic Freedom:* Freedom House has produced the longest running serial data on the level of political freedom in every country around

the world. During the last two years, the Foundation has provided about \$50,000 to support the production of this survey. In addition, the Foundation provided about \$170,000 during each of the last two years to support the creation of a survey of economic freedom. These reports are extraordinarily influential, particularly because their release receives so much media attention both here and abroad. In every post-communist state, the Freedom House ratings of political and economic freedom stir debate about government policy and reforms.

- *Civic Education Project:* The Foundation provided \$45,000 to support an evaluation of U.S. assistance programs to institutions of higher education in Eastern and Central Europe and the former Soviet Union conducted by the Civic Education Project. The report of that project will shortly be released, but we do not yet have the findings. This project illustrates, however, the kind of evaluation project we seek to support. It focuses on a narrow set of assistance programs, involves significant field work to gather original data, and will produce a report with recommendations about how U.S. policy might be improved.
- *Policy Evaluation Conference:* The Foundation provided \$25,000 to support a research conference organized by the Atlantic Council on problems associated with U.S. assistance programs to the post-communist states. This project had a broader sweep in terms of the types of assistance programs evaluated and attracted participation by most of the significant policy makers in this area.

Clinton Presidential Records Digital Records Marker

This is not a presidential record. This is used as an administrative marker by the William J. Clinton Presidential Library Staff.

This marker identifies the place of a tabbed divider. Given our digitization capabilities, we are sometimes unable to adequately scan such dividers. The title from the original document is indicated below.

Divider Title: _____ **P**

What is the Open Society Institute?

The Open Society Institute-New York (OSI-New York) is a private operating and grant making foundation that promotes the development of open societies around the world both by running its own programs and by awarding grants to others. The Open Society Institute-New York develops and implements a variety of domestic and international programs in the areas of educational, social, and legal reform, and encourages public debate and policy alternatives in complex and often controversial fields. The Open Society Institute-New York is part of an informal network of more than 24 autonomous nonprofit foundations and other organizations created and funded by philanthropist George Soros in Central and Eastern Europe, the former Soviet Union, Haiti, and South Africa, as well as in the United States. Together with its Hungary-based sister organization, the Open Society Institute-Budapest and the Open Society Institute-Washington, the Open Society Institute-New York assists these foundations and organizations by creating regional programs on common issues and by providing technical and administrative support.

What is the Soros Foundation Network?

The numerous nonprofit foundations and organizations created and funded by the philanthropist George Soros are linked together in an informal network, the Soros foundations network. At the heart of this network are 24 autonomous organizations known as "national foundations" and located in Albania, Belarus, Bulgaria, Bosnia & Herzegovina, Croatia, the Czech Republic, Estonia, Georgia, Haiti, Hungary, Kazakhstan, Kyrgyzstan, Latvia, Lithuania, Macedonia, Moldova, Poland, Romania, Russia, Slovakia, Slovenia, South Africa, Ukraine, and Yugoslavia. These foundations share the common mission of supporting the development of open society. To this end, they operate and support an array of programs in education, civil society, economic reform, media and communication, publishing, human rights, arts and culture, and social and legal reform. Other organizations created by George Soros include the Central European University, The Open Media Research Institute, and the Open Society Archives. In 1995, these organizations received a total of approximately \$350 million in support.

What is an Open Society?

Open society is a form of social organization based on the recognition that nobody has a monopoly on the truth, that different people have different views and different interests, and that there is a need for institutions to protect the rights of all people to allow them to live together in peace. The term "open society" was popularized by the philosopher Karl Popper in his book, Open Society and Its Enemies. Broadly speaking, an open society is characterized by a reliance on the rule of law, the existence of a democratically elected government, a diverse and vigorous civil society, and respect for minorities and minority opinions.

Who is George Soros?

George Soros, founder and chairman of the Soros Foundations, was born in Budapest, Hungary, in 1930. He emigrated in 1947 to England, where he graduated from the London School of Economics, and in 1956 to the United States, where he began to accumulate a large fortune through an international investment fund he founded and managed. Mr. Soros's earnings from his investment activities are the source for his personal financing of the Soros Foundations. Mr. Soros established his first foundation, the Open Society Fund, in New York in 1979, and his first Eastern European foundation in Hungary in 1984. While a student at the London School of Economics, Soros came under the influence of the writings of the philosopher Karl Poppers, whose book, Open Society and Its Enemies, had a profound influence on his thinking and later on his philanthropic activities.

Clinton Presidential Records Digital Records Marker

This is not a presidential record. This is used as an administrative marker by the William J. Clinton Presidential Library Staff.

This marker identifies the place of a tabbed divider. Given our digitization capabilities, we are sometimes unable to adequately scan such dividers. The title from the original document is indicated below.

Divider Title: _____ Q

**Summary of Starr Foundation grants to organizations and projects benefiting
Central and Eastern European countries**

	ORGANIZATION	SPAN	AMOUNT	PURPOSE
1	American-Russian Youth Orchestra	1993-96	\$135,000	Collaboration between young Russian and American musicians on summer tour in the U.S. and Russia; Hillary Rodham Clinton is Honorary Chairwoman with Naina Yeltsin.
2	Business Council for International Understanding	1987-97	\$549,500	Business briefings for U.S. ambassadors and other foreign service personnel; includes Eastern European countries.
3	Carnegie Endowment for International Peace	1995	\$300,000	To support activities of the Carnegie Endowment's public policy research center in Moscow; explores political, economic and security issues affecting Russia and the post-Soviet states.
4	Center for Strategic & International Studies	1970-99	\$12,249,700 (50,000) (100,000)	Broad support of activities - Moscow Conference 1992 - St. Petersburg Commission, 1993 & 1995
5	Foundation for a Civil Society	1993-98	\$262,500	Scholarships enabling gifted high-school students from Czech Republic and Slovakia to study in U.S. prep schools.
6	Institute for EastWest Studies	1995-96	\$125,000	To support Institute's mission to build secure, prosperous, democratic and integrated Europe; help build civil societies and effective market economies.
7	International Rescue Committee	1961-95	\$753,350	Many programs have benefited Eastern Europeans; most recently, rescue efforts in the former Yugoslavia.
8	International Research & Exchanges Board	1989-98	\$5,590,000	Numerous grants to help develop civil societies in Central and Eastern Europe and Eurasia.
9	Natural Resources Defense Council	1991-95	\$350,000	Includes a project to promote efficient energy use in Moscow.
10	People-to-People Health Foundation	1994-96	\$300,000	Pediatric Burn Center in Moscow.
11	Project on Ethnic Relations	1993-96	\$800,000	Encourages peaceful resolution of ethnic conflict in new democracies of Central and Eastern Europe and the Russian Federation.
12	U.S. Russia-Business Forum	1996	\$35,000	Promotes understanding of issues affecting trade and investment.

Clinton Presidential Records Digital Records Marker

This is not a presidential record. This is used as an administrative marker by the William J. Clinton Presidential Library Staff.

This marker identifies the place of a tabbed divider. Given our digitization capabilities, we are sometimes unable to adequately scan such dividers. The title from the original document is indicated below.

Divider Title: _____ **R**

TRUST FOR MUTUAL UNDERSTANDING

ROOM 5600
30 ROCKEFELLER PLAZA
NEW YORK, NEW YORK 10112

TRUSTEES

Richard S. Lanier
Elizabeth J. McCormack
Donal C. O'Brien, Jr.

ADVISORY COMMITTEE

Ruth Adams
Wade Greene
William H. Luers
Isaac Shapiro

ADMINISTRATION

Richard S. Lanier, *Director*
Paulette Rosselet, *Program Associate*
Wendy S. Newton, *Program Assistant*

GENERAL INFORMATION

The Trust for Mutual Understanding was established in 1984 by an individual benefactor as a private, grant-making organization dedicated to encouraging increased understanding between the people of the United States, the then Soviet Union, and East/Central Europe. At present, most of the Trust's grants are appropriated for activities relating specifically to Russia, with the balance being allocated primarily for exchanges with the Czech Republic, Hungary, Poland, and Slovakia.

TMU's current programmatic emphasis is on providing grant support to American non-profit institutions working with their counterparts in Russia and in East/Central Europe on exchange projects in the cultural and environmental fields. The Trust's annual grant budget is approximately \$2,000,000, roughly two-thirds of which is awarded for cultural and one-third for environmental activities.

The Trust's main goal continues to be to help foster better understanding, improved communication, and closer cooperation between the United States and the other countries included in its program. To that end, TMU's grants assist projects that involve the international exchange of specialists in the arts and in the environmental sciences, and priority consideration is given to activities in which direct, professional interaction plays a major role.

TELEPHONE (212) 632-3409
FAX (212) 632-3409
E-MAIL tmu@igc.apc.org



FIELDS OF INTEREST

The Trust provides grants for international travel, per diem, and related expenses of Russian, East/Central European, and American participants in cultural and environmental exchanges. A modest allocation, usually not exceeding twenty percent of the total amount awarded, is sometimes provided to help defray administrative costs.

Among the types of activities supported by TMU that are common to both the cultural and the environmental fields are advanced training programs, workshops, conferences, seminars, and joint research projects. The Trust also assists a variety of specific cultural and environmental exchanges as described below.

CULTURE The Trust's cultural program encompasses the visual and the performing arts, and exchanges eligible for assistance include exhibitions, performances given in conjunction with lecture/demonstrations, creative collaborations, curatorial research projects, art conservation and historic preservation initiatives, arts management programs, and cultural documentation activities.

ENVIRONMENT Examples of environmental exchanges supported by TMU include ecosystem and habitat conservation programs, land use planning projects, activities promoting more effective international contact between environmental organizations, and efforts to encourage ecologically sustainable development and biodiversity.

LIMITATIONS

Although the Trust's program focuses on supporting institutional activities which foster the exchange of individuals as participants in projects, it does not make grants directly to individuals, nor, as a general rule, to organizations for activities in which only a single participant is involved.

Also ineligible for TMU assistance are one-person exhibitions, solo performance tours, fellowships for individual research and academic study, or retroactive funding for expenses already incurred. The Trust does not usually make multi-year commitments or support inter-regional exchanges.

Because its grant budget is limited, the Trust does not currently fund institutional operating expenses; capital campaigns and construction costs; salaries, honoraria, and fees; youth and undergraduate exchanges; literature and publication projects; library and equipment purchases; film, media, and mass communications programs; activities pertaining primarily to nuclear weapons and arms control; or programs concentrating on economic development, public health, and agriculture.

APPLICATION PROCEDURES

INITIAL INQUIRY To inquire about the possibility of support for a particular project, a short, written description of the activity for which assistance is being sought should be sent to:

Trust for Mutual Understanding
30 Rockefeller Plaza, Room 5600
New York, New York 10112

Initial inquiries may be made at any time, but contact should be established with TMU at least six months prior to the planned date of project implementation. If an activity is eligible for review by the Trust, more detailed information will be requested prior to the formal consideration of a final proposal.

FINAL PROPOSAL The Trust does not have a standard application form, and the final proposal should be presented in the format that the applicant feels most effectively conveys the scope and character of the activity for which support is needed. Initial inquiries may originate from individuals and institutions in the United States, Russia, or East/Central Europe, but the final proposal should be submitted by the American non-profit organization most directly involved in the project. Letters of endorsement should be enclosed from each of the primary institutional participants, together with the following:

- A narrative description of the project and a statement concerning how its objectives are to be achieved and its results evaluated.

- An itemized budget and timetable for project planning and implementation.
- An indication of how the project relates to any other programs – past, present, or planned – known to the applicant to be substantially similar in nature.
- Brief background information on key individual and institutional participants.
- A list of other sources being approached for financial and in-kind support, the amount of any assistance already secured, and a summary of plans for obtaining the balance of the funding required.
- A copy of IRS certification of the tax-exempt status of the organization applying for support.
- Any supplementary information the applicant feels might be helpful to the Trust in considering the final proposal. (Audio and videotapes, slides, photographs, publications, and similar materials will be returned upon request following the meeting at which an application is considered.)

APPLICATION DEADLINES

Funding decisions are made at meetings held in the winter, spring, and fall. Grant awards are announced immediately after each meeting, and the deadlines for submitting final proposals are:

January 1 for Winter Meeting
April 1 for Spring Meeting
September 1 for Fall Meeting



Clinton Presidential Records Digital Records Marker

This is not a presidential record. This is used as an administrative marker by the William J. Clinton Presidential Library Staff.

This marker identifies the place of a tabbed divider. Given our digitization capabilities, we are sometimes unable to adequately scan such dividers. The title from the original document is indicated below.

Divider Title: _____ S



THE WINSTON FOUNDATION
FOR WORLD PEACE

The Winston Foundation for World Peace Guidelines, 1996

The Winston Foundation, established in 1985, is principally a grant-making institution dedicated to conflict prevention and reconciliation, cooperative security, and nonproliferation of weapons.

The Foundation defines its objectives in five categories:

- Preventive diplomacy, which may include a broad range of activities aimed to alert and spur officials to prevent or deter conflict.
- Peacemaking and peacekeeping, which are best pursued by the U.N. or regional security organizations.
- Codes of conduct, encompassing a revision of international norms that will chart a peaceful course for the post-Cold War world.
- Demilitarization, the gradual contraction of the size and scope of national military establishments.
- Fostering the conditions of peace, including economic vitality and equality, human rights and democracy, and protection of natural resources.

The types of activities we support may vary greatly. We are particularly drawn to daring and bold actions that offer the prospect of tangibly moving toward cooperative security. Unofficial diplomacy, strengthening the capacity of NGOs worldwide, bolstering the abilities of multilateral institutions, pressure and plans to reshape the military and reduce its size, holding officials to standards of democratic decision making—these are a few broad examples of action-oriented approaches we favor.

The Foundation awards approximately \$1.5 million per year in grants, of which roughly 75% is directed toward conflict prevention activities. Currently projects of this type are under way in Central America, Africa, the former Yugoslavia, the Middle East, the Transcaucasus, and South Asia. We devote the remaining 25% of our grants to the non-proliferation of weapons, including conventional arms, light weapons, and nuclear arms.

John Tirman, Executive Director

2040 S STREET, NW, SUITE 201, WASHINGTON, DC 20009-1110
TELEPHONE (202) 483-4215 FACSIMILE (202) 483-4219 E-MAIL: winstonfoun@igc.apc.org



THE WINSTON FOUNDATION
FOR WORLD PEACE

Grants Program in the Former Soviet Union

During 1995, the Winston Foundation began a review of its grant making strategies in Russia and the former Soviet Republics. The Foundation will now pursue a course which addresses some broad issues, such as the role of the media and its coverage of conflict, but which places the emphasis of conflict prevention and reconciliation programs in the Transcaucasus area (which includes Southern Russia, Georgia, Armenia, and Azerbaijan).

Toward this end, we are currently supporting the following organizations:

- **Center for War, Peace and the News Media**, New York University, for its Media and Conflict Program, which includes joint-reporting programs in Southern Russia (Ingushetia and North Ossetia);
- **Institute for War and Peace Reporting**, whose journal, *WarReport*, now covers the Transcaucasus;
- **The Center for International Development and Conflict Management**, University of Maryland, which is supporting the creation of indigenous conflict resolution centers in the Transcaucasus;
- **Foundation for Global Community**, which is supporting indigenous conflict resolution efforts between Armenia, Azerbaijan, and the disputed region of Nagorno-Karabakh;
- **Unrepresented Nations and Peoples Organization**, which works with minority groups throughout the Caucasus to develop diplomatic skills and improve interaction with multilateral agencies; UNPO also conducts investigations of complaints filed by its members, such as civilian groups in Chechnya;
- **International Alert**, which continues to investigate the feasibility of organizing early warning systems for the former Soviet Union; and various non-proliferation projects, such as the **Natural Resources Defense Council**, which analyzes weapons issues and informs public debate in the U.S. and Russia on disarmament.

2040 S STREET, NW, SUITE 201, WASHINGTON, DC 20009-1110
TELEPHONE (202) 483-4215 FACSIMILE (202) 483-4219 E-MAIL-winstonfoun@igc.apc.org



THE WINSTON FOUNDATION
FOR WORLD PEACE

GRANTS RELATED TO THE FORMER YUGOSLAVIA

May 1996

New York University Law School, New York, NY, \$5,000, in support of a conference on the conflict in Bosnia-Herzegovina.

March 1996

Centro Studi di Politica Internazionale, Rome, Italy, \$35,000 to engage policy makers in Washington and Brussels on implementation of the peace plan.

Search for Common Ground, Washington, D.C., \$22,500 to assess opportunities in Bosnia for conflict resolution activities.

Institute for War & Peace Reporting, London, England, \$35,000 to expand War Report and journalist training in Bosnia, geared to the elections.

Balkan War Resource Group, New York, NY, \$5,000 for a guide to NGO peace activism in Bosnia.

November 1995

Search for Common Ground, \$25,000 in renewed support for its conflict prevention mission in Macedonia.

*Refugees International, \$25,000 to fund early-warning of conflict and general advocacy for preventive actions.

June 1995

*Helsinki Citizens Assembly, Prague, \$30,000 for this network of NGOs working on a range of conflict prevention activities in the Balkans and former Soviet sphere.

*United Nations Institute for Disarmament Research, Geneva, \$40,000 to study and recommend ways to disarm adversaries in peace accords.

Institute for War and Peace Reporting, London, \$20,000 in renewed support for its WarReport periodical, providing news from the Balkans.

March 1995

Peace Research Institute, Oslo, \$20,000 to assess NGO conflict prevention programs in Macedonia, focusing on Search for Common Ground.

November 1994

Search for Common Ground, \$25,000 to keep open their center in Macedonia, a pivotal crossroads of Balkans conflict.

*Refugees International, \$25,000 for this extraordinarily effective "early warning system" and prod to officialdom.

*General support of program rather than grant specifically related to former Yugoslavia

2040 S STREET, NW, SUITE 201, WASHINGTON, DC 20009-1110
TELEPHONE (202) 483-4215 FACSIMILE (202) 483-4219 E-MAIL: winstonfoun@igc.apc.org

June 1994

*Helsinki Citizens Assembly, Prague, \$30,000 for a broad variety of conflict prevention activities in Europe's hot spots.

*Balkans Peace Project, Cambridge, MA, \$35,000 to work with CSCE officials to develop functional liaison with NGOs to promote preventive diplomacy in Europe.

Institute for War and Peace Reporting, London, \$20,000 to again help this small but effective group give voice to constructive reporting from the Balkans.

*British American Security Information Council, Washington, DC, \$25,000 for a range of activities to promote nonviolent solutions to conflict in Europe.

Centro Studi di Politica Internazionale, Rome, \$18,000 in a supplemental grant to convene a workshop on multilateralism's failures in the Bosnia war.

February 1994

Lawyers Committee for Human Rights, New York, NY, \$15,000 for its work to bring human rights violators in Bosnia to justice.

Ars Publica, Santa Fe, NM, \$7,500 to train women conflict mediators from the former Yugoslavia.

September 1993

Search for Common Ground, Washington, DC, \$15,000 to begin a process of conflict mediation in Macedonia and Kosovo.

Balkans Peace Project, Cambridge, MA, \$40,000 to conduct conflict mediation workshops in Kosovo and Macedonia.

Centro Studi di Politica Internazionale, Rome, \$13,000 for this think tank to investigate why collective security systems failed in the Balkans.

Independent news media in the Balkans, \$32,000 to support four news organizations in the Balkans, and related projects.

June 1993

Search for Common Ground, Washington, DC, \$25,000 for a meeting of the Balkans Policy Group.

*British American Security Information Council, Washington, DC, \$30,000 for general support on disarmament and peace building in Europe.

*Helsinki Citizens Assembly, Prague, \$25,000 for citizen activism in Europe, including former Yugoslavia.

Institute for War & Peace Reporting, London, \$20,000 for its efforts to publicize moderate voices on the Balkans conflict.

March 1993 (special out-of-cycle grant)

Search for Common Ground, Washington, DC, \$30,000 for a meeting of the Balkan Policy Group, a gathering of officials from the U.S. and Europe to explore the failure of multilateralism in the former Yugoslavia.

SUMMARY:

Totals per year, including general grants:

1996 (partial year):	\$102,500
1995:	\$160,000
1994:	\$195,500
1993 (partial year):	\$260,000

*General support of program rather than grant specifically related to former Yugoslavia